

**SYNTHESIS AND COMPUTATIONAL STUDIES OF 1-4- $\eta$ -  
CYCLOHEXA-1,3-DIENE DERIVATIVES OF  
IRONTRICARBONYL COMPLEXES**

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**OLAWALE FOLORUNSO AKINYELE**

**April, 2015**

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CYCLOHEXA-1,3-DIENE DERIVATIVES OF  
IRON TRICARBONYL COMPLEXES**

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## ABSTRACT

Metal complexes have interesting properties and varied applications in light emitting diodes. A number of substituted pyridine metal (Rhenium, Ruthenium and Iridium) complexes have been synthesised and characterised. However, the synthesis and characterisation of substituted pyridine iron complexes such as Tricarbonyl (1-4- $\eta$ -pyridino-cyclohexa-1,3-diene) iron complexes for its light emitting property remain scanty. Therefore, this research was designed to synthesise, characterise and calculate the electronic properties of Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-2-cyclohexa-1,3-diene) iron complexes.

Twelve Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complexes were synthesised by the addition of pyridines to the dienyl ring of Tricarbonyl-1-5- $\eta$ -cyclohexadienyl iron tetrafluoroborate at room temperature, in solutions of acetonitrile. They were characterised using elemental analysis, infra-red (IR) and proton-nuclear magnetic resonance ( $^1\text{H}$  NMR) spectroscopy. Their theoretical studies were carried out using Molecular Mechanics Force Field (MMFF), semi-empirical Parameterization Method three (PM3), Density Functional Theory (DFT) and Time Dependent Density Functional Theory (TDDFT) with Becke three, Lee, Yang and Parr at 6-31G\* level. The MMFF was used to obtain the stable conformer before subjecting each complex to geometry calculations. The geometrical and thermodynamic properties were obtained from PM3 while the electronic energy [Highest Occupied Molecular Orbital (HOMO) and Lowest Unoccupied Molecular Orbital (LUMO)], chemical potential, chemical hardness and electrophilicity index were obtained from DFT. The calculation of electronic-excited state was carried out using TDDFT.

The calculated elemental compositions of the synthesised complexes were in agreement with the observed values. All the complexes had very sharp IR peaks at 1980 and 2055  $\text{cm}^{-1}$  corresponding to the stretching vibrations of carbonyl bond attached to the metal. The  $^1\text{H}$  NMR spectra displayed well separated overlapping multiplets which are characteristic of the outer diene protons  $\text{H}_1$  and  $\text{H}_4$  at 3.2 ppm while the inner protons  $\text{H}_2$  and  $\text{H}_3$  appeared at 4.7 and 5.3 ppm respectively. The geometrical parameters ranged from 1.374 to 1.795 Å (bond lengths), 105.1 to 128.8 ° (bond angles) and -8.9 to 128.5 ° (dihedral angles). These properties were functions of the substituents on the rings. The thermodynamic parameters were: free energy change (-412.6 to -188.5  $\text{kJmol}^{-1}$ ), enthalpy change (-237.3 to +4.7  $\text{kJmol}^{-1}$ ) and entropy change (+595.2 to +697.3  $\text{Jmol}^{-1}\text{K}^{-1}$ ) indicating that the formation of these complexes was spontaneous. The electronic

properties were within the range of -9.8 to -9.1 eV and -6.1 to -4.8 eV (HOMO and LUMO energies) respectively, 3.3 to 4.5 eV (energy band gap), -6.9 to -7.9 eV (chemical potential), 1.6 to 2.2 eV (chemical hardness), 11.4 to 18.1 eV (electrophilicity index). These values were indicative of reactivities of these molecules. The electronic-excited state of methyl and dimethyl substituted complexes were triplets having 3.46, 3.42 and 3.40 eV excitation energies with 0.022, 0.039 and 0.019 oscillator strength. The amino substituted complexes were singlets with 4.34 eV excitation energy and 0.024 oscillator strength indicating their light emitting ability.

The electronic-excited state of synthesised methyl, dimethyl, and amino substituted Tricarbonyl (1-4- $\eta$ -pyridino-cyclohexa-1,3-diene) iron complexes are capable of emitting light via phosphorescence and fluorescence, and may be useful in light emitting diodes.

**Keywords:** Molecular characterisation, Geometry optimisation, Quantum mechanical calculation, Electronic parameters.

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### CERTIFICATION

This is to certify that Mr OlawaleFolorunsoAkinyelecarried out this research work in the Department of Chemistry, University of Ibadan, Ibadan Nigeria, under the supervision of Professor T.I. Odiaka and Dr I.A. Adejoro.

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## **DEDICATION**

This research work is dedicated to my lovely wife Mrs M. A. Akinyele and the children, Damilola, Olamide and Moyosore for their understanding.

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## LIST OF ABBREVIATIONS

ACPF	Averaged coupled pair functional
AM1	Austin Model 1
AO	Atomic orbitals
B3LYP	Becke three Lee Yang Parr
BP	Becke and Perdew
CGF	Contracted Gaussian Functions
DFT	Density functional theory
DIIS	Direct inversion iteration substrate
ECP	Effective Core Potential
FT-IR	Fourier transform Infra-red
GCA	Generalized gradient approximation
GTO	Gaussian type orbitals
HF	Hartree Fock
HOMO	Highest occupied molecular orbital
INDO	Intermediate Neglect of Differential Overlap
IR	Infrared
LCAO	Linear Combination of Atomic Orbitals
LDA	Local density approximation
LUMO	Lowest unoccupied molecular orbital
MMFF	Molecular mechanics force fields
MNDO	Modified Neglect of diatomic overlap
MP	Moller Plesset model
MTAP	Magnetic Tetraazaporphyrin
NDDO	Neglect of Diatomic Differential Overlap
NMR	Nuclear Magnetic Resonance

OLED	Organic Light Emitting Diodes
PBE	Perdew and Becke Exchange
PPM	Parts Per Million
PM3	Semi empirical Parameterization Method three
PW	Parr and Wardew
QM/MM	Quantum mechanics/ Molecular mechanics
RHF	Restricted Hartree Fock
SCF	Self consistent field
STO	Slatter type orbitals
TDDFT	Time dependent density functional theory
UV	Ultra-violet
VCD	Vibrational circular dichroism
WFT	Wavefunction theory
XAS	X-ray absorption spectra
ZINDO	Zerner's Intermediate neglect of differential Overlapp
ZORA	Zereth-order regular approximation
Exo	Addition of nucleophile from above the ring
Endo	Addition of nucleophile from below the ring

### SYMBOLS

$\nu$	Frequency of absorption
Py	Pyridine
MeO	methoxy group
CO	carbonyl group
$\text{BF}_4^-$	Tetrafluoroborate ion
$\lambda$	Wavelength
$\eta$	Hapticity (Eta) of the organic group
I	Ionization energy
E	Electron Affinity
eV	electron volt
$\mu$	Chemical potential
$\omega$	Electrophilicity index
$\chi$	Electronegativity
$\eta$	Global chemical hardness

Å Angstrom unit

K kelvin

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## CHAPTER ONE

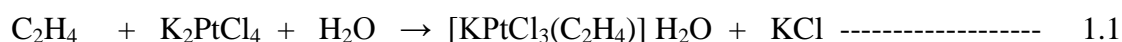
### INTRODUCTION

#### 1.1 Introduction to Organometallic Chemistry

Metals or Metal ions co-ordinate to organic molecules to form organic derivatives of such metals. Metals generally form complexes with a wide variety of uncharged molecules such as carbon monoxide and unsaturated  $\pi$ -hydrocarbon. In many of these complexes, the metal atoms are in low-positive, zero or negative formal oxidation states. Transition metals form numerous compounds in which there is a sigma bond to carbon, but in most cases there is bonding to unsaturated  $\pi$ - hydrocarbons. Organometallic chemistry as the name implies can be referred to as the chemistry of carbon containing compounds in which the carbon atoms of the organics are linked directly to the metal. This aspect of chemistry covers a wide range of interests for a large number of chemists. It is an important domain of the science which represents a convergence of inorganic, organic and physical chemistry, where the respective disciplines can benefit by interaction with each other.

#### 1.2 Historical background

The development of organometallics dates back to 1827, when Ziese discovered that the reaction of ethylene with potassiumtetrachloroplatinate II in water yielded an orange solid. This is shown stoichiometrically in equation 1.1 (Ziese, 1827).



This discovery was closely followed by the discovery of Zink alkyl in 1848 by Frankland, Nickel tetracarbonyl, Iron pentacarbonyl by Mond *et al.*, in 1890, 1891, diironnonacarbonyl, crystal structure of which was observed in 1910 (Whitaker and Jeffery, 1967), dicobaltoctacarbonyl and molybdenum hexacarbonyl in 1910 by Mond

*et al.*, 1910, chromium hexacarbonyl, ruthenium pentacarbonyl, (Job and Cassal, 1926), Iridium metal cluster  $\text{Ir}_4(\text{CO})_{12}$ , (Manchot *et al.*, 1940), dirhenium decacarbonyl, (Hieber *et al.*, 1943). However, the present upsurge of interest in organometallic must be attributed to the discovery of Ferrocene by Keally and Pauson in 1951 followed by structural elucidation. Ferrocene was one of the first organo-transition metal complexes to be isolated and fully characterized, its structure clearly revealing the direct bonding of the metal atom to the carbon atoms of the organic substrate. An enormous number of metal carbonyls. (Wilkinson *et al.*, 1952) and organo-transition metal carbonyls have been reported in the last few decades and their synthesis, structure and reactivities have been thoroughly reviewed (Abel, 1963 and Hileman, 1965). The study of the reactions of these organo-transition metal carbonyls (especially where  $M = \text{Fe}, \text{Ru}, \text{Os}, \text{Cr}, \text{Mo}, \text{W}$ ) has received considerable attention in recent years (Fisher and Werner, 1966; McCleverty, 1976; Keally *et al.*, 1951).

### 1.3 Nature of bonding in Transition metal $\pi$ -hydrocarbon complexes

Bonding in most organometallic compounds is generally described by the  $\sigma$ - and  $\pi$ -bonding scheme outlined by Dewar and Chatt, (Chatt and Duncanson, 1953) model of metal - olefin bonding. The overall effect is the movement of electron density from the olefin  $\pi$ -bonding orbital into its antibonding orbital, thus weakening the C - C bond of the coordinated olefin. This model is supported by numerous infra-red studies showing a general decrease in the olefin stretching frequency upon metal co-ordination. Related bonding schemes are believed to operate for other organics bonded to the transition metal centres. The relative importance of  $\sigma$ - and  $\pi$ - bonding effects depend very strongly on the nature of the metal as well as on the nature of the co-ordinated organic moiety.

### 1.4 The Concept of Effective Atomic Number Rule

The effective atomic number rule, otherwise known as the eighteen electron rule was first proposed by Sidgwick and Bailey, and this was the first generalization about the electronic structure of transition metal complexes (Chatt, 1974). It states that "all stable organometallics should have eighteen electrons in their metal valence shell." The orbitals involved in this bonding are  $1ns, 3np$  and  $5(n-1)d$  orbitals, where 1, 3 and 5 represent the orientation in space of orbitals. For transition metal,  $n$  must be less or



equal to 4. The metals carbonyls together with organometallic compounds of transition metal are remarkable for the way in which most of them obey the rule. A few of such complexes e.g. Vanadium hexacarbonyl (17 electron species) which do not are stored in an inert atmosphere. If the numbers of electrons are less than eighteen, a low-lying molecular orbital into which electrons can be promoted to initiate thermal decomposition is generated. However, in compounds having more than eighteen electrons, the excess electrons reside in the antibonding orbital and interact with the bonding orbital electrons leading to instability of the complex and its consequent decomposition. It should be noted however, that, in applying this rule to these compounds, the number of electrons in the valence shell of the uncomplexed metal is added to the number of electrons donated by the ligands, followed by the addition of electrons (if negative) or subtraction of electrons (if positive) in accordance with the overall charge on the complex.

### **1.5 Introduction to Computational Organometallic Chemistry**

Computational chemistry is a field of science which deals with the theoretical aspect of molecules. Many theories and models were developed from quantum molecular chemistry (QMC) as a sequel to the quest of theoretical chemists to provide supplementary or alternative data to the experimental results. Some of these theories have developed into software and coupled with recent advances in computer hardware, it is now easy for theoretical chemists to calculate quantities of interest (Young, 2001; Hinchliffe, 1989 and Cundari, 2001). It has been considered as a very important topic for chemical and inorganic research. It helps to pave the way towards understanding the physical and chemical properties of compounds. It has recently improved reaction field theory and is now more useful in visualizing molecular shapes (Cramer, 2002; Parr *et al.*, 1989). Theoretical organometallic chemistry is an interesting field in its own right, it is undergoing rapid development of recent. The interest arises from the fact that many novel compounds are being recently discovered and previously inaccessible organic compounds are now being synthesized (Pearson and Rees, 1994; Pearson, 1994; Hegedus, 1994) many organometallic compounds are catalyst for a number of reactions. This has led to the synthesis of various organic compounds. Various theoretical studies have been carried out on a number of compounds using different theoretical models; in fact a lot of progress has been made

in the area of accurate quantum chemical treatment of systems containing Transition metals. This has been much faster during the past few years than expected. Semi-empirical, abinitio and density functional theory (DFT) studies on the structures and the stabilities of organometallic compounds have been reported (Maseras, 1999; Gorling *et al.*, 1999; Barckholtz and Bursten, 2000; Schultz *et al.*, 2005). Computer modeling of organometallic compounds is now a mature subject with the structures and properties of pure and defective materials being routinely calculated (Jensen and Ryde, 2003; Morschel *et al.*, 2008). A wide variety of procedures have been developed to calculate the molecular structures, energetic and other properties (Huang *et al.*, 2007; Hu and Boyd, 2000; Praetorius *et al.*, 2008). These are broken down into two categories, quantum chemical models and molecular mechanics models. These models are being used in calculating the physical and chemical properties of so many compounds, and a number of these theories have been used in different reactions ranging from kinetics, mechanisms, synthesis and energies involved in various reactions (Lewars, 2003; Cundari, 2001; Crabtree, 2005).

## 1.6 Organometallic complexes and luminescence

Organometallic complexes are low molecular weight special class of compounds which consist of organic  $\pi$ -conjugated ligand bonded to a metal ion. An important advantage of these complexes is their high luminescence efficiency arising from electrical excitation. Luminescence is a process by which an excited material emits light in a process that does not involve an increase in temperature, the excitation in this case is achieved using ultraviolet radiation.

Organic and organometallic complexes through absorption of photons give rise to photoluminescence which are either fluorescence (emission of light as a result of singlet to singlet electronic relaxation typically of lifetime of the order  $10^{-9}$  seconds) or phosphorescence which results from the relaxation of electron(s) from the triplet excited state to ground state singlet usually of lifetime of between milliseconds and hours.

