# Comparative Analysis of Analytical and Graphical Upperbound Solutions for 4-High Reversing Aluminium Cold –Rolling Sheet Mill

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**Abstract** Upper bound solutions to a 4-High reversing aluminium cold-rolling sheet mill was obtained in this work using both analytical and graphical methods. The upper bound method was applied to the rolling of an Al 1200 sheet from 7mm to 0.6mm in six passes. It was observed that both methods were very effective in predicting upper bound load value with the graphical method giving a higher value using input velocity values from industry. However, it was observed that the graphical method becomes very difficult to use when sheet thicknesses become very small.

Keywords Upper-Bound Technique, Cold-Rolling, Aluminium Sheet- Mill

## 1. Introduction

The upper bound is particularly useful for the study of metalworking processes in which it is essential to ensure sufficient forces are applied to cause the required deformation. This facilitates calculations of the greatest possible load that a press or machine will be called upon to deliver or sustain. They are particularly valuable in plain strain problems. The estimate which this technique provides always exceeds that which is required. It provides an upper bound or an over estimate of the true load required to carry out the task.

Exact solutions must be both *statically* and *kinematically* admissible. That means they must be geometrically self-consistent as well as satisfying the required stress equilibrium everywhere in the deforming body (Hosford & Caddell, 2007). This method has been used and still being used to estimate upper limit loads for deformation. Paydar et al, 2008 used this for the first time, to analyze the equal channel angular extrusion with circular cross-sections. Perez and Luri(2008) also used this method in the study of the required force for performing the ECAE process, which takes into account correct selection of the channel dies in equal channel angular extrusion which dies he had proposed earlier. Abrinia and Fazlirad (2009) proposed a generalized analytical method to study external shape and calculate pressure and torque for the process of rolling shaped sections. Assempour and Emami (2009) presented two

novel numerical procedures to determine upper and lower bounds on the actual collapse load multiplier for plates in bending Khaddam et al, 2011 developed an upper bound solution for axi-symmetric forward spiral extrusion process.

The approach taken for estimating the upper bound is based on suggesting a likely deformation pattern, i.e. lines along which slip would be expected to occur for a given loading situation. Then the rate at which energy is dissipated by shear along these lines can be calculated and equated to the work done by an (unknown) external force. By refining the geometry of the deformation pattern, the minimum upper bound can be determined. Frictional forces can be accommodated in this approach. The approach utilises hodographs, which are self-consistent plots of velocity for different regions within a body being deformed; the different regions are assigned by considering how the overall body will deform for a particular deformation process and their relative velocities are estimated by assuming that the applied external force has unit velocity.

The upper bound method is based on the computation of the energy consumption in the process, obtained from the flow field in the actual forming process. In case the flow field is not known well, a probable flow field is proposed, and then an analysis is performed for this field. When the energy is known, forming parameters such as the forming load and die pressure can be determined from it. If different velocity fields are tried, the best will be the one that provides the lowest energy consumption. If the assumed velocity field differs from the real velocity field, the computed energy will exceed the real energy consumption. Hence, when the upper bound method is applied, one gets estimates of the forming load and the pressure that are either larger than or, in the best case, equal to the real load and the real pressure. This is why

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the solution is termed an upper bound (Valberg, 2010)

## 2. Materials and Methods

The upper bound loads needed to deform a continuously cast aluminium sheet (Al 1200) from 7 mm in different passes to the final thickness of 0.6mm was obtained using analytical and graphical methods. The obtained values were compared with real values obtained from an aluminium sheet rolling mill (Tower Aluminium rolling mills, OTA, Nigeria). The reversible cold rolling mill has a local panel display unit where parameters related to the process could be read and accessed by operators. Parameters such as deforming load (tons), the sheet inlet and outlet thickness (mm), Gap Skew (mm), roll speed (mm/s), calculated gap and Numbers of passes are read- off the display panel.

#### 2.1. Analytical Method

We considered a strip of Aluminium passing through the roll gap between two cylindrical steel rollers of a rolling mill. Deformation work is done on the strip to reduce its thickness to the desired target as it passes through the roll gap. This is achieved by the pressure exerted upon the strip by the upper and lower rolls of the rollers coming in contact with the strip on it lateral sides thereby having an incomplete circular contacts with the strip referred to as the arc of contact. The arc of contacts subtends angles  $\alpha$  (known as the angle of contact) with the vertical axis of the roll and the point of entry of the strip into the roll gap. The arcs of contacts produce two arc patterns of tangential velocity discontinuities as illustrated in Fig. 1



Figure 1. A Sketch of a strip rolling process showing the angle of contacts

The following simplifying assumptions were made in employing the upper bound theorem to rolling, (Hosford & Caddell, 2007).