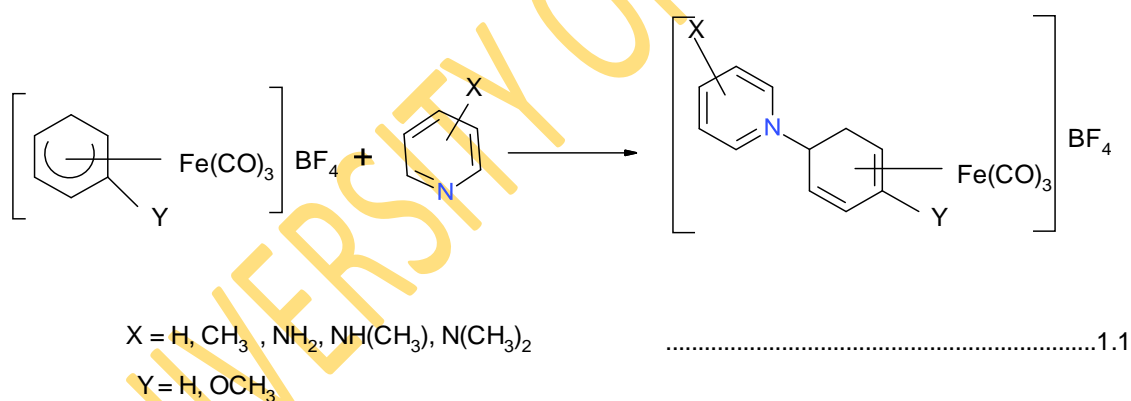
Within the last two decades, there have been an upsurge of interest due to their wide applicability in organic light emitting diodes (OLEDs) as phosphorescent emitters (Thompson, 2007).

## 1.7 Aim of the research

Metal pyridine complexes remain a subject of research interest and the current investigations into these complexes are not unconnected with their very interesting properties and varied applications.

Literature reports showed that Rhenium, Ruthenium and Iridium pyridine complexes have been studied but not much information was found on Iron pyridine complexes

The aim of this research is to synthesise pyridino-1-4- $\eta$ -cyclohexa-1,3-diene derivatives of iron tricarbonyl complexes by reacting  $[\text{Fe}(\text{CO})_3\text{-}1\text{-}5\text{-}\eta\text{-dienyl}]\text{BF}_4$ . (Dienyl =  $\text{C}_6\text{H}_7$  and 2-MeOC $_6\text{H}_6$ ) with selected pyridines as shown in the chemical equation below.



The specific objectives of the study will include the following:

- Characterisation of the complexes by microanalysis, infrared, proton neutron magnetic resonance and ultraviolet-visible spectroscopy.
- Predict the gas-phase thermodynamic and electronic properties of the attached ligand and its metal complexes.
- Contribute to the existing database of thermodynamic and electronic parameters of organometallic complexes.

- As the first set of electronic and thermodynamic data for the novel organometallic systems, the results will serve as a good source of theoretical information for both theoretical and experimental chemists.

## CHAPTER TWO

### THEORETICAL BACKGROUND

#### 2.1 Theoretical Models

There are many approaches of Computational chemistry that are popular in molecular modeling. These approaches can be subdivided into two broad parts, empirical approach and quantum approach. Empirical approach uses simple models of harmonic potential, electrostatic interaction and dispersion forces for basic comparisons of energetics and geometric optimization (Hyperchem, 2003; Warren, 2003). The Quantum mechanical approach is divided into semi-empirical methods and non-empirical (ab-initio) methods. Approach to quantum mechanics postulates the fundamental principles and then uses these postulates to deduce experimental results. The state of a system in quantum mechanics is a function of the coordinates of particles called the wavefunction  $\Psi$ . This state changes with time. Thus for one particle, one-dimensional system, we have  $\Psi = \Psi_{(x, t)}$ , thus, the wavefunction contains all possible information about the system. Suppose we have a single particle of an electron of mass,  $m$ , moving in field of space under the influence of a potential,  $V$ , to find the future state of a system from the knowledge of its first state, we need an equation that tells us how the wavefunction changes with time. This particle is described by a wavefunction  $\Psi_{(x, t)}$ , that satisfies the Schrödinger's time dependent equation (2.1).

$$-\frac{\hbar}{2m} \frac{\delta^2 \Psi_{(x,t)}}{\delta x^2} + V_{(x)} \Psi_{(x,t)} = i\hbar \frac{\delta \Psi_{(x,t)}}{\delta t} \dots\dots\dots (2.1)$$

Where  $\hbar = \frac{h}{2\pi}$ ; h is Planck's constant.

Although, the time dependent Schrödinger's equation might look very difficult, many applications of quantum mechanics to chemistry derive their model from simpler Schrödinger's time-independent equation (2.2).

$$-\frac{\hbar^2}{2m} \frac{\delta^2 \Psi(x)}{\delta x^2} + V(x)\Psi(x) = E\Psi(x) \dots\dots\dots (2.2)$$

Where E, is the electronic energy.

## 2.2 The Schrödinger's Wave Equation

Quantum mechanics describes molecules in terms of interaction among nuclei and electrons and molecular geometry in terms of minimum energy arrangements of nuclei. All quantum mechanical methods can be traced back to the Schrödinger's equation, which for the special case of hydrogen atom (a single particle in three dimensions) may be solved exactly as given in equation (2.3).

$$\left[ -\frac{1}{2} \nabla^2 - \frac{Ze}{r} \right] \Psi(r) = E\Psi(r) \dots\dots\dots (2.3)$$

The quantity in square bracket represent the kinetic and potential energy of an electron at a distance, r, from a nucleus of charge, Z, (1 for hydrogen). E is the electronic energy in atomic units and  $\Psi$ , is a function of the electron coordinates; r is a wavefunction describing the motion of the electrons as fully as possible. The wavefunction for the hydrogen atom are the familiar s, p, d..... atomic orbitals. The square of the wavefunction multiplied by a small volume gives the probability of finding the electron inside this volume. This is called the electron density. The generalized Schrödinger's equation for a multinuclear and multielectron system is a simple looking expression shown in equation (2.4).

$$H \Psi = E \Psi \dots\dots\dots (2.4)$$

H is called the "Hamiltonian operator" which describes both kinetic energies of the nuclei and electrons that make up the molecules, as well as the electrostatic interactions felt between the nuclei and electrons. The nuclei are positively charged and the electrons are negatively charged, both attracting each other. The quantity, E, in

equation (2.4) is the energy of the system, and  $\Psi$  is termed the wavefunction. The “Hamiltonian Operator”,  $H$ , is given by equation (2.5).

$$H = \frac{1}{2} \sum_i^{es} \nabla_i^2 - \frac{1}{2} \sum_A^{nu} \frac{1}{M_A} \nabla_A^2 - \sum_i^{es} \sum_A^{nu} \frac{Z_A}{r_{iA}} + \sum_i^e \sum_j^e \frac{1}{r_{ij}} + \sum_A^n \sum_B^n \frac{Z_A Z_B}{R_{AB}} \dots\dots\dots (2.5)$$

$Z$  is the nuclear charge,  $M_A$  is the ratio of mass of nucleus  $A$  to the ratio of mass of an electron,  $R_{AB}$  is the distance between nuclei  $A$  and  $B$ ,  $r_{ij}$  is the distance between electron  $i$  and nucleus  $A$ .

The many electrons Schrödinger equation cannot be solved exactly for a simple two-electron system such as helium atom or hydrogen molecule. So, approximations are introduced to provide practical methods. These approximations include;

### 2.3 Born-Oppenheimer Approximation

The wavefunctions are functions of the position of both the nuclei and the electrons of the system. However, since a nucleus is much heavier than an electron (approximately 1840 times more), its movements compared to the electrons are negligible. In this case, they can be considered to be frozen and their kinetic energy set at zero but they still contribute to the potential energy of the system.

One way of simplifying the Schrödinger’s equation for molecular systems is to assume that, the motion of nuclei is slow compared to the speed at which electrons move (the speed of light). This lead to an electronic Schrödinger’s expression given in (2.6), where the Hamiltonian operator collapses to equation (2.7).

$$H^{ei} \Psi^{ei} = E^{ei} \Psi^{ei} \dots\dots\dots (2.6)$$

$$H^{ei} = -\frac{1}{2} \sum_i^e \nabla_i^2 - \sum_i^e \sum_A^n \frac{Z_A}{r_{iA}} + \sum_i^e \sum_j^e \frac{1}{r_{ij}} \dots\dots\dots (2.7)$$

Equation 2.5 describes the nuclear kinetic energy which is missing in equation 2.7 or given as zero, and the nuclear coulombic term in equation 2.5 is a constant. The latter



$$f_i X_{\chi_i} = \sum X_{\chi_i} \dots\dots\dots (2.10)$$

The Fock operator  $f_i$  is given by the expression (2.11).

$$f_{(i)} = \frac{1}{2} \nabla_i^2 + V^{eff} \dots\dots\dots (2.11)$$

$X$ = spin and spatial co-ordinates of the electron,  $i$  and  $\chi$  are the spin orbitals while  $V^{eff}$  is the effective potential “seen” by the electron,  $i$ .

## 2.5 Linear Combination of Atomic Orbitals (LCAO) Approximation

This approximation takes advantage of the motion that one electron solutions for many-electron atoms and molecules will closely resemble the one electron solutions for the hydrogen atom. In practice, the molecular orbitals are expected as linear combinations of a finite set of one electron functions known as basis function  $\Phi$ . The LCAO Approximation is given in equation (2.12).

$$\Psi_i = \sum_{\mu=1}^{Basis\ function} C_{\mu,i} \Phi_{\mu} \dots\dots\dots (2.12)$$

where  $c$  is the molecular orbital coefficient, often simply called molecular orbital, because the  $\Phi$  is usually centred at the nuclear positions, and is generally called atomic orbitals.

Hartree-Fock models differ in the number and kind of atomic basis functions, and their computational cost increases as the fourth power of the number of basis function.

## 2.6 Correlated Models

The Hartree-Fock models treat the motions of individual electrons as independent of one another in that they replace “instantaneous interactions” between individual electrons by interactions between electrons and the average field created by all other electrons. As a consequence, electrons “get in each other’s way” to a greater extent than they should. This leads to overestimation of the electron-electron repulsion energy and to a total energy that is too high. Electron correlation accounts for coupling of electron motions and leads to reduction of electron-electron repulsion energy and to a lowering of the total energy. The correlation energy is defined as the difference



between the Hartree-Fock energy and the experimental energy, although many correlated models have been introduced. We shall concern ourselves with only three classes. Density functional models introduced an approximate correlation term in an explicit manner. They offer the advantage of not being significantly more costly than Hartree-Fock models in terms of computational time. The quality of density functional model depends on the choice of this term, although it is not apparent how an improvement can be made on a particular choice. Moller-Plesset models extend the flexibility of Hartree-Fock models by mixing ground-state and excited-state wavefunctions. They are significantly more costly than both Hartree-Fock and Density functional models, but offer the advantage over the latter of a clear path to improvement. It leads to the “exact result” although in practice the limit is not obtainable. The B3LYP/6-31G\* density functional model and the MP2/6-31G\* Moller-Plesset models are among the most popular correlated models. They are effective in addressing the deficiencies of the Hartree-Fock models but are still simple enough for routine use. B3LYP/6-311 + G\*\* and MP2/6-311 +G\*\* models are not practical for routine use except for very small molecules(Hyperchem, 2003; Hehre, 2003).

## 2.7 Semi- Empirical Molecular Orbital Models

Hartree-Fock and Correlated models are costly in terms of Computational time, a disadvantage that informed the introduction of additional approximations to significantly reduce the computational time while still retaining the underlying quantum mechanical formalism. This model follows directly from Hartree-Fock models by introducing the following approximations:

- 1 Elimination of overlap between functions on different atoms (“NDDO approximation”). This implies that atoms do not see each other ‘This is drastic but reduces computation by more than an order of magnitude over Hartree-Fock models.
- 2 Restriction to a “minimal valence basis set” of atomic functions. This means that inner shells (core) functions are removed, thus significantly reducing the cost/time of doing calculations.

3 Introduction of parameters to reproduce specific experimental data, e.g equilibrium geometries and heat of formation. The PM3 model is the most widely parameterized semi-empirical model.

## 2.8 The PM 3 method

A new method for obtaining parameters for semi-empirical methods has been developed and applied to the modified neglect of diatomic overlap (MNDO) method. The method uses derivatives of calculated values for properties with respect to adjustable parameters to obtain the optimized values of parameters. The large increase in speed is a result of using a simple series expression for calculated values of properties rather than employing full semi-empirical calculations. With this optimization procedure, the rate-determining steps for parameterized elements change from the mechanics of parameterization to assembling of experimental reference data. The power of semi-empirical method lies not in the theoretical rigour but in the fact that the adjustable parameters within the method are optimized to reproduce important chemical properties. Any semi-empirical method is composed of a theoretical framework and a set of parameters (Stewart, 1989).

## 2.9 Density Functional Theory (DFT)

This describes the electronic states of atoms, molecules and compounds in terms of the three dimensional electronic density of the system. This is a great simplification over the wavefunction Theory (WFT) which involves a 3N-dimensional antisymmetric wavefunction for a system with N-electrons. The electron density unlike the wave function is a physically observable quantity. This theory was developed in the mid 1960s by Hohenberg, Kohn and Sham (Jensen, 2007; Szabo and Ostlund, 1982) and states that “The energy, E, of a system can be expressed as a functional of the electron density  $\rho(r)$  of the system.

$$E = F[\rho(r)] \dots\dots\dots (2.13)$$

The theory further states that the functional is exact and universal which implies that it is applicable to any molecular system. This can be written as the sum of the following energy terms:

$$E = E_T + E_V + E_J + E_{XC} \dots\dots\dots (2.14)$$

$E_T$  is the kinetic energy of non-interacting electrons,  $E_V$  is the classical electron repulsion,  $E_J$  is the electron-nucleus interaction and  $E_{XC}$  is the quantum mechanical contributions to the potential energy (self-interaction correction, exchange and correlation and the part of the kinetic energy that is not included in the  $E_T$ ). The exact form of the exchange –correlation functional,  $E_{XC}$ , is unknown and all attempts to determine the total energy of the system rely on the approximations to this term.

Except for  $E_T$ , all the components depend on the total electron density  $\rho(r)$ , (equation 2.15).

$$\rho_r = 2 \sum_i^{orbital} |\Psi_i(r)|^2 \dots\dots\dots (2.15)$$

where  $\Psi_i$  is called Kohn-Sham orbitals and the summation is carried out over pairs of electrons.

Density Functional theories are classified according to the type of approximations that are made to the  $E_{XC}$ , hence the approximation with the lowest level of complexity is the Local density approximation (LDA) where the functional form of  $E_{XC}$  depends solely on the electron density,  $\rho(r)$  at each point in space. The next level of development is the generalized gradient approximation (GGA) where the functional form of  $E_{XC}$  depends on the electron density  $\rho(r)$  and the gradient of the electron density  $\nabla\rho(r)$ , at each point in space. This includes B, P86, PW91, B95, PBE and LYP. From the previous GGA functionals, there are combinations between exchange and correlation functional. These are made in order to describe the system completely. Some of the most common combinations being BLYP, BP86 and BPW91, the exchange correlation functional,  $E_{XC}$ , include the exchange energy. The Hartree-Fock theory provides the exchange energy and including a part of the Hartree-Fock exchange energy in  $E_{XC}$  has been done to improve the functionals by minimizing/eliminating the artificial self-exchange of the GGA functionals. Functionals which include portion of the Hartree-Fock exchange energy are referred to as hybrid functionals e.g. B3LYP (McQuarrie, 1983; Lowe, 1978; Christoffersen, 1989; Hohenberg and Kohn, 1964) which is the only functional used in this thesis. This is an example of a hybrid-GGA functional. The meta-GGA which includes the

spin kinetic energy in the Exc in addition to the laplacian of the electron density and the gradient, by including the kinetic energy density, the self-correlation is eliminated. Examples of this include M06-L and M06. Density Functional theory has now become the preferred method for evaluating the electronic structure of complex chemical systems, because its cost scales more favourably with system size and competes well in accuracy except for very small systems. The theory is employed in the treatment of compounds containing metals/Transition metals because of the additional advantage of static electron correlation.

## 2.10 Hybrid Functionals

The previous functional types present a problem because the exchange part is poorly described due to the problem of electronic self-interaction. Since the exchange part is exactly defined in Hartree-Fock, an alternative approach would be to mix HF, GGA and LSDA functionals to describe the exact exchange and correlation part of the hybrid functional. A famous example of the exchange and correlation combination is the most often used hybrid functional; B3LYP (Becke, 1993; Lee *et al.*, 1988; Hehre *et al.*, 1986). This functional is a mix between LDA and GGA functionals taken from the DFT and HF methods to a certain extent. It is shown in equation (2.16).

$$E_{XC}^{BLYP} = E_{XC}^{LDA} + \alpha_o (E_{XC}^{HF} - E_{XC}^{LDA}) + \alpha_x (E_X^{GGA} - E_X^{LDA}) + \alpha_c (E_C^{GGA} - E_C^{LDA}) \quad \dots\dots\dots (2.16)$$

where  $\alpha_o = 0.20$ ,  $\alpha_x = 0.72$ , and  $\alpha_c = 0.81$ . These are empirical parameters determined by fitting the predicted values to a set of atomization energies, proton affinities, and total atomic energies.

## 2.11 Basis sets

Basis functions are used to create the atomic orbitals (AO) or molecular orbitals and are usually expanded as a linear combination of such functions with the coefficients to be determined. These basis functions can be classified into two main types:

- Slater-type orbitals, also called STOs, have the exponential dependence:  $e^{-\zeta r}$  and are very close in their mathematical expression to the real AO:

$$\eta_{abc}^{\text{STO}}(x,y,z) = N x^a y^b z^c e^{-\zeta r} \quad \dots\dots\dots (2.17)$$

N, is a factor of normalisation,  $\zeta$  is the exponent, r, is a spherical coordinate, and a, b and c are the angular momentum part,  $L = a+b+c$ .  $\zeta$ (zeta) controls the width of orbital (large  $\zeta$  gives tight function, small  $\zeta$  gives diffuse function for H-like atom at least for 1s, however they lack radial nodes and not pure spherical harmonics

- Gaussian-type orbitals, also known as GTOs, have the exponential dependence:  $e^{-\zeta r^2}$

$$\eta_{abc}^{\text{GTO}}(x,y,z) = N x^a y^b z^c e^{-\zeta r^2} \quad \dots\dots\dots (2.18)$$

where N is a normalisation factor and x, y and z are Cartesian coordinates.

The STOs describe very closely the behaviour of hydrogen atomic orbitals because they feature a cusp at  $r=0$  and a good exponential decay for bigger values, of r. The GTOs, in contrast, do not show a cusp at  $r=0$  and decrease too rapidly for large values of r. Despite these problems, the GTOs are a better compromise due to the fact that the product of two GTOs centered on two different atoms is a third one situated between them. This is not the case for STOs, which are therefore very difficult to handle computationally because the four-centre-two-electron integrals are very time consuming. A number of GTOs can be combined to approximate an STO, and this often proves to be more efficient than using the STO itself. The degree of complexity, and thus precision of a basis set is defined by the number of contracted functions (CGF) employed to represent each atomic orbital, the minimum being one contracted function to describe a basis function. For example the STO-3G basis set (where G indicates a combination of contracted Gaussian functions) is formed by a linear combination of three CGF for each basis function so as to resemble an STO. For more precision and better description of the system, two or more functions can be used to describe each type of orbital. Usually double-zeta and triple-zeta basis sets give a good precision.

The valence electrons are the ones that change most in chemical reactions, so it is most important to have a flexible description of these electrons. Such basis sets, where the

core and valence orbitals are treated differently, are called split valence basis sets. The most used example of a split valence basis set is the 6-31G basis set. The nomenclature of this type of basis set: X-YZG is:

- X represents the number of primitives GTOs used to describe one single contracted Gaussian function of the core.
- Y and Z (more can be added for a better precision) represent the number of primitive GTOs describing the valence orbitals. In the case of 6-31G, it is composed of two functions, one containing three primitives and the other only one.

Additions can be made to the basis sets using polarization functions and/or diffuse functions. Bonding between atoms induces a deformation of the electronic cloud around each atom, called polarization. To allow this, functions with higher angular momentum are added to the basis set. For example, the addition of a p function to H allows polarization. In the same way a d-function can be added to a basis set containing p valence orbitals, f-functions for d-valence orbitals. For more precise results, the polarization functions included can be defined better: for example for a hydrogen atom with 6-31G basis set, p and d polarization functions can be added, the basis set becoming 6-31G(pd). The diffuse functions, represented by a “+” (for example 6-31+G or 6-31++G), describe the part of atomic orbitals distant from the nuclei that can have a very important role when considering anions or diffuse electronic clouds in second or third row transition metals. Another fact to note is that for transition metals, the inner core of these atoms is very large and so the number of basis functions used to describe it would be very big. To resolve this problem, those basis functions can be replaced by an Effective Core Potential (ECP). The ECP will model the effects of the nucleus and the electrons from the inner shell on the valence electrons as an average effect. This allows not only the reduction of big computational calculations, but can include some relativistic effects on the system studied because these basis functions are generated from relativistic atomic calculations. The Pople basis sets used in this work have a straight forward nomenclature scheme (Pople and Nesbet, 1954). The notation 6-31G means that 6 primitive gaussians are used for each core orbital and two functions containing three and one primitives are used for each of the valence orbitals. In similar fashion 6-311G means that 6 primitive gaussians are

used for each core orbital and three functions containing three, one and one primitives are used for each of the valence orbitals. Most Pople basis sets start with 6-31G or 6-311G and then extend them by adding diffuse (+) and/or polarization functions.

## 2.12 Band Energy

In solid state physics, the electronic structure of a solid describes the ranges of energy that an electron is forbidden or allowed to have. This is due to the diffraction of the quantum mechanical electron waves in the periodic crystal lattice. The band structure of any material or compound determines its properties especially the optical and electronic properties (Walter, 1989). The electron of a single isolated atom occupies atomic orbitals, which form a discrete set of energy levels. If several atoms are brought together to form compounds, the atomic orbitals produce a number of molecular orbitals which is proportional to the number of atoms. When a large number of atoms are brought together to form a solid, the number of orbitals becomes exceedingly large, and the difference in energy in between them becomes very small. These levels may form continuous bands of energy rather than discrete energy levels of the atoms in an isolated state. However, some intervals of energy contain no orbitals, no matter how many atoms are aggregated, forming band gaps. Within an energy band, energy levels are so numerous as to be a near continuum. Firstly, the separation between energy levels in a solid is comparable with the energy that electrons constantly exchange with phonons (atomic vibrations). Secondly, it is comparable with the energy uncertainty due to the Heisenberg uncertainty principle, for reasonably long intervals of time. As a result, the separation between energy levels is of no consequence. Any solid has a large number of bands. In theory, it can be said to have infinitely many bands. Bands of are different widths, due to the properties of the atomic orbital from which they are formed; they may also overlap to form a single band. The electrical properties of a material are determined by its electronic structure. In a metal, the orbitals of the atoms overlap with the equivalent orbitals of their neighbouring atoms in all directions to form molecular orbitals similar to those of isolated molecules. With  $N$  interacting atomic orbitals, we will have  $N$  molecular orbitals. In metals however, or any continuous solid structure,  $N$  will be a very large number (typically  $10^{22}$  for a  $1\text{cm}^3$  metal piece). With so many molecular orbitals spaced together in a given range of energies, they form an apparently continuous band of energies. In a metal atom, in

contrast to say an inert gas, the valence orbitals are not filled, thus the band of N molecular orbitals will not be filled either, but at certain energy level, all molecular orbitals above this level will be empty. The energy spacing between the highest occupied and the lowest unoccupied band is called the ‘Band gap’. The lowest unoccupied band is called the Conduction band and the highest occupied band is called the Valence band (Neamen, 2006). The conductivity of a metal is due either to only partly filled valence or conduction bands, or to the band gap being near zero, so that with even a weak electric field the electrons easily redistribute; electron at higher energy and holes at lower energy. The situation is ideal for rapid transport of charge. The difference between insulators and semiconductors is only that the forbidden band gap between the valence band and conduction band is large in an insulator, so fewer electrons are found there and the electrical conductivity is lower.

Theoretically, a simple free electron molecular orbital model provides the minimum elements needed for describing quantitatively a conductor, semiconductor or an insulator built up on a linear chain of atoms. According to the quantum-mechanical model for free particle in a one dimensional box (potential zero inside the box and infinity outside) the wavefunction correspond to a ladder of eigen values. Experimentally, the band gap is related to the wavelength of the first absorption band in the electronic spectrum of the substance. Thus a photon with wavelength  $\lambda$  can excite an electron from HOMO level to LUMO level if the condition is fulfilled.

$$\Delta E = E_{\text{LUMO}} - E_{\text{HOMO}} \dots\dots\dots (2.19)$$

$$\Delta E = h\nu = h \frac{c}{\lambda} \dots\dots\dots (2.20) \quad \text{Where}$$

$h$  is Planck’s constant,  $c$  is the speed of light and  $\lambda$  is the wavelength.

This band gap is one of the most useful aspects of the band structure, as it strongly influences the electrical and optical properties of materials (Houscroft and Sharpe, 2008).

**2.13 Quantum mechanical foundation for determination of geometry and energy**



Theoretical chemistry is concerned with inter-atomic interactions and inter-electronic interactions. An electron can behave as a particle and also as a wave. In quantum mechanics, electron behaves as a wave as well as particle; hence the following equations may therefore be applied.

$$\frac{\delta^2\Psi}{\delta x^2} = -\frac{4\pi^2\Psi}{\lambda^2} \dots\dots\dots (2.21)$$

where,  $\lambda = \frac{h}{p}$

$$E = h\nu = T+V \text{ and } T = \frac{1}{2}mv^2 = \frac{p^2}{2m}$$

In these equations,  $\psi$  is the wavefunction,  $x$  is the coordinate axis,  $\lambda$  is the wavelength,  $E$  is the total energy of the electron,  $\nu$ , is the wave frequency,  $T$  is the kinetic energy of the electron,  $p$  is the momentum of the electron treated as a particle of mass  $m$ ,  $V$  is potential energy of the electron and  $h$  is Planck's constant.

Therefore,  $\frac{\delta^2\Psi}{\delta x^2} = -\frac{4\pi^2 p^2}{h^2}\Psi$

since  $E = T + V$  and  $T = \frac{p^2}{2m}$

Then,  $E - V = T = \frac{p^2}{2m}$

But  $p^2 = 2m(E - V)$

Therefore,  $\frac{\delta^2\Psi}{\delta x^2} = -\frac{8\pi^2 m}{h^2}(E - V)$

This is a generalized equation for 1-dimension, i. e,x-direction only.

$$\nabla^2\Psi + \frac{8\pi^2 m}{h^2}(E - V) = 0 \dots\dots\dots (2.22)$$

which can be re-written as:

$$\left(-\frac{\hbar^2 \nabla^2}{8\pi^2 m} + V\right) \Psi = E \Psi \quad \dots\dots\dots$$

(2.23)

Or

$$H \Psi = E \Psi \quad \dots\dots\dots$$

(2.24)

The expression, denoted by H, inside the brackets is called Hamiltonian operator and the  $\Psi$  is an eigen function of the operator.

### 2.14 Reactivity indices

Within the concept framework of DFT, the chemical potential,  $\mu$ , is a measure of the escaping tendency of an electron from equilibrium as defined by Parr *et al.*, 1978. The electronegativity,  $\chi$  could be written as the partial derivative of the system's energy with respect to the number of electrons, N, at the fixed external potential  $v(r)$  :

$$\mu = \left(\frac{\partial E}{\partial N}\right)_{v(r)} = -\chi \quad \dots\dots\dots (2.25)$$

Where  $\chi$  is the electronegativity.

The global hardness,  $\eta$ , can be seen as the resistance to charge transfer;

$$\eta = \frac{1}{2} \left(\frac{\delta^2 E}{\delta N^2}\right)_{v(r)} \quad \dots\dots\dots (2.26)$$

According to Mulliken, one has;

$$\mu = \chi = -\frac{1}{2}(I + A). \quad \dots\dots\dots (2.27)$$

And

$$\eta = \frac{1}{2}(I - A). \quad \dots\dots\dots (2.28)$$

Where E and  $v(r)$  are electronic energy and external potential of an N-electron system,

Using a finite difference approximation and Koopman's theorem, the above (Geerling *et al.*, 1996; De Proft *et al.*, 1996a, 1996b) can be written as:

$$\mu \approx -\frac{1}{2}(I + A) \approx \frac{1}{2}(E_L + E_H) \dots\dots\dots (2.29)$$

$$\eta \approx \frac{1}{2}(I - A) \approx \frac{1}{2}(E_L - E_H) \dots\dots\dots (2.30)$$

Parr *et al.*, 1999 proposed a new DFT concept called the electrophilicity index,  $\omega$ , in terms of the above two global activity indices,  $\mu$  and  $\eta$ , (equation 2.31).

$$\omega = \frac{\mu^2}{2\eta} = \frac{(I + A)^2}{2(I + A)} = \frac{(E_L + E_H)^2}{2(E_L + E_H)} \dots\dots\dots (2.31)$$

where  $E_H$  and  $E_L$  are the energies of the highest occupied and the lowest unoccupied molecular orbital,  $I$  and  $A$  are the first ionization potential and electron affinity respectively.

## 2.15 Electrophilicity index

This is the property of being electrophilic (Parthasarathi *et al.*, 2004). It is the relative reactivity of an electrophile. An electrophile is attracted to electrons that participated in a chemical reaction by accepting electrons to form bond to a nucleophiles (Ingold, 1933, 1934), and because electrophiles accept electrons, they are Lewis acids according to the general acid-base theory of Bronsted and Lowry, 1923. Most electrophiles are positively charged, having an atom which carries a partial positive charge or not have an octet of electrons. The concept of electrophilicity index was quantitatively introduced by Parr, Szentpaly and Shubin in 1999 and it is referred to as the stabilization energy when atoms and molecules in their ground states acquire additional electronic charge from the environment. The electrophilicity index,  $\omega$ , represents the stabilization energy of the system when it gets saturated by electrons coming from the surrounding: The above formulae are working tools for us to calculate the chemical potential, hardness, and electrophilicity index. All the calculated reactivity parameters are based on the values of the chemical potential,  $\mu$ , and the

global chemical hardness,  $\eta$ . They were calculated using finite difference and the frozen orbital approximations, which yields them in terms of the highest occupied molecular orbital energy,  $E_H$ , and the lowest unoccupied molecular orbital energy,  $E_L$ .