I. The material was considered to be homogenous and isotropic

II. Strain hardening effect was neglected

III. Interfaces were assumed to be frictionless i.e. frictional effects neglected

IV. Geometric changes in terms of volume were neglected

V. The material was considered to be rigid-plastic in nature-no elastic deformation

VI. A perfect plastic deformation was assumed

VII. No attention was paid to satisfying equilibrium

VIII. Bauschinger effect was neglected

IX. Assumption of an internal flow field

X. The rate of which energy is consumed by the flow field in terms of workdone is equated with the rate of external work.

#### 2.1.1. Analytical Calculation & Formulation

Below is the rolling process sketch showing maximum reduction.



Figure 2. A sketch showing the geometric relationship in a cold rolling process

R= radius of the roll,  $\alpha$  = angle of contact of the upper roll. From triangle ABC in fig 2, we have

The arc BC in fig 2 is taken to be approximately equal to Lp in linear form (Udomphold, 2007).

I.e.  $BC \approx Lp$  (length of the arc of contact) From the triangle ABC,

$$R^{2} = L_{p}^{2} + (R - a^{2})^{2}$$
(1)

Take  $\Delta h = h_1 - h_2 = 2a$ 

Where  $\Delta h$  = change in strip thickness,  $h_1$  = Initial strip thickness,  $h_2$  = final strip thickness

$$L_p^2 = R^2 - (R^2 - 2Ra - a^2)$$
(2)

$$L_p^2 = 2Ra - a^2 \tag{3}$$

Since *a* is much smaller than R, we can ignore  $a^2$ , i.e.  $a^2 \rightarrow 0$ 

$$L_p = \sqrt{2Ra} \approx \sqrt{R\Delta h} \tag{4}$$



Figure 3. The triangle ABC formed in the rolling process diagram

From triangle ABC in fig. 3

$$\operatorname{Tan} \alpha = \frac{L_p}{R-a}$$
(5)  
$$\operatorname{Tan} \alpha = \frac{L_p}{\left(R - \frac{\Delta h}{2}\right)} \approx \sqrt{\frac{R\Delta h}{\left(R - \frac{\Delta h}{2}\right)}}$$
(6)

Recall;  $\Delta h = 2a$ , therefore  $a = \frac{\Delta h}{2}$ 

$$Tan \ \alpha \approx \sqrt{\frac{R\Delta h}{\left(R - \frac{\Delta h}{2}\right)}} \tag{7}$$

Since  $\frac{\Delta h}{2}$  is very small compared to R

$$Tan \ \alpha \approx \frac{\sqrt{R\Delta h}}{R} \approx \sqrt{\frac{R\Delta h}{R^2}} \approx \sqrt{\frac{\Delta h}{R}}$$
(8)

From the rolling diagram in fig. 2, the rate external workdone (W) by the rotating roller is a function of the Torque and angular velocity. Analysing the kinetics of the roller;

Also, W=Torque (T) \*angular velocity ( $\omega$ ) - for rotating bodies

Therefore, Rate of External Workdone by the roller

= Torque(T) \* Angular Velocity of the roll( $\omega$ )

$$i. e. W = T * \omega \tag{9}$$

But Torque = Force × angular displacement,  $T = FR\theta$ and  $\alpha = \theta$ 

$$W = FR\theta\omega \tag{10}$$

(11)

Taking  $Q = R\theta$ 

where,

$$\omega = \frac{U}{R}$$
 (12)

A hodograph (velocity diagram) is drawn to show velocity discontinuities in the strip during the deformation process



Figure 4. the hodograph of the cold rolling mill

It can be de deduced from the hodograph that; Rate of Internal Workdone =  $K(arcBC * U_{BC} + V_{BC} + V_{BC})$ 

 $arcEF * U_{EF}$ )

Where, K = the yield Stress at pure shear (shear yield strength)

Arc BC = Shear discontinuity arc pattern due to the upper roll (L1)

Arc EF = Shear discontinuity arc pattern due to the lower roll (L2)

 $U_{BC}$  = velocity discontinuity due to BC (U)

 $U_{EF}$  = velocity discontinuity due to EF (V)

Since Aluminium A1200 was used at the industry where real life data was obtained, we make use of the shear Yield Strength (K) of A1200. The value of K for A1200 was obtained using the Von-Misses yield yield criteria stated in equation 13.

$$Y = \sqrt{3}K$$
 (For Von-Misses criterion) (13)

Where Y= Tensile Yield Strength (YS) of aluminium A1200. The Yield Strength of aluminium in tension ranges from 15 - 45 MPa. This greatly depends on the grade of the Aluminium. The Aluminium A1200 has a Tensile Yield Strength 25MPa. (AluSelect, 2011).