### 2.16 Dipole moment

The molecular dipole moment is the measure of distribution of charge in a molecule. The accuracy of the overall distribution of electrons in a molecule is difficult to quantify since it involves all the multipoles. The result for the dipole moment and polarizability may provide an insight into the solubility and chemical reactivities of the complexes and their potential application in the synthesis of new derivatives.

### 2.17 Polarizability

This is the ability of these molecules to acquire a dipole moment,  $p$ , in an electric field;  $E$ . The appearance of  $p$  is due to the displacement of electric charges in atomic systems under the influence of  $E$ . The moment,  $p$ , thus disappears when no electric field is present. The concept of polarizability is generally not applied to particles having permanent dipole moment such as polar molecules.

$p = \alpha E$  Where  $\alpha$  is a quantitative measure of polarizability, which is called molecular polarizability for some molecules. The value of  $\alpha$  may depend on the direction of  $E$ : this is known as anisotropic polarizability. It is calculated as the average of the polarizability tensor,  $\alpha$ , which is  $\alpha = \frac{1}{3}(\alpha_{xx} + \alpha_{yy} + \alpha_{zz})$ .

### 2.18 Koopman's Theorem

The theorem states that, in a closed-shell Hartree-Fock theory, the first ionization energy of a molecular system is equal to the negative of the orbital energy of the highest occupied molecular orbital (HOMO) (Koopmans, 1934; Politzer, 1998). This theory is exact in the context of restricted Hartree-Fock theory and it is assumed that the orbitals of the ions are identical to those of the neutral molecule. Ionization energies obtained from this procedure are in qualitative agreement with experimental ionization energies; hence the validity of the theorem is tied to the accuracy of the underlying Hartree-Fock wavefunctions. However, there are two main sources of error and these are orbital relaxation, which refer to changes in the Fock-operator and

Hartree-Fock orbitals when changing the number of electrons in the system, and electron correlation, which refers to the validity of representing the entire many-body wavefunction using Hartree-Fock wavefunction (Bingham *et al.*, 1975).

Similar theorem exists in density functional theorem (DFT) for relating the exact first ionization energy and electron affinity to the HOMO and LUMO energies, although both the derivation and the precise statement differs from Koopman's theorem, with errors larger than 2eV depending on the exchange-correlation approximation employed. Although, the Koopman's original paper never made any claim regarding the significance of eigen values of the Fock-operator other than that of HOMO.

## **2.19 Survey of synthetic methods**

### **Introduction**

Three major synthetic methods have so far been identified as synthetic routes to organometallics. These are thermolysis, room temperature synthesis or below room temperature and photolysis.

#### **2.19.1 Thermolysis**

This is a method involving the use of heat and refluxing of the reactant solutions at the temperature of the solvent (Leigh and Fisher, 1965) to give product. They synthesized 1,5-dicyclo-octadiene metal carbonyl, (Metal = Molybdenum and Tungsten) by heating molybdenum hexacarbonyl and 1,3-cyclooctadiene together in dioxane on a bath maintained at 120 °C for 17 hours. The reaction mixture was cooled down to room temperature and then filtered, after which the residue was washed on the filter with hexane and the volatiles removed from the filtrate by distilling them in vacuo into a trap cooled with liquid nitrogen. The residue was sublimed twice in vacuo at 50 °C to give the product. The analogous Tungsten complex was synthesized by mixing Tungsten hexacarbonyl and 1,3-dicyclooctadiene in di-n-butylether and refluxing at the solvent temperature for 30 hours. The reacting mixture was cooled, decanted and the resulting product was washed with hexane until the washing became colourless. The volatiles were removed from the solution by distillation in vacuo into a trap cooled

with liquid nitrogen. The product was sublimed in vacuo and the sublimate re-sublimed in vacuo at 70 °C and again at 50 °C to give the pure compound as a brownish product. A mixture of  $\eta^5\text{-C}_5\text{H}_5\text{V}(\text{CO})_4$ , glacial acetic acid and acetic anhydride was refluxed for 16 hours under nitrogen with constant stirring and cooled to room temperature to give a greyish precipitate which was filtered, washed with five portions of diethylether and dried under pressure. This greyish precipitate was identified as cyclopentadienyl vanadiumdiacetate (King, 1966). Refluxing of  $\eta^5\text{C}_5\text{H}_5\text{Rh}(\text{CO})_3$  in benzene for 80 hours has led to the synthesis of  $\eta^5(\text{C}_5\text{H}_5)_2\text{Rh}_2(\text{CO})_3$  while N-methylene bis-(carbonyl- $\eta^5$ -cyclopentadienyl rhodium has similarly been prepared by refluxing a solution of  $\mu\text{-CO-}\eta^5\text{-C}_5\text{H}_5\text{Rh}(\text{CO})_2$  and N-methyl-N-nitrosourea in benzene for 25 hours. The product was recrystallized from n-pentane at -78 °C to give  $\eta^5\text{C}_5\text{H}_5\text{Rh}(\text{CO})_2\text{-}\mu\text{-CH}(\text{CH}_3)_2$  (Woffgang *et al.*, 1977). Heating under reflux of a mixture of 2,3-diphenylindenone and  $\text{Fe}_3(\text{CO})_{12}$  in benzene-toluene mixture of boiling point 85 °C for 2 hours yielded a brown powder chromatographed on silica gel. Unreacted indenone was eluted with mixture of benzene-dichloromethane and the red band on the column was taken up in ether and the fraction evaporated in vacuo. The residue was crystallized from ethanol-light petroleum to give brownish red crystals of the product characterized as tricarbonyl(2,3-diphenylindenone iron) (Emile and Walter, 1965). Benzyloxy carbonylamino cyclopentadienyl manganesetricarbonyl was synthesized by refluxing Azidoformyl cyclopentadienyl manganesetricarbonyl in benzyl alcohol for 3 hours in an oil bath at 140 °C followed by the removal of the solvent in vacuo and extraction of the residue with hot benzene/chloroform. Thermolysis of the extract solution with carbon black followed by filtration and evaporation of the solvent afforded a residue which was chromatographed over basic alumina. Elution with benzene/chloroform yielded yellow needles which on recrystallization from petroleum ether yielded analytical samples of the product (Michael and Narkis, 1965). Pauson *et al.* 1967, synthesized tricarbonyltripyrindine chromium from chromium hexacarbonyl and excess pyridine under reflux for 40 hours in aromatic free ligroin (boiling point, 80-100 °C). On cooling to 0 °C, the red-needle-like product separated out and was filtered, washed with ligroin, dried and stored under nitrogen at 0 °C. The synthesis and characterization of some organometallic homo- and copolymers carrying diethynylated n-complexes were reported (Uwe, 1996). As

monomers, the diethynylated derivatives of cymantrene and cyclopentadienyl(1,3-istrimethylsilylcyclobutadiene)cobalt were utilized.

Jeffrey and Steven, 2001, also reported that metal alkoxides are precursors of interfacial species which can be used to enhance interactions between dissimilar components of a composite. Metal alkoxides react with surface hydroxyl groups of metal oxides or oxidized metals to give covalently bound surface alkoxide species through protolytic loss of one or several alkoxide groups (Jeffrey and Steven, 2001). Monodisperse chromium nanoparticles from the thermolysis of a Fischer carbene complex were successfully synthesized (Seung *et al.*, 2004). The development of uniform nanometer-sized particles has been a major research focus because of their many technological and fundamental properties. Various nanoparticles of transition metals and their oxides have been synthesized, and their magnetic and catalytic properties characterized. In the synthesis of transition metal nanoparticles, the thermolysis of metal carbonyl compounds, such as  $\text{Co}_2(\text{CO})_8$ ,  $\text{Fe}(\text{CO})_5$ , and  $\text{W}(\text{CO})_6$ , were used.

A new 1,3,4-oxadiazole containing rhenium(I) complex, was synthesized and characterized by elemental analysis, IR,  $^1\text{H}$  NMR, UV-vis and luminescence spectroscopy (Yan Ping *et al.*, 2007). Nitrile-functionalized NCN-pincer complexes of type  $[\text{MBr}(\text{N},\text{C}-\text{C}_6\text{H}_2(\text{CH}_2\text{NMe}_2)]$ . The structures of (M=Pd and Pt) in solid state were reported (Stefan *et al.*, 2008) who showed that  $d^8$ - configured transition metal ions  $\text{Pd}^{+2}$  and  $\text{Pt}^{+2}$  possess a somewhat distorted square planar. In a related synthesis by Wanli *et al.* The complexes were synthesized and structurally characterized by means of elemental analysis, vibrational,  $^1\text{H}$  NMR and FT-IR spectroscopy. The crystal structures of all the complexes were determined by X-ray crystallography (Wanli *et al.*, 2009).

### 2.19.2 Room temperature and below

A large number of organometallics have been synthesized either at room temperature or below. The reactants may be of equal concentrations (equimolar) or the nucleophiles may be in excess relative to the organometallics being attacked. Reactions that proceed to completion at room temperature or below are usually very fast and give good yields of products. Tricarbonyl (1-4- $\eta$ -toluidino cyclohexa-1,3-diene was obtained by

reacting acetonitrile solutions of  $\eta^5\text{-C}_6\text{H}_7\text{Fe}(\text{CO})_3\text{BF}_4$  and toluidine (Odiaka and Williams, 1981). Pyridines have been shown to add to the dienyl ring of tricarbonyl-1-5- $\eta$ -cyclohexadienyl iron tetrafluoroborate at room temperature in solutions of acetonitrile to give the 1,3-diene derivatives (Odiaka and Kane-Maguire, 1981).

Brian *et al.*, 1976, prepared carbonyl ( $\eta^5$ -cyclohepta-1,4-diene) iron by dissolving sodium tetrahydridofluoride in deoxygenated water with cold (0 °C) stirred oxygen free mixture of carbonyl (1-5- $\eta$ -cycloheptadienyl) 7-cyclohexa-1,3-diene) iron tetrafluoro-borate. A mixture of cis 3,4-dichlorobutene, ironpentacarbonyl, ether and lithium amalgam at room temperature gave cyclobutadiene irontricarbonyl (Illie *et al.*, 1977). X-substituted anilines and cyclohexylamine add to the tropylium ring of the cation  $[(\eta^5\text{C}_7\text{H}_7\text{W}(\text{CO})_3)^+]$  to give the corresponding ring adducts of tricarbonyl (cyclohepta-1,3,5-triene) tungsten (Odiaka and Kane-Maguire, 1985).  $[(\eta^5\text{C}_7\text{H}_7\text{W}(\text{CO})_3)\text{BF}_4]$  also reacts with excess triphenylphosphine to give the pale yellow crystalline solid (Odiaka, 1985). 1-4- $\eta$ -(N-2,6-dimethylanilino derivatives of cyclohexa-1,3-diene and cyclohepta-1,3-diene have also appeared in literature (Odiaka, 1987, 1988a, 1988b). Annie *et al.*, 2001, used the addition- $\beta$ -elimination mechanism to report the first transformation of enol ethers into the corresponding vinyl zirconium derivatives. Moreover, the in situ formation of Ti(II) and subsequent reaction with halogeno alkynes offers a new route for the preparation of functionalized alkynyl titanium and trismetallated olefin derivatives.  $[\text{Cp}^*\text{RuIVCl}_2(\text{S}_2\text{CR})]$ , (R = NMe<sub>2</sub>, NEt<sub>2</sub>, and OiPr) were synthesized by the reaction of  $[\text{Cp}^*\text{RuIIICl}_2]_2$  with  $[\text{RC}(\text{S})\text{S}]_2$ . One-electron electrochemical oxidation of  $[\text{Cp}^*\text{RuCl}_2(\text{S}_2\text{CR})]$  produces paramagnetic  $[\text{Cp}^*\text{RuCl}_2(\text{S}_2\text{CR})]^+$ , which are stable in CH<sub>2</sub>Cl<sub>2</sub> solution for at least several hours at 233 K. EPR experiments performed at 293 K show isotropic signals ( $g = 2.035$ ) with clearly defined hyperfine coupling to <sup>99</sup>Ru and <sup>101</sup>Ru of 25 G and with peak-to-peak line widths of 15 G. At temperatures below 153 K, axial-shaped EPR spectra were obtained with g-values close to 2 (2.050-2.008) and narrow peak-to-peak line widths (15 G). Results from DFT calculations indicate that approximately 70% of the spin density in  $[\text{Cp}^*\text{RuCl}_2(\text{S}_2\text{CNMe}_2)]^+$  is located on the ruthenium, although there is an increase of only 0.06 in the positive charge of the metal ion as a result of the oxidation. The high spin density on Ruthenium supports the assignment of a formal Ru(V) oxidation state, which is unprecedented in organometallic chemistry. Chemical



oxidation of  $\text{Cp}^*\text{RuIVCl}_2(\text{S}_2\text{CNMe}_2)$  with  $\text{NO}(\text{PF}_6)$  in  $\text{CH}_3\text{CN}$  resulted in the isolation of  $[\text{Cp}^*\text{RuIV}(\text{MeCN})_2(\text{S}_2\text{CNMe}_2)]^{+2}$ , while oxidation with  $[(4\text{-Br-C}_6\text{H}_4)_3\text{N}](\text{SbCl}_6)$  in dichloromethane ( $\text{CH}_2\text{Cl}_2$ ) resulted in the formation of chloro-bridged dimeric  $[\text{Cp}^*\text{RuIVCl}(\text{S}_2\text{CNMe}_2)]_2^{+2}$ . When  $[\text{Cp}^*\text{RuIVCl}(\text{S}_2\text{CNMe}_2)]_2^{+2}$  is dissolved in  $\text{CD}_3\text{-CN}/\text{CH}_3\text{CN}$ , it immediately converted to  $[\text{Cp}^*\text{RuIV}(\text{MeCN})_2(\text{S}_2\text{CNMe}_2)]^{+2}$ . Cyclic voltametric experiments confirmed that in both solvents the chemical oxidation process occurred through the  $[\text{Cp}^*\text{RuVCl}_2(\text{S}_2\text{CNMe}_2)]^+$  intermediate (Sheng *et al.*, 2005, Seah *et al.*, 2006). The synthesis, characterization, and electrochemical properties of the first  $(\text{CH})_{10}$ -bridged bimetallic complexes was also reported (Eun *et al.*, 2006).

New group 15 organometallic compounds,  $\text{M}(\text{phenanthrenyl})_3$  ( $\text{M} = \text{P}$  (1),  $\text{Sb}$  (2),  $\text{Bi}$  (3)) were prepared from the reactions of 9-phenanthrenyllithium with  $\text{MCl}_3$ . A reaction of 9-(diphenylphosphino)phenanthrene with 2,6-diisopropylphenyl azide led to the formation of  $(\text{phenanthrenyl})(\text{Ph})_2\text{P}=\text{N}-(2,6\text{-iPr}_2\text{C}_6\text{H}_3)$  (4). The crystal structures of 2 and 4 were determined by single-crystal X-ray diffractions, both of which crystallize with two independent molecules in the asymmetric unit. Microwave irradiation (MW) as a “non-conventional reaction condition” has been applied in various areas of chemistry and technology to produce or destroy diverse materials and chemical compounds, as well as to accelerate chemical processes (Oxana *et al.*, 2011). Jose and Maria, 1999, showed the synthetic utility of acetylacetonatogold complexes as reagents for preparing gold(III) complexes with C, N and O donor ligands and gold(I) complexes with phosphorus ylide, methanide, methanediide, sulfur ylide, amino, amido, nitrido alkyl, phosphido, thiolato, hydrosulfido, trithiocarbonato, dithiocarbamato, 1,1 dithiolato and alkynyl (including ethynyl) ligands.

### 2.19.3 Photolysis

Certain organometallics have been prepared using photolysis as the basis of synthesis. Photolysis in this case is referred to as the use of ultra-violet or visible irradiation in the synthesis of organometallics. Irradiation of iron pentacarbonyl at or below room temperature using ultraviolet irradiation affords diiron nonacarbonyl (King and Bisnette, 1964). The synthesis of (1,3-allyl-cyclohepta-4,6-diene) cobalt from cobalt octacarbonyl and cycloheptatriene involves the irradiation in toluene for 16 hours

under nitrogen using a 1000 watts mercury ultraviolet lamp (Chaudhari and Pauson, 1966). Ultraviolet irradiations of equimolar amounts of various metal carbonyls and triphenylphosphines in benzene for 18 hours have resulted in the isolation of various triphenylphosphine metal derivatives (Strohmeier and Bigorgne, 1965; Lewis *et al.*, 1963; King, 1967). Cyclopentadienyl metal nitrosyl derivatives of manganese and iron have been synthesized by photolysis of aqueous solution of sodium nitrite and  $C_5H_5Mn(CO)_2(PF_6)_2$  for 16 hours, the resulting former requiring addition in drop wise manner (King and Bisnette, 1967).  $(CH_3)_5C_5Co(CO)_2$  was prepared by irradiation of a mixture of dicobalt octacarbonyl, toluene, pentamethyl cyclopentadiene and hexane for 18 hours using a 450 watts mercury ultraviolet lamp. Removal of the solvent at 25 °C gave a residue which was extracted with pentane filtered and the pentane extract was cooled overnight to -78 °C to give black crystals. The red-brown filtrate obtained was air sensitive and evaporation afforded dark-red brownish crystals which on sublimation gave the red sublimate of  $(CH_3)_5C_5Co(CO)_2$  (Craid *et al.*, 1980). Photolytic studies of the reaction of  $\eta^5-C_5H_5Mo(CO)_3$  in tetrahydrofuran under carbonmonoxide and in the presence of liquid sulphurdioxide afforded a brown precipitate after two hours. The sulphurdioxide was allowed to evaporate and tetrahydrofuran was removed by rotary evaporation to give a residue which was extracted with tetrachloromethane, and the extract chromatographed on fluorisil. Elution with trichloromethane removed a purple band of unreacted  $\eta^5-C_5H_5Mo(CO)_3$  while with acetone, an orange band was characterized as  $\eta^5-C_5H_5Mo(CO)_2S(O)_2$  (Laura *et al.*, 2007). Reaction of (PNP)Li with  $TaF_5$  produces pentagonalbipyramidal (PNP) $TaF_4$ . Alkylation with MeMgBr allows for the isolation of (PNP) $TaMe_4$ . (PNP) $TaMe_4$  evolves thermally and/or photochemically into a bis(methylidene) complex (PNP) $Ta(dCH_2)_2$ . The identity of the latter has been established by X-ray structural, NMR spectroscopic, and DFT computational studies (Louis, 1990). Several new synthetic approaches to functionalized chromium amino- carbene complexes were developed (Thomas and Javier, 2004) making a wide array of complexes, including those containing optically active auxiliaries, available. Photolysis of these optically active carbene complexes in the presence of imines produces optically active p-lactams in high chemical and optical yield. Procedures for removal of the optically active auxiliary to produce the optically active free aminop-lactams have been developed (Amanda *et al.*, 2001). The photochemistry of five diruthenium hexacarbonyl tetrahedrane compounds,

$\text{Ru}_2(\text{CO})_6(\text{l-S}_2\text{C}_6\text{H}_4)$ ,  $\text{Ru}_2(\text{CO})_6(\text{l-S}_2\text{C}_2\text{H}_4)$ ,  $\text{Ru}_2(\text{CO})_6(\text{l-S}_2\text{C}_3\text{H}_6)$ ,  $\text{Ru}_2(\text{CO})_6(\text{l-SCH}_2\text{CH}_3)_2$ , and  $\text{Ru}_2(\text{CO})_6(\text{l-dmpz})_2$ , where  $\text{dmpz}^{1/3}$ , 3,5-dimethylpyrazolate, were examined in frozen Nujol glasses at ca. 90 K. These compounds were found to lose CO upon UV photolysis to form two isomeric photoproducts. Three ruthenium sulfide clusters with labile  $\text{CH}_3\text{CN}$  ligands have been photochemically synthesized. Irradiation of  $[(\text{cymene})_3\text{Ru}_3\text{S}_2](\text{PF}_6)_2$  in  $\text{CH}_3\text{CN}$  gives  $[(\text{cym})_2(\text{CH}_3\text{CN})_3\text{Ru}_3\text{S}_2](\text{PF}_6)_2$ , which were characterized by  $^1\text{H}$  NMR spectroscopy, ESI mass spectrometry, and chemical reactivity (Thomas *et al.*, 1996). The synthesis and characterization of new ferrocene derivatives of the type  $\text{Fe}_2(\text{CO})_6\text{S}_2\text{Fc}_2$  (Fc=Ferrocenyl) was reported (Abdulhamid, 2007). These compounds have been prepared by the reaction of the  $\text{Fe}_2(\text{CO})_9$  complex with a stoichiometric amount of the diferrocenyl disulphur compounds in a near refluxing THF solvent. Furthermore, the consequent mono- and di- trimethylphosphine derivatives were synthesized by photolysis or thermolysis of the  $\text{Fe}_2(\text{CO})_6\text{S}_2\text{Fc}_2$  complex in the presence of the phosphine ligands. Also, the compounds:  $\text{Fe}_2(\text{CO})_6\text{S}_2\text{Fc}_2$ ,  $\text{Fe}_2(\text{CO})_5\text{PMe}_3\text{S}_2\text{Fc}_2$ , and  $\text{Fe}_2(\text{CO})_4(\text{PMe}_3)_2\text{S}_2\text{Fc}_2$  have been fully characterized by IR,  $^1\text{H}$ ,  $^{13}\text{C}$ , and  $^{13}\text{P}$  NMR spectroscopy.  $\text{Fe}_2(\text{CO})_6\text{S}_2\text{Fc}_2$  crystal structure determinations confirm that the two ferrocenyl groups are trans to each other (Abdulhamid, 2007).

## 2.20 Literature review of theoretical methods

Molecular modeling has emerged as a viable and powerful approach to Chemistry. Molecular mechanics calculations coupled with computer graphics are now widely used in lieu of “tactile models” to visualize molecular shape and quality steric demands. Computational (Theoretical) Chemists continue to play an ever increasing role in chemical research and teaching (Dearing, 1988).

Software tools for computational Chemistry are based on empirical information. To use these, one needs to understand how the technique is implemented and the nature of the database used to parameterize the method (Gund *et al.*, 1988). These guidelines were proposed for reporting molecular modeling results and to encourage computational Chemists /researchers to provide certain information so that others can reproduce and analyse their results (Craig, 2001).

Molecular modeling has become a vital technique in chemical research, and there are some unique challenges on the modeling of d- and f-block complexes (Gloria *et al.*, 2002). Theoretical rationalization of the interaction between a Cobalt phthalocyanine (CoPc) and 2-mercaptoethanol (2ME) at PM3(tm) Semi empirical level of calculation was performed (Atay *et al.*, 2002). The interaction energy profile (IEP) was obtained along the  $r_{\text{Co}\dots\text{s}}$  reaction coordinate which allows the identification of the relevant species involved in the interaction, i.e. the A structure of  $r_{\text{Co}\dots\text{s}}$  is 2.2Å, the transition state (TS) at  $r_{\text{Co}\dots\text{s}}$  is 3.3Å and B structure at  $r_{\text{Co}\dots\text{s}}$  3.9Å. Reactivity descriptors such as Frontier molecular orbital (FMO), Fukui functions and local hardness are applied to the three species in order to identify the reactivity sites and to explain the interaction between CoPc and 2ME (Atay *et al.*, 2002). Modeling of the metal ion/ligands complexation reaction between divalent metal cations ( $\text{M}^{2+}$ ) and hydrophilic tridentate ligands murexide ( $\text{Mu}^-$ ), in water, water + glycerol, and in glycerol, was carried out. Kinetic studies showed that the rate of the reaction is very dependent on the metal ion, with  $\text{Zn}^{2+} > \text{Ni}^{2+}$ , but, reactions are slower in dispersed glycerol than in water. Theoretical calculations, which have shown metal ion dependence, were consistent with experimental observations. The entropy values calculated in all solvents were negative and were similar in value, indicating that these spontaneous reactions are enthalpy driven. Diego *et al.*, 2002 discovered that a folded envelope geometry was encountered in structurally characterized high valent early transition metal compounds containing a substituted 1,4-diaza-1,3-butadiene ligand. In these cases the diazabutadiene ligand can be regarded as a dianionicene- diamido ligand and the folded five member ring can be divided as a metallocyclo-2,5-diazapent-3-ene. A comparable metallocyclopent-3-ene description is attributed to  $\eta^4$ -butadiene complexes of early transition metals in which the ligand exhibits a  $\sigma^2, \pi$ -character. For this reason a parallel description was initially adopted by several authors for the enediamido ligands and the origin of the folding of the five member ring was attributed to the situation of the metal centre through the C=C double bond. A DFT study of the model complex  $[\text{CpTaCl}_2(\text{HNCHCHNH})]^1$  and Compound  $[\text{CpTaCl}_2(\eta^4\text{-butadiene})]^2$  were carried out. The differences in the bonding description of these ligands coordinated to the identical  $[\text{CpTaCl}_2]$  moiety are discussed through the examination of the HOMOs of 1 and 2 on the basis of FMO analysis (Diego and Augustin, 2002). However, it was predicted that many reactions of transition metal compounds involve a change in

spin. These reactions may proceed faster, slower or at the same rate as otherwise equivalent processes in which spin is conserved. For example ligand substitution in  $[\text{CpMo}(\text{Cl})_2(\text{PR}_3)_2]$  is faster than expected, whereas addition of dinitrogen to  $[\text{Cp}^*\text{Mo}(\text{Cl})(\text{PMe}_3)_2]$  is slow. Spin-forbidden oxidative addition of ethylene to  $[\text{Cp}^*\text{Ir}(\text{PMe}_3)_2]$  occurs competitively with ligands association. To explain these observations, the researchers discussed the shape of the different potential energy surfaces (PESs) involved, and the energy of the minimum energy crossing points (MECPs) between them. This computational approach is of great help in understanding the mechanisms of spin-forbidden reactions, provided that accurate calculations can be made to predict the relevant PESs. Density functional theory, especially using gradient-corrected and hybrid functional, performs reasonably well for difficult problem of predicting the energy splitting between different spin states of transition metal complexes, although careful calibration is needed (Rinaldo and Jeremy, 2003). Transition metal fragments are proposed to overcome the unfavourable interaction arising from the splayed out  $\pi$ -orbitals of the five- and six-member rings of  $\text{C}_{60}$  and  $\text{C}_{70}$  in complex formation. Computations carried out at Semi empirical PM3(tm) level on a series of  $\text{C}_{60}\text{MC}_n\text{H}_n$  and  $\text{C}_{70}\text{MC}_n\text{H}_n$  complexes suggest that it is possible to stabilize  $\eta^6$  complexes of  $\text{C}_{60}$  and  $\text{C}_{70}$  using appropriate transition metal fragments (Eluvathigal *et al.*, 2000). Molecular modeling and assignment of electronic absorption spectra of mononuclear  $[\text{Fe}(\text{S}_2\text{-o-xy})_2]^{2-}$  and two binuclear  $[\text{Fe}_2(\text{S}_2\text{-o-xy})_3]^{2-}$  isomers were performed by Semi empirical calculations. The comparisons with available experimental data confirmed the reliability of the Semi empirical ZINDO/ S method in predicting the electronic absorption properties of these synthetic model complexes. Calculations with ZINDO/1 have also shown that the sync- binuclear ion is more stable than the anti- $[\text{Fe}(\text{S}_2\text{-o-xy})_3]^{2-}$  ion (Mehmet, 2006). In coordination chemistry, many reactions involve several electronic states, in particular states of different spin. This phenomenon of ‘Multiple-State Reactivity’ has been recognised for some time, both in gas-phase reactions of ‘bare’ metal ions, and for transition metal complexes in solution. Until recently, however, much of the discussion of these systems has remained qualitative, because standard computational methods do not allow the location of the critical points for these processes and the Minimum Energy Points (MECPs) between states of different spin. Increased computational resources and new algorithms now enable MECPs to be located for large, realistic transition

metal containing systems, yielding important reactions such as oxidative addition of C-H bonds to metal centres and ligands association/dissociation processes. Several examples are presented for inorganic, organometallic and bioinorganic reactions (Harvey *et al.*, 2003). The density functional theoretical results of Structural, electronic, and optical properties of ligands free  $Zn_mSe_n$  clusters as a function of size of the cluster were reported. The results include the radial distribution of atoms and of Mulliken populations, the electronic energy level (in particular the HOMO and LUMO), the band gap and the stability as a function of size and composition (Goswan *et al.*, 2006). The aromatic properties were investigated using the ground state geometry and electronic structure of  $M^2_4$  cluster ( $M = B, Al, Ga$ ). The calculations were performed by employing the Density Functional Theory (DFT) method. It was found that all these three clusters adopted square planar  $M^2_4$  dianion and exhibits characteristics of multifold aromaticity with two localized  $\pi$ -electrons. In spite of the unstable nature of these dianionic clusters in the gas phase, their interaction with the sodium atoms forms very stable bipyramidal  $M_4Na_2$  complexes while maintaining their square planar structure and aromaticity (Sandeep *et al.*, 2006). Theoretical study on a class of organometallic complexes containing the all-metal aromatic unit  $Ga_3$  on the basis of density functional theory calculations on a series of model sandwich-like compounds  $[DM(Ga_3)]^{3-}$ , as well as those of the saturated compounds,  $[DM_n(Ga_3)]$ ,  $[D = Ga^-_3, Cp^- (C_5H_5^-); M = Li, Na, K, Be, Mg, Ca]$  and extended compounds  $(Cp^-)_m (Li^+)_n (Ga_3^-)_o$  ( $m, n$  and  $o$  are integers). For the six metals, the all-metal aromatic  $Ga_3^-$  can only be assembled and stabilized in the ‘heterodecked sandwich’ scheme. The results revealed that the electronic, structural and aromatic properties of the all-metal  $Ga_3^-$  could be well retained during the assembly, which is vindicative of ‘building block’ character (Li-ming *et al.*, 2008). The combined use of theoretical and mathematical methods in the analysis of electron paramagnetic resonance data has greatly increased the ability to interpret even the most complex spectra reported for doublet state inorganic main group radicals. The account summarises the theoretical basis of such an approach and provides an in-depth discussion of some recent illustrative examples of the utilization of this methodology in practical applications. The emphasis is on displaying the enormous potential embodied within the approach (Heikki *et al.*, 2007). Computer modeling of mixed metal fluorides for optical applications describe a new computational method for predicting the optical behaviour



of doped inorganic materials. There is considerable interest in using inorganic materials in photonic devices, and in many cases, the optical properties of these materials depend on doping by ions such as those from rare earth series. Among the inorganic materials of interest are mixed metal fluorides (e.g. BaLiF<sub>3</sub>, BaY<sub>2</sub>F<sub>8</sub>, YLiF<sub>4</sub>, LiCaAlF<sub>6</sub>, LiSrAlF<sub>6</sub>), doped with trivalent rare earth ions. The paper described the use of Mott-Littleton calculations to determine the optimum location for dopant ions, followed by crystal field calculations which make direct use of the output of Mott-Littleton calculations to calculate the optical properties of the dopant ion taking into account its symmetry and the positions of the surrounding ions, including any vacancies or interstitial ions present by virtue of charge compensation. It is then possible to predict whether a given dopant ion at particular site in a material will have favourable optical properties (Robert *et al.*, 2004).