Rate of Equating External Workdone = Rate of Internal Workdone

$$T\omega = K(arcBC * U_{BC} + arcEF * U_{EF})$$
(14)

$$FQ\omega = K(arcBC * U_{BC} + arcEF * U_{EF})$$
(15)

$$F = \frac{\kappa}{\omega} [arcBC * U_{BC} + arcEF * U_{EF}]$$
(16)

Equation (16) is the upper bound model generated using the analytical method of approach. F= Force calculated from equation (16) using Von Misses criterion  $K = \frac{Y}{\sqrt[3]{3}}$ 

#### 2.2. Graphical Approach

Unlike the analytical method of force estimation using the upper bound theorem, the graphical method is more tasking. The rolling process is schematically shown in Fig. 5 using the real life industrial values obtained from Tower Aluminium rolling mills, Ota, Nigeria. The diagram showing the first pass in the rolliong process was drawn to scale using AutoCAD<sup>(R)</sup> 2007 drawing software.



Figure 5. Process diagram showing the deformation region of the 1st pass drawn to scale

Using the SLF diagrams produced by Oluwole and Olaogun (2011), two discontinuities were sufficient to ensure that material in contact with the roll rotates with it.

Since rigid body motion prevails at the entry and exit sides, to construct the discontinuities, arc BC and CD are drawn.CD is drawn tangentially to the roll exit while intersecting the strip principal axis PQ at 45°. The chord of arc CD is inclined to the horizontal at point D. It is advisable to draw arc CD before BC because there are more details for the location of CD than BC. From the diagram showing details for a particular pass and other related data from the tabulated values, the corresponding hodograph can be drawn. The assumed shape of the hodograph is shown in Fig.7.



Figure 6. Diagram showing schematic of top symmetrical portion of Fig.5



**Figure 8.** the constructed velocity diagram (hodograph) for the first pass shown fig. 5

From the hodograph shown in Fig.7, OB represents the inlet strip velocity  $U_1$ , BD represents the outlet strip  $U_2$ ,  $V_{BC}$  represents the velocity discontinuity of arc BC,  $V_{CD}$  represents the velocity discontinuity of arc CD, CD is the point where arc  $C_DC_B$  is drawn,  $C_DC_B$  represent arc CD and  $C_BB_C$  represent arc BC.U<sub>1</sub> used is the value obtained from the industry while  $U_2$  is obtained from the relation

$$U_2 = \frac{h_i}{h_f} U_1 \tag{17}$$

(A simple relation considered when the lateral spread in breath is neglected).  $C_BD$  forms 45° with the horizontal vector representing U<sub>2</sub>.

Based on this, the deformation regions and the corresponding hodographs for passes 2-4 (Figs.9-14) were drawn to scale using AutoCAD<sup>(R)</sup> 2007.



Figure 9. Diagram showing the deformation region of the 2nd pass



Figure 10. The corresponding velocity diagram (hodograph) for 2nd pass



Fgure 11. Diagram showing the deformation region of the 3rd pass



Figure 12. The corresponding velocity diagram (hodograph) for the 3<sup>rd</sup> pass



Figure 13. Diagram showing the deformation region of the 4th pass

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Figure 14. The corresponding velocity diagram (hodograph) of the  $4^{\rm th}$  pass

## 2.2.1. Calculations and Formulations for the Graphical Method

The process diagram drawn to scale for each pass gave the details of some of the parameters required to establish an upper bound model employed in this method.

The following parameters or variables were obtained from the real life values to draw the process diagram and in constructing the corresponding hodograph for each pass.

 $h_i$  = initial strip thickness

 $h_f = final strip thickness$ 

 $U_1$  = inlet strip velocity

r = radius of the roll.

The following parameters were obtained from the hodograph constructed for each pass.

 $U_{BC}$  = velocity discontinuity of arc BC

 $U_{CD}$  = velocity discontinuity of arc CD

 $L_b = BC = length of arc BC$ 

 $L_d = CD = length of arc CD$ 

From the rolling process, it can be deduced that the rate of external workdone  $W_2$  is

$$W_2 = T_3 \omega \tag{18}$$

 $T_3$  =torque,  $\omega$ = angular velocity of the roll. Recall that,

$$=F_3 r \theta$$
 (19)