**Electronic spectroscopic Studies:** The primary method for computing transition energies to electronically excited states within the framework of DFT is to employ a time-dependent formalism (Rung and Gross, 1984; Bauernschmitt and Ahlrichs, 1996) and the strengths, limitations of that model were shown and discussed (Van Leeuwen, 2001; Burke *et al.*, 2002; Marques and Gross, 2004). With respect to transition-metal complexes, a particularly troublesome feature of TD-DFT is the tendency of many functionals to significantly underestimate the energies of excited states characterized by high degrees of non-local charge transfer, although in principle, this problem may be addressed by replacing the DFT exchange functional by 100% Hartree-Fock exchange (Dreuw and Head-Gordon, 2005) by using certain range-separated functionals, as in a recent application to charge transfer excitation in pyridine complexes with Ag<sub>2</sub>O (Arcisauskaite *et al.*, 2009), or by going beyond first-order response. Nevertheless, linear-response TD-DFT with various functionals continues to be an especially useful one-electron model for understanding the optical spectra of transition-metal complexes.

The TD-B3LYP was used to study the singlet and triplet excited states of Ruthenium(II) polypyridine complexes and to explain their photodissociation behaviours on the basis of the excited-state potential energy surfaces (Salassa *et al.*, 2008). A number of earlier TD-DFT studies of Ruthenium and Osmium polypyridyl complexes have been reported with the goal of rationalizing their absorption and

emissionspectra in the gas and condensed phases (Guillemoles *et al.*, 2002; Laine *et al.*, 2005; Nazeeruddin *et al.*, 2005; Laine *et al.*, 2006; Charlot and Aukauloo, 2007; Marcaccio *et al.*, 2008; Abrahamsson *et al.*, 2008). Jackson *et al.*, 2008, have employed TD-DFT to characterize the intense near UV absorptions in axially ligated FeIVQO complexes as ligand to FeQO charge transfer transitions and thereby rationalize the strong resonance enhancement of the FeQO stretching mode upon excitation of these transitions. Hutinet *et al.*, 2007, characterized the unusual mixed valence excited state of a binuclear copper helicate with TD-B3LYP and TD-BP86 and further computed its circular dichroism in order to assign its absolute chirality which derived from an interesting self-sorting process during crystallization. This was later shown in a related work by Schultz *et al.*, 2008, who showed that this mixed valence behaviour extends over all four copper atoms in a helicate dimer, thereby rationalizing experimental cyclic voltammetry data and indicating a potential means to construct a wrapped molecular copper wire. In a related work, Bar-Nahum *et al.*, 2009, found TD-B98 to be highly helpful in explaining an unusual long wavelength metal to ligand charge-transfer transition occurring in a mixed valence trinuclear copper disulphide. A recent review provides an overview of DFT and TD-DFT applications to electronic spectroscopy and excited-state properties of  $d^6$  metal carbonyls, strongly phosphorescent cyclometallated complexes, RuII photosensitizers (as used, for example, in solar cells and light switches), and isonitrile complexes of Re(I) and Ru(II) (Fan *et al.*, 2008). They used spin-unrestricted TD-DFT with the BP86 density functional, a continuum solvation model, and empirical corrections to calculate circular dichroism spectra of a number of trigonal dihedral Cr(III)  $d^3$  complexes and compared this to earlier related work.

Peralta *et al.*, 2008, used TD-DFT and magnetically perturbed TD-DFT with statistical averaging of orbital potentials to calculate ultraviolet and magnetic circular dichroism spectra of MTAP ( $M = Ni, Zn$ ) and ZnPc where TAP denotes tetraazaporphyrin. Another particularly useful application of TD-DFT is for the interpretation of the optical spectra of intermediates too reactive to be readily isolated (Schipper *et al.*, 2000). Thus, Kunishita *et al.*, 2007, inferred the creation of a reactive Cu(II) 2-hydroxy-2-hydroperoxypropane intermediate upon addition of hydrogen peroxide to a solution of a supported Cu(I) complex in acetone based on a comparison of measured UV spectral data to those computed at the TD-B98 level, thereby rationalizing subsequent reactivity



of this complex. In the area of larger inorganic clusters, Stener *et al.*, 2007, has successfully reproduced and rationalized the blue shift in the optical spectra of gold nanoparticles with decreasing cluster size using scalar relativistic TD-DFT applied to clusters of 146, 44, and 6 gold atoms. Stener *et al.*, 2008a also examined the optical spectroscopy of the  $\text{WAu}_{12}$  and  $\text{MoAu}_{12}$  clusters at the TD-DFT level, noting that while spin-orbit coupling merely shifts the energies of the lower excitations in the former compound, it gives rise to more complex splittings in many of the excitations for the latter compound. In another related work by Stener *et al.*, 2008b, reported their results for the anionic dodecahedral clusters,  $\text{VAu}_{12}$ ,  $\text{NbAu}_{12}$ , and  $\text{TaAu}_{12}$ . It is important to note that medium effects on optical spectra (solvatochromism) can be large for polar transition metal compounds, and the inclusion of such effects in the TD-DFT model is typically most efficient when the surrounding medium is modeled as a dielectric continuum. Thus, for example, Charlot and Aukauloo, 2007, have assessed aqueous solvatochromic effects on the spectra of Ru(II) polypyridine complexes using the non-equilibrium polarized continuum model (PCM) (Caricato *et al.*, 2006; Scalmani *et al.*, 2006). Casarin *et al.*, 2007 showed good utility in the prediction of relative transition energies, and this has been applied to assess the covalency of metal-chloride bonds in metallocene dichlorides, both with (Casarin *et al.*, 2007) and without (Kozimor *et al.*, 2008) accounting for relativistic spin-orbit effects. The inclusion of spin-orbit effects using the two-component zeroth-order regular approximation (ZORA) leads to significant improvements in predicted absolute excitation energies for heavy transition metals (Fronzoni *et al.*, 2005).

A particularly interesting application of this method is to study benzenedithiolate complexes of Ni, Pd, Pt, Cu, Co, and Au offered insights into those factors affecting the innocent or non-innocent nature of the benzodithiolenes (Ray *et al.*, 2007). Other recent studies have provided insights into the nature of relativistic and solvation effects on K-edge XAS spectra for Fe(II) and Fe(III) species (Deedbeer *et al.*, 2008), as well as for “superoxidized” Fe(V) and Fe(VI) complexes (Berry *et al.*, 2008; Sarangi *et al.*, 2008). They used both ground-state DFT and valence and near-edge TD-DFT to compare changes in bonding, UV spectra, and pre-edge XAS spectra between the wild-type blue copper active site of azurin and a mutant- substituting selenocysteine for cysteine. These authors found that changes in Cu-S vs. Cu-Se bond distances led to

very similar covalencies in the two copper–chalcogen bonds, so that spectra were minimally affected in spite of the  $0.2\text{\AA}$  variation in bond lengths. As an alternative method to computing electronic excitation energies, (Cheng *et al.*, 2008; Gilbert *et al.*, 2008), have both recently described an approach called excited-state DFT (eDFT) or the maximum overlap method (MOM), where in each instance, the Kohn–Sham procedure is modified so that the total energy is minimized, subject to a constraint that the final density has maximal overlap with a target density. In this way, densities that correspond to systems with one or more holes in low energy orbitals may in principle, be constructed and their Kohn–Sham energies determined directly (as opposed to using TD-DFT). In the case of a system of non-interacting electrons, selection of a target density is trivially accomplished by swapping one or more virtual orbitals with occupied orbitals. By turning on the adiabatic coupling in small steps, Cheng *et al.*, 2008, found that they were able to achieve self-consistent eDFT solutions even for Rydberg states and Gilbert *et al.*, 2008 showed the utility of MOM for charge-transfer excited states. The work may be too preliminary to have its application extended to any transition-metal containing systems, but it has the potential to sidestep known problems with TD-DFT in such complexes. An interesting question in the spectroscopy and optics of metal clusters is the quenching of spin–orbit coupling as a function of geometry. This was studied using PBE for gold clusters by Castro *et al.*, 2008. One key application where it is important to predict the correct spin-state splitting is multi-state reactivity. Yan *et al.*, 2006 used B3LYP calculations to study the gas-phase spin-forbidden addition reactions of  $\text{Y}^+$ ,  $\text{Zr}^+$ ,  $\text{Nb}^+$ ,  $\text{Mo}^+$ ,  $\text{Pd}^+$ , and  $\text{Ag}^+$  with  $\text{N}_2\text{O}$  to form  $\text{MONN}^+$ , where M denotes the metal atom; the location of the crossing scheme is sensitive to the spin-state splitting. Additional examples are found in the two-state reactivity examples studied by Shaik *et al.*, 2007, and in the two-state triplet–singlet mechanism for olefin epoxidation and oxidation reactions. The computation of vibrational frequencies is nearly always undertaken in modern practice in order to verify the nature of optimized stationary points. One study focusing more specifically on an information provided by the vibrational spectrum has been described (Hebben *et al.*, 2007). In order to critically assess a prior suggestion that homoaromatic interactions between ethylene ligands causes the geometry of  $\text{Ni}(\text{C}_2\text{H}_4)_3$  to have all of its heavy atoms in a common plane, they used BP86 calculations to assign in detailed measured IR and Raman spectra for  $\text{Ni}(\text{C}_2\text{H}_4)_3$ ,  $\text{Pd}(\text{C}_2\text{H}_4)_3$ , and  $\text{Pt}(\text{C}_2\text{H}_4)_3$ . From analysis of the interfragment

force constants, they concluded that homoaromaticity plays no role in the structures of these species: the Ni and Pd cases are well described as Dewar–Chatt–Duncanson complexes; the Pt case includes some metallocyclopropane character. A prior study noted the high accuracy of hybrid functionals with respect to predicting the vibrational spectra of  $\text{Ni}(\text{C}_2\text{H}_4)$  and  $\text{Ni}(\text{C}_2\text{H}_4)^+$  (Alexander and Dines, 2004). Vibrational spectroscopy can be useful in assigning chirality in transition metal complexes, typically from comparison of experimental and computed vibrational circular dichroism (VCD) or Raman optical activity (ROA) spectra. Some recent examples in this area include prediction of VCD and ROA (Sato *et al.*, 2006), spectra for some chiral tris(acetylacetonato)metal complexes. Coupling optical and vibrational spectroscopies, resonance Raman and off-resonance Raman spectroscopies also play key roles in the characterization of transition-metal containing systems (Petrenko *et al.*, 2006). Recent advances in the direct computation of resonance Raman intensities have been reported (Johnson and Petcolas, 1976) and applications have included examination of the first photoexcitation step in a tetranuclear ( $\text{Ru}_2\text{Pd}_2$ ) light-harvesting complex (Herrmann *et al.*, 2007) and the determination that benzenedithiolate ligands need not be innocent in complexes of group 8, 9, and 10 metals (Petrenko *et al.*, 2006). Another interesting use of computed vibrational frequencies is for the construction of molecular partition functions from which equilibrium or kinetic isotope effects (EIEs and KIEs, respectively) may be computed so as to gain improved insight into electronic structure or mechanism. Popp *et al.*, 2007, reported a computed  $^{18}\text{O}/^{16}\text{O}$  KIE in good agreement with experiment for the formation of a  $\text{PdO}_2$  adduct. They found that the rate-determining step involved an end-on to side-on isomerization of the  $\text{O}_2$  unit, which was contrary to an earlier proposal that the measured KIE was consistent with a concerted 2-electron oxidation and direct side-on binding of the  $\text{O}_2$ . (Lanci and Ruth, 2006; Lanci *et al.*, 2007; Roth and Cramer, 2008), have also been quite active in comparing experimental and DFT-derived KIEs in transition-metal containing systems (Lanci and Ruth, 2006; Lanci *et al.*, 2007). Carbonniere *et al.*, 2006 studied the harmonic effects on the vibrational spectra of  $d^0$  transition-metal peroxides and found B3LYP and PBE0 to offer good comparison with experiment, while BP86, OLYP, and TPSS did less well. Applications of TD-DFT are carried out using the linear-response formalism in which the applied electromagnetic field is weak; this is sufficient for single-photon spectroscopy.

Nonlinear optics and two-photon spectroscopy (Rubio-Pons *et al.*, 2006) require high-order treatments or a combination of TD-DFT with a sum over states.

Due to their tremendous importance in biology and their possible extension to bioinspired catalytic systems, the chemistry of heme- and non-heme-supported iron-oxo species had been subject of extensive theoretical attention (Yoshizawa *et al.*, 1977; Solomon *et al.*, 2000; Ghosh *et al.*, 2004; Abu-Omar *et al.*, 2005; Kryatov *et al.*, 2005; Bassan *et al.*, 2006; Park *et al.*, 2006; Brown *et al.*, 2007; Harman *et al.*, 2007; Nam, 2007; Neidig *et al.*, 2007; Pau *et al.*, 2007; Que, 2007; Bell *et al.*, 2008). For example, DFT-B3LYP calculations were instrumental in establishing that bis( $\alpha$ -diimine) complexes of single iron atoms hitherto commonly described as high-spin FeO complexes are properly described as antiferromagnetically coupled bis( $\alpha$ -diiminate)-Fe(II) species, the non-innocent ligands each having accepted one electron from iron into a low-energy p orbital. In a detailed study, the ability of various functionals to predict the binding energy of CO, NO, and O<sub>2</sub> to heme-supported iron was studied by Radon and Pierloot, 2008, used several functionals and also the CASPT2 model. These authors found that CASPT2 provided the best agreement with experiment and that the OLYP functional was similarly accurate. The performance of this functional in the prediction of state energy splitting in supported iron compounds is good, but the BP86 predicted severe overbinding while B3LYP and PBE0 predicted severe underbinding. A study by Strickland and Harvey, 2007, focused on the same binding processes (and also considered binding of H<sub>2</sub>O) and emphasized the contribution of a spin-crossover requirement to the kinetic barrier associated with CO binding with metals. (Shaik *et al.*, 2007; Altun *et al.*, 2007; Derat *et al.*, 2007; Hazan *et al.*, 2007; Li *et al.*, 2007; Wang *et al.*, 2007; Chen *et al.*, 2008a; Chen *et al.*, 2008b; Cho *et al.*, 2008; Hirao *et al.*, 2008; Shaik *et al.*, 2008; Wang *et al.*, 2008; Cho *et al.*, 2009; Klinker *et al.*, 2009), were prominent in characterizing the single- and two-state reactivities of various supported iron compounds, particularly for cases relevant to metalloenzymes like cytochrome P450, heme oxygenase, horseradish peroxidase and nitric oxide synthase, have provided additional recent insights into mechanistic details through DFT calculations, primarily making use of the B3LYP functional. The most recent example involves triplet and quintet reactivity in non-heme oxo-iron(IV), which was modeled in B3LYP calculations. In the case of polynuclear iron compounds, Reilly *et*

*al.*, 2007, employed PBE to study the ground state geometries and spin multiplicities of cationic iron oxide clusters containing one or two iron atoms and up to five oxygen atoms. They compared computed reaction paths for the oxidation of CO with mass spectrometric data to assess the relative facility of CO oxidation vs. oxygen atom replacement by CO and found good correlation between computed barriers and observed reaction channels. Gold/iron oxide composite nanoparticles have a number of potential biological applications, based on binding magnetic nanoparticles to various substances, and there are many questions about the binding strengths. Sun *et al.*, 2007, showed that the PW91 functionals applied to  $\text{Au}_6\text{Fe}_{13}\text{O}_8$  clusters can explain the differential binding ability of various amino acids to these nanoparticles. They also studied magnetic moments, bond distances, and gold coating energies in clusters up to size  $\text{Au}_5\text{Fe}_{13}\text{O}_8$  considering biologically relevant multinuclear iron complexes. Schwarz *et al.*, 2008, employed BP86 to correlate predicted d-orbital energy splittings with those determined from magnetic circular dichroism (MCD) for the two non-equivalent iron atoms in the active site of toluene-4-monooxygenase (T4MO) which is strongly similar to that of soluble methane monooxygenase (sMMO). Based on their calculations, which also addressed the energetics of different active site geometries formed from the two iron centres and anchored amino acid side chains acting as ligands, they concluded that a water molecule found to be axially bound to one of the iron centres in several sMMO X-ray crystal structures is unlikely to remain bound when the oxygenases are complexed with their respective effector proteins, T4moD and MmoB. They further concluded that complexation by these effector proteins is likely to change the orientation of a terminal glutamate ligand on one iron, thereby possibly facilitating formation of a  $\text{Fe}_2\text{O}_2$  reactive intermediate and rationalizing the 1000-fold increase in catalytic activity of sMMO when complexed with MmoB. Rinaldo *et al.*, 2007, examined the catalytic cycle of sMMO by employing a QM/MM method in which a QM subsystem is treated with the B3LYP functional, and suggested that the protein stabilizes a peroxo intermediate having a  $m\text{-Z}_2\text{:Z}_2$  coordination geometry and also adjusts the overall thermochemistry so as to favour products over reactants, thus emphasizing the importance of including the full protein environment in the modeling of metalloenzymes. An additional spectroscopic technique that can be useful for characterizing the electron structure of iron compounds is Mossbauer spectroscopy. Han and Noodleman, 2008a, have reported good agreement

between experimental isomer shifts for 61 different iron sites and those computed at the PW91 level after applying a linear correction. (Han and Noodleman, 2008b), subsequently evaluated the performance of a number of other functionals for computed Mossbauer isomer shifts and found similarly good performance from OPBE and OLYP. Based on computed isomer shifts, geometries, pKa values, spin populations, predicted ground states, and quadrupole splittings, Han and Noodleman assigned a cis-*m*-1,2 peroxo bridging structure to intermediate state P of MMO, a bis(*m*-oxo) structure to intermediate Q, and a cis to trans isomerization pathway connecting P to Q, that is, coupled to specific ligand rearrangements. A different binuclear iron site of substantial biological interest was found in ribonucleotide reductase, where there has been substantial controversy with respect to the geometry and electronic structure of intermediate X in the catalytic cycle including antiferromagnetically coupled high-spin Fe(III) and Fe(IV) centres (Solomon *et al.*, 2000; Kryatov *et al.*, 2005). Mitic *et al.*, 2007, have compared d-orbital energies computed for various hypothetical geometries at the BP86 level to splittings determined from MCD and predicted transition energies from TD-DFT and DSCF calculations for the same geometries to electronic spectroscopic data; based on their calculations, it was concluded that the best model for intermediate, X, involves one bridging-*m*-oxo group and one bridging-*m*-hydroxo group. This prediction stands in contrast to a prior suggestion of a bis-(*m*-oxo) core based on a similar analysis (Han *et al.*, 2006), illustrating the somewhat subtle spectral differences predicted for different model geometries. However, Mitic *et al.*, 2007, emphasized that the use of group theory to predict spectral intensities can offer helpful additional information for use in resolving spectral differences. Turning to polyiron clusters involving sulphide bridges in place of oxo bridges, which have been extensively studied by DFT and indeed have served as a testing and validation ground for broken-symmetry techniques over several years (Shoji *et al.*, 2007). In an effort to understand the widespread distribution of Fe<sub>4</sub>S<sub>4</sub> clusters in biology, Jensen *et al.*, 2008, have carried out BP86 calculations for MFe<sub>3</sub>S<sub>4</sub> and M<sub>2</sub>Fe<sub>2</sub>S<sub>4</sub> clusters where M = Cr, Mn, Fe, Co, Ni, Cu, Zn, and Pd. They observed good agreement for structures and spin states in cases that are known experimentally, and noted that the structures and reduction potentials of the cubic clusters are surprisingly insensitive to substitution of other transition metals, suggesting that this may be an evolutionary advantage. They also noted that the modified clusters have alternative spin states that lie closer in energy



to one another than those for the all-iron case, so that spin crossover may be more facile in the mixed-metal clusters. Li *et al.*, 2008, found that MR-CI calculations agreed with experiment that  $\text{FeO}_2$  is linear, but nine of the ten tested density functionals predicted it to be bent, with only BH&HLYP giving a linear ground state. However, BH&HLYP predicts qualitatively incorrect results for other states. The prediction of one-electron reduction (or oxidation) potentials for molecules containing transition metals has been extensively explored in recent past (Baik *et al.*, 2002; Uudsemaa and Tamm, 2003; Blumberger *et al.*, 2004; Tateyama *et al.*, 2005; Chiorescu *et al.*, 2008), building on the good success of DFT-based models applied to predict this property in organic systems (Patterson *et al.*, 2001; Fontanesi *et al.*, 2002; Bhattacharyya *et al.*, 2007; Hodgson *et al.*, 2007; Moeris *et al.*, 2008). Recent efforts in this area include a study by examining the aqueous  $\text{Ru}^{3+}|\text{Ru}^{2+}$  couple with 37 density functionals and five basis sets and further considering the effects of one or two explicit shells of solvent water (six or 18 water molecules, respectively) about the bare Ru ion in addition to continuum solvation. They concluded that at least, two shells of explicit water were necessary to approach quantitative agreement with experiment and that an important issue is a substantial degree of positive charge transfer from the metal ion to the outer-shell waters in microsolvated clusters. For two explicit solvent shells, the difference in solvation energy of  $\text{Ru}^{3+}$  and  $\text{Ru}^{2+}$  varies from 6.83 to 7.45 eV, depending on the choice of density functional and basis set, showing that one must be cautious about qualitative values of calculated reduction potentials especially in articles where the sensitivity to the functional is not explored. A QM/MM calculations on both  $\text{Ru}^{2+}$  and  $\text{Ru}^{3+}$  in aqueous solution carried out. Although the QM region was treated by Hartree–Fock theory (not DFT), the simulations provide valuable insight into the solvated structures presented in the solution. For example, for  $\text{Ru}^{3+}$  the second coordination shell contains only ten water molecules (Kritayakornpong, 2007). Three-body corrections to a purely MM calculation were insufficient to reproduce the hydration structure of  $\text{Ru}^{3+}$ . The structure of the hydration shell of  $\text{Fe}^{3+}(\text{aq})$  was studied (Amira *et al.*, 2005), and the hydrolysis of  $\text{Fe}^{3+}$  was studied (De Abreu *et al.*, 2006). The relative stability of hydrated oxo vs. hydroxy structures has been examined by experiments and calculations for compounds with the formula  $\text{MO}_2\text{H}_2^+$ , where M = Fe, Co, or Ni. For M = Ni, the  $(\text{H}_2\text{O})\text{MO}^+$  structure is favoured, whereas for M = Fe, the  $\text{M}(\text{OH})_2^+$  structure is favoured; for M = Co, the isomers are energetically similar (Schroder *et al.*, 2009). In

another work, focus was on a large number of supported Ru complexes due to their anticancer therapeutic properties. Chiorescu *et al.*, 2008, benchmarked various continuum solvation models in conjunction with the B3LYP functional for 80 reduction potentials in four solvents. Comparison with experimental data indicated that errors were minimized when solute cavities were constructed from Bondi's set of atomic radii. The default choices in some electronic structure programs were found to lead to errors in the region 70 to 140 mV. In another work aimed at predicting aqueous one-electron reduction potentials for the most common aqueous couple of all of the first-row transition metals (Moeri *et al.*, 2008; Moens *et al.*, 2007), found that the global value of the electrophilicity was a good predictor of reduction potentials when computed for clusters including two solvation shells about the central metal ion. Uudsemaa and Tamm, 2003, had previously studied these series, computing the reduction potentials directly from DFT and continuum solvation free energies, and had come to similar conclusions with respect to the importance of two solvation shells (Leib *et al.*, 2007). DFT work has been done to rationalize the influence that various factors may have on the activity of the supported ruthenium complexes (Correa and Cavallo, 2006; Webster, 2007; Mathew *et al.*, 2008; Zhao and Truhlar, 2009). Thus, for instance, Mathew *et al.*, 2008, have used DFT to study five different self-deactivation pathways, all based on intramolecular C–H bond activation, and suggested that increased rigidity in NHC substituents might be expected to improve catalyst stability. They used B3LYP and M06 to demonstrate that throughout the metathesis process, the olefin remains “bottom-bound” to the  $(\text{H}_2\text{IMes})(\text{Cl})_2\text{Ru}$  catalyst and the chlorides remained trans to one another, such that efforts to design diastereo- and enantioselective catalysts should be undertaken within this coordination environment. In another work, Benitez *et al.*, 2009, used the M06 functional to calculate the dissociation energy of the Ru bond to tricyclohexylphosphine ( $\text{PCy}_3$ ) in a Grubbs catalyst and found excellent agreement with experiment. They found that the M06 functional leads to improved accuracy over B3LYP (by  $0.5 \text{ kcal mol}^{-1}$ ) for relative energies of various stable intermediates and much improved accuracy (by  $23 \text{ kcal mol}^{-1}$ ) for  $\text{PCy}_3$ , and therefore concluded that their calculations settle a long-standing controversy. Zhao and Truhlar, 2009, then repeated the calculations with the Ru and Cl atoms removed to find the contribution of non-covalent interactions of the bulky ligands. For B3LYP, this non-covalent interaction is repulsive, but for M06-L it is attractive, and in fact it is  $4.5 \text{ kcal mol}^{-1}$  stronger for the



Grubbs I precatalyst than for the Grubbs II precatalyst. Thus the difference in the bond dissociation energies is essentially completely accounted for by non-covalent attractive interactions. This is an important qualitative finding because the catalyst design literature is almost entirely focused on the electron donating ability of the ligands and their potential coordinate covalent bonding strength, with some consideration given to steric crowding, but there has been essentially no consideration of attractive noncovalent interactions. M06-L gives a bond dissociation energy of 39–40 kcal mol<sup>-1</sup> for the Grubbs II precatalyst, whereas MPW1B95 gives 30 kcal mol<sup>-1</sup>, and B3LYP, TPSS, BP86, PBE, and PBE0 give values in the range 14–23 kcal mol<sup>-1</sup> (Benitez *et al.*, 2009). The value inferred from the experiment (Sanford *et al.*, 2001) in toluene is 27 kcal mol<sup>-1</sup>. After the 40 kcal mol<sup>-1</sup> value was published (Zhao and Truhlar, 2009), a gas-phase experiment was published (Torker *et al.*, 2008), yielding the same 40 kcal mol<sup>-1</sup>. This not only confirmed the M06-L calculation, but it showed that the older density functionals give much worse results for the large, real molecules than for the smaller model catalysts. This effect of size has also been noted in main-group chemistry and is attributed to an accumulation of medium-range correlation energy in large, complex systems, with smaller effects in extended chains. In more recent work, Stewart *et al.*, 2009, reported that B3LYP predicts geometries for Ru-metathesis-relevant complexes more accurately than M06-L. In another related work Pandian *et al.*, 2009, had successfully applied the M06 functional to the Ru-catalyzed ring closing metathesis of 1,6-heptadiene, while Sieffert and Buhl, 2009, studied the binding enthalpy of a triphenylphosphine ligand in Ru(CO)Cl(PPh<sub>3</sub>)<sub>3</sub>(CHQCHPh) with BP86, B3LYP, and the M05 and M06 families, as well as with density functionals to which an empirical MM dispersion is added. They found that BP86, B3LYP, and M05 do not reproduce the experimental results whereas all four members of the M06 family and the empirically corrected functional M05-2X was found to be intermediate. They agreed with the conclusion of Zhao and Truhlar, 2009, that non-covalent interactions make a very large contribution to the total binding enthalpy. The success of new density functionals for these non-covalent interactions allowed them to define a computationally efficient protocol for studying catalytic reactions. In another work on ruthenium, Caramori and Frenking, 2007, carried out energy decomposition analysis to characterize the bonding of NO to ground and excited-state ruthenium tetraamine complexes. Krapp *et al.*, 2007, have used BP86 and CCSD(T) calculations to clarify details of the electronic structures

of supported iron and ruthenium complexes coordinating naked carbon, e.g.,  $(\text{CO})_2(\text{PMe}_3)_2\text{Ru}(\text{C})$ . The Becke Three Lee Yang and Parr (B3LYP) calculations were used to assess mechanistic details associated with oxygen formation in water catalyzed by supported ruthenium complexes, an area that continues to generate intense interest to the experimental and theoretical chemists (Betley *et al.*, 2008; Cape and Hurst, 2008; Ketterer *et al.*, 2008; Liu *et al.*, 2008a; 2008b; Muckerman *et al.*, 2008; Glossman, 2009; Cramer and Thrular, 2009).

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## CHAPTER THREE

### SYNTHESIS, MODELING AND COMPUTATIONAL DETAILS

#### 3.1 Synthetic method

The organometallic compound  $[(1-5-\eta-C_6H_7)Fe(CO)_3]BF_4$  was synthesized using standard procedure acquired (Birch *et al.*, 1968) and recrystallized from hot water. The pyridines were purchased (BDH or Aldrich) in the purest grade available and the liquid samples were freshly distilled before use. Acetonitrile was distilled in bulk and magnesium sulphate added to remove traces of water before use.