Let  $= r\theta$ , radial distance and line of action the torque

 $T_3 =$ 

$$T_3 = F_3 Q \tag{20}$$

Therefore,

$$W_2 = T_3 \omega = F_3 Q \omega \tag{21}$$

Also, the rate of internal energy  $E_2$  dissipation along the discontinuities based on Von Misses criterion can be given as

$$E_2 = K_1[(BC \times U_{BC}) + (CD \times U_{CD})] \qquad (22)$$

For the deformation caused by 2 rolls, we have

$$E_2 = 2K_1[(BC \times U_{BC}) + (CD \times U_{CD})]$$
(23)

Since  $BC = L_b$  and  $CD = L_d$ 

$$E_2 = 2K_1[(L_b \times U_{BC}) + (L_d \times U_{CD})]$$
(24)

Equating the rate of internal energy dissipated to the external workdone i.e.  $W_2 = E_2$ , we have

$$T_3\omega = 2K_1[(L_b \times U_{BC}) + (L_d \times U_{CD})]$$
(25)

$$F_3Q\omega = 2K_1[(L_b \times U_{BC}) + (L_d \times U_{CD})]$$
(26)

$$F_3 = \frac{2K_1}{Q\omega} [(L_b \times U_{BC}) + (L_d \times U_{CD})]$$
(27)

 $K_1$  remains the Shear Yield Stress of Al according to Von-Misses Yield criterion defined in equation 12.  $F_3$  is the force exerted on the sheet under roll and is the deforming force calculated with the upper bound model developed.

Equation (27) represents the upper bound model developed from the hodograph shown in Fig.6 using the graphical approach. Using input values from the process diagrams of the passes and their corresponding hodographs, solution to each pass was obtained.

## 3. Results and Discussion

Table 1 shows comparative load values obtained for Al 1200 sheet cold rolling from both analytical and graphical method of analyses and comparing with industry values. Two values were obtained for second and third passes from industry to show variations in load values applied for approximately same rolling reductions which suggested that high load values are applied at times during the rolling passes and variations in rolling speed by operators at will in order to meet production schedules.

Figs. 1 shows a plot of the mean values of the Total Load (real life values) obtained from the industry and the calculated Loads. Values obtained from graphical method were the highest and way above the analytical method. Also, operator vagaries did not affect values obtained by graphical method as the input speed influenced graphical values. However, values obtained by analytical and graphical values showed upper bound values for the rolling passes. Graphical method becomes useful when reasonable rolling speeds are selected.

In terms of ease of calculation, the analytical method was easier to evaluate for any reduction in thickness but the graphical method became difficult to draw as the rolling thickness became very small.

This method of obtaining solution to cold rolling employed in this research work is expected to yield the upper limit of deformation pressure or load.

From Table 1, it is obvious that values of the load estimated in each pass for plastic deformation of Al 1200 sheet in a cold rolling process using the analytical method of the upper bound technique considering the Von Misses criterion gave load values higher than those obtained from industry. The  $2^{nd}$  and  $3^{rd}$  passes where some estimated values were lower than the industrial values could be seen as exceptional cases as it was noticed that the values of these passes from the industry were exceptionally higher than values obtained for similar pass with approximately same reductions.

*Nominal In- put,h1 (mm)	*Nominal Out- put,h <sub>2</sub> (mm)	*Roll speed,u (mm/s)	*INDUSTRY Total load (KN)	Analytic Load (KN)	Graphic Load (KN)	No of pass
7.0	5.0	38	4480 - 4530	4949	5089	1
5.0	3.4	64	4700 - 4750	4850		2
5.0	3.5	89	5120 - 5200	4908	6251	2
3.4	2.4	85	5100 - 5140	4925	5158	3
3.5	2.4	94	4200 - 4440	4866		3
2.4	1.64	145	3770 - 3810	4859	9049	4
1.64	1.3	117	2710 - 2830	5175		5
1.3	0.78	129	2650 - 3730	4618		6

Table 1. Load values obtained for Al 1200 sheet cold rolling process





Figure 1. Plot of Mean Total Load and calculated Loads against number of roll pass

### 4. Conclusions

Both analytical and graphical methods of obtaining the upper bound loads for a 4-High reversing aluminium cold-rolling sheet mill has been studied. Both methods gave upper bound loads (in comparison with values obtained from an Aluminium rolling mill) with the graphical method being way higher than the analytical method. The variations between both methods is attributed to the nuances of operators to unilaterally vary rolling speeds and loads in order to meet up with production targets. The analytical method was observed to be easier to handle as the graphical method becomes difficult to obtain as rolling thicknesses get very small.

The upper bound model developed for the cold rolling of Aluminium sheet in this research work can be applied in the rolling mill industries for cold rolling process of sheet metals. This implies that an upper bound model developed for a particular bulk deformation process can be employed for use in similar processes in various industries. The hodograph (velocity diagram) developed can be used for research work on material flow studies and strain effects for materials under loading.

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