#### 3.2 Addition of pyridines to Tricarbonyl (1-5- $\eta$ -cyclohexadienyl) iron tetrafluoroborate $[Fe(CO)_3(1-5-\eta-C_6H_7)]BF_4$

##### 3.2.1 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron tetrafluoroborate (I)

Solutions of  $[(1-5-\eta-C_6H_7)Fe(CO)_3]BF_4$  ; (0.046 g ; 0.15 mmol) in acetonitrile (5 ml) and pyridine (0.1185 g ; 1.5 mmol) in 5 ml of acetonitrile were mixed in a 50 ml of beaker and the mixture was allowed to stand at room temperature for 10 minutes. Rotary evaporation of the mixture at 35 °C and at reduced pressure produced a light brown solid which was shaken with 20 ml of light petroleum spirit (60-80 °C) and filtered. The resulting product was washed several times with petroleum ether to remove excess pyridine, and dried to give a pale yellow solid in 58% yield. Infra-red  $\nu_{CO}$  and  $\nu_{BF_4}$  in potassium bromide disc is given in Table 4.1.

### **3.2.2 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-2-methylpyridino-cyclohexa-1,3-diene) irontetrafluoroborate (II)**

An analogous reaction  $[(1-5-\eta-C_6H_7)Fe(CO)_3]BF_4$  ; (0.046 g ; 0.15 mmol) in acetonitrile (5 ml) and 2-methylpyridine (0.1545 g ; 1.5 mmol) in 5 ml of acetonitrile followed by a similar work-up to that of product (1) above produced product (II) as a brown oil of 60% yield. Its infra-red  $\nu_{CO}$  and  $\nu_{BF_4}$  bands together with elemental analytical data are given in Table 4.1

### **3.2.3 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-3-methylpyridino-cyclohexa-1,3-diene) irontetrafluoroborate (III)**

An analogous reaction of  $[(1-5-\eta-C_6H_7)Fe(CO)_3]BF_4$  ; (0.046 g ; 0.15 mmol) in acetonitrile (5 ml) and 3-methylpyridine (0.1545 g ; 1.5 mmol) in 5ml of acetonitrile followed by a similar work-up to that of product (1) above produced product (III) as a brown oil of 63% yield. Details of its infra-red spectrum in acetonitrile and  $\nu_{BF_4}$  bands in potassium bromide disc together with elemental analysis are provided in Table 4.1.

### **3.2.4 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-methylpyridino-cyclohexa-1,3-diene) irontetrafluoroborate (IV)**

An analogous reaction of  $[(1-5-\eta-C_6H_7)Fe(CO)_3]BF_4$  ; (0.046 g ; 0.15 mmol) in acetonitrile (5 ml) and 4-methylpyridine (0.1545 g ; 1.5 mmol) in 5 ml of acetonitrile followed by a similar work-up to that of product (1) above produced product (IV) as a brown solid of 63% yield. Details of its infra-red spectrum in acetonitrile and  $\nu_{BF_4}$  bands in potassium bromide disc together with elemental analysis are provided in Table 4.1.

### **3.2.5 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-amino pyridino-cyclohexa-1,3-diene) irontetrafluoroborate (V)**

An analogous reaction of  $[(1-5-\eta-C_6H_7)Fe(CO)_3]BF_4$  ; (0.046 g ; 0.15 mmol) in acetonitrile (5 ml) and 4-aminopyridine (0.141 g ; 1.5 mmol) in 5 ml of acetonitrile followed by a similar work-up to that of product (1) above produced product (V) as a brown solid of 63% yield. Details of its infra-red spectrum in acetonitrile and  $\nu_{BF_4}$

bands in potassium bromide disc together with elemental analysis are provided in Table 4.1.

### **3.2.6 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylaminopyridino- cyclohexa-1,3-diene) iron tetrafluoroborate (VI)**

Similar preparative route to that described for product (I) above using [(1-5- $\eta$ -C<sub>6</sub>H<sub>7</sub>)Fe(CO)<sub>3</sub>]BF<sub>4</sub> ; (0.046 g ; 0.15 mmol) in acetonitrile (5 ml) and 4-N-dimethylaminopyridine (0.183 g; 1.5 mmol) in 5cm<sup>3</sup> of acetonitrile gave the product (V1) as a brown solid of 64% yield. Details of its infra-red spectrum in acetonitrile and  $\nu_{\text{BF}_4}$  bands in potassium bromide disc together with elemental analysis are provided in Table 4.1.

### **3.3 Addition of pyridines to Tricarbonyl (1-5- $\eta$ -2-methoxycyclohexadienyl) iron tetrafluoroborate[Fe(CO)<sub>3</sub>(1-5- $\eta$ -2-MeOC<sub>6</sub>H<sub>6</sub>)]BF<sub>4</sub>**

#### **3.3.1 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate (VII)**

Solutions of Tricarbonyl (1-5- $\eta$ -2-methoxycyclohexadienyl) iron tetrafluoroborate [Fe(CO)<sub>3</sub>(1-5- $\eta$ -2-MeOC<sub>6</sub>H<sub>6</sub>)]BF<sub>4</sub>, (0.0504 g: 0.15 mmol.) in 5 ml of acetonitrile and pyridine (0.1185 g: 1.5mmol.) in 5 ml of acetonitrile were mixed in 50 ml beaker and the mixture was allowed to stand at room temperature for 10minutes. Rotary evaporation of the mixture at 35 °C and at reduced pressure produced a light brown solid which was shaken with 20 ml of light petroleum spirit (60-80 °C) and filtered. The resulting product was washed several times with petroleum ether to remove excess pyridine and dried to give product (VII) as a pale yellow solid in 64 % yield.

#### **3.3.2 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-2-methyl pyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate (VIII)**

An analogous reaction of [Fe(CO)<sub>3</sub>(1-5- $\eta$ -2-MeOC<sub>6</sub>H<sub>6</sub>)]BF<sub>4</sub>, (0.0504 g: 0.15 mmol.) in 5 ml of acetonitrile and 2-methylpyridine (0.1545 g: 1.5mmol.) in 5 ml of acetonitrile followed by similar work-up to that of product I above gave the product VIII as a light yellow solid in 60 % yield. This product turned dark brown on exposure to air indicating the decomposition due to attack by air. It also gave poor microanalysis and

showed the absence of nitrogen which suggests the loss of the 2-methylpyridino substituent in the decomposition process. The  $\text{IR}_{\text{CO}}$  in acetonitrile was  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$  while the  $\text{IR}_{\text{BF}_4}$  in nujol mull: ca  $1060\text{ cm}^{-1}$ (broad).

### **3.3.3 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-3-methyl pyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate (IX)**

An analogous reaction of  $[\text{Fe}(\text{CO})_3(1-5-\eta-2-\text{MeOC}_6\text{H}_6)]\text{BF}_4$ , (0.0504 g: 0.15 mmol.) in 5 ml of acetonitrile and 3-methylpyridine (0.1545 g: 1.5mmol.) in 5 ml of acetonitrile followed by similar work-up to that of product I above gave the product IX as an air-sensitive brown-oil. This product turned black on exposure to air indicating attack by oxygen and consequent decomposition. The  $\text{IR}_{\text{CO}}$  in acetonitrile was  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$  while the  $\text{IR}_{\text{BF}_4}$  in nujol mull: ca  $1060\text{ cm}^{-1}$ (broad).

### **3.3.4 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-methyl pyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate (X)**

An analogous reaction of  $[\text{Fe}(\text{CO})_3(1-5-\eta-2-\text{MeOC}_6\text{H}_6)]\text{BF}_4$ , (0.0504 g: 0.15 mmol.) in 5 ml of acetonitrile and 4-methylpyridine (0.1545 g: 1.5mmol.) in 5 ml of acetonitrile followed by similar procedure to that of product I above gave the product X as a yellow solid.  $\text{IR}_{\text{CO}}$  in acetonitrile,  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$ .  $\text{IR}_{\text{BF}_4}$  in nujol mull: ca  $1060\text{ cm}^{-1}$ (broad). An in "situ"  $^1\text{H}$  N.M.R spectrum of product (X) in  $\text{d}_3$ -acetonitrile is given in Table 4.4.

### **3.3.5 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-amino pyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate (XI)**

An analogous reaction of  $[\text{Fe}(\text{CO})_3(1-5-\eta-2-\text{MeOC}_6\text{H}_6)]\text{BF}_4$ , (0.0504 g: 0.15 mmol.) in 5 ml of acetonitrile and 4-aminopyridine (0.141 g: 1.5mmol.) in 5 ml of acetonitrile followed by similar work-up to that described for product I above gave product XI as a light brown solid in 67 % yield.  $\text{IR}_{\text{CO}}$  in acetonitrile,  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$ . Efforts to obtain a good  $^1\text{H}$  N.M.R spectrum for this product in both  $\text{d}_6$ -acetone and  $\text{d}_3$ -acetonitrile proved abortive. It thus requires be synthesizing and storing under dinitrogen atmosphere to keep it pure and stable.

### 3.3.6 Synthesis and characterization of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate (XII)

An analogous reaction of  $[\text{Fe}(\text{CO})_3(1-5-\eta-2-\text{MeOC}_6\text{H}_6)]\text{BF}_4$  , (0.0504 g: 0.15 mmol.) in 5 ml of acetonitrile and 4-N-dimethylaminopyridine (0.183 g: 1.5mmol.) in 5 ml of acetonitrile followed by similar work-up to that of product I above gave the product XII as an air-sensitive brown solid after the procedure.  $\text{IR}_{\text{CO}}$  in acetonitrile,  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$ .  $\text{IR}_{\text{BF}_4}$  in nujol mull: ca  $1060\text{ cm}^{-1}$ (broad). Product (XII) gave poor  $^1\text{H}$  N.M.R spectrum in  $\text{d}_6$ -acetone indicating attack by oxygen to form unknown species during the  $^1\text{H}$  N.M.R study.

## Modeling and Computational details

### 3.4 Methodology and software

Most applications of Semi empirical and DFT in chemistry are carried out using large electronic structure packages, and one way to understand the available methodology is to review the capabilities of these packages. Many of these packages are updated frequently, and many of them have web pages where new versions and added capabilities can be monitored. Specific implementations include SPARTAN, HYPERCHEM, QCHEM, GAUSSIAN and GAMESS. In this thesis we explored the SPARTAN molecular software package for our calculations (Spartan '10VI.1.0, 2010).

### 3.5 General description of Spartan

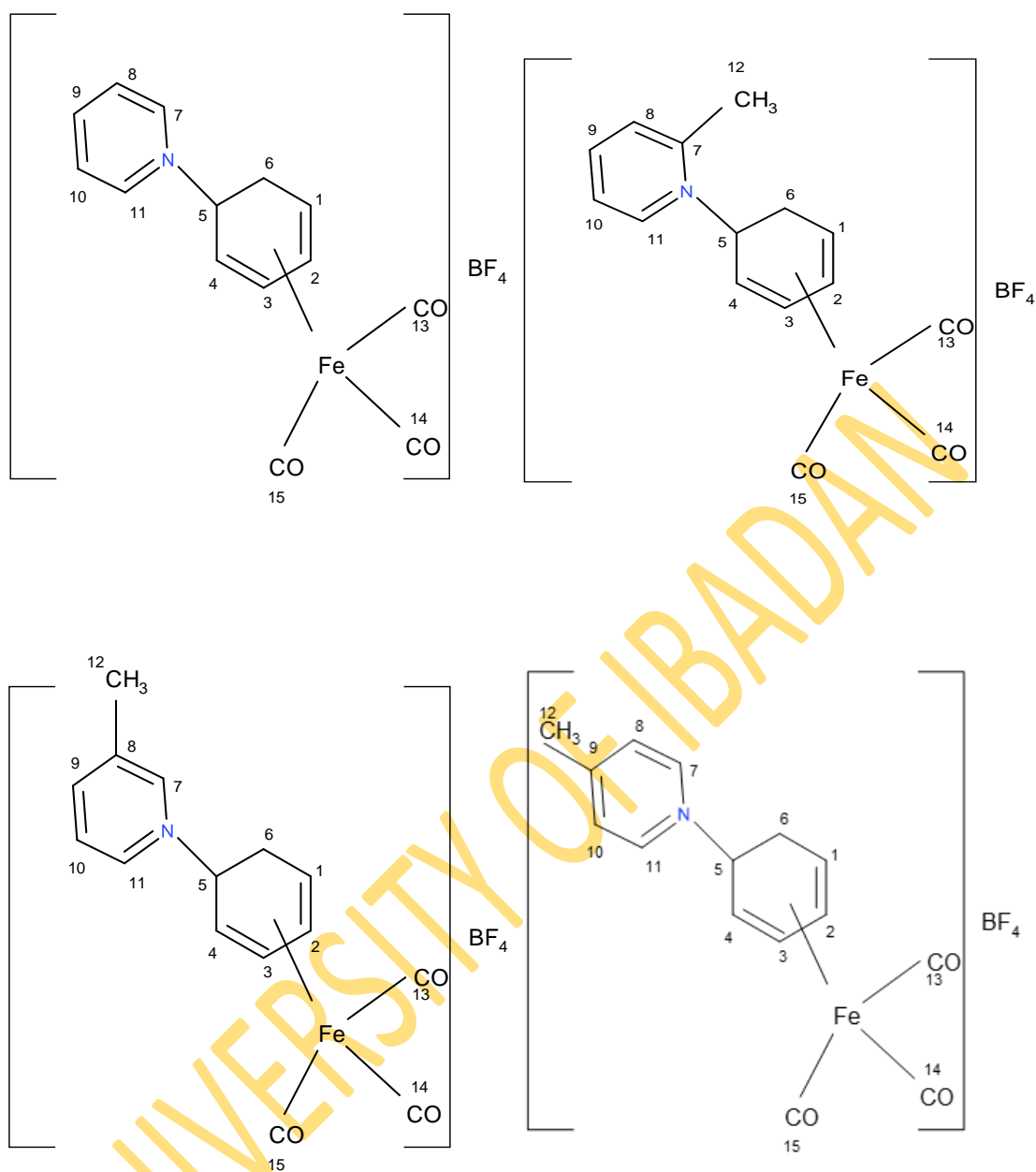
Spartan is a general, multipurpose scientific soft-ware package for the study of solid state molecular structures and reactions. The soft-ware package describes molecular mechanics models using the SYBYL and MMFF force fields, with aqueous energy correction available for the latter and quantum chemical models such as semi-empirical, ab-initio, density functional and Moller Plesset models. Molecular calculations in this thesis are carried out by implementing the Semi empirical PM3 and

Density Functional theory (DFT), Hartree-Fock (HF), and Time-dependent density functional theory (TDDFT) within the Spartan software package.

### **3.6 Computational methodology**

Spartan '10 V1.1.0 molecular software package was used for all the calculations and computations, all calculations and computations were done on isolated gaseous system at Temperature of 298.15K and a pressure of 1atmosphere. The structures of the organometallic complexes are presented in Figures 3.1 to 3.12. The computational methods used comprised of Semi empirical parameterization method PM3, Hartree-Fock HF, Density functional theory DFT, and Time-dependent density functional theory TDDFT. The PM3 was used in predicting geometrical parameters, dipole moment, thermodynamic stability, electronic states and vibrational frequencies. The density functional theory was used for the prediction of reactivity indices and molecular characterization of the complexes through infra-red, ultraviolet-visible,  $^1\text{H}$  and  $^{13}\text{C}$  neutron magnetic resonance spectroscopy. The Hartree-Fock procedure was used for predicting electronic states of these complexes and comparing the ionization energies and electron affinities with what is obtainable in DFT while the treatment of excited states was carried out by employing time-dependent density functional theory.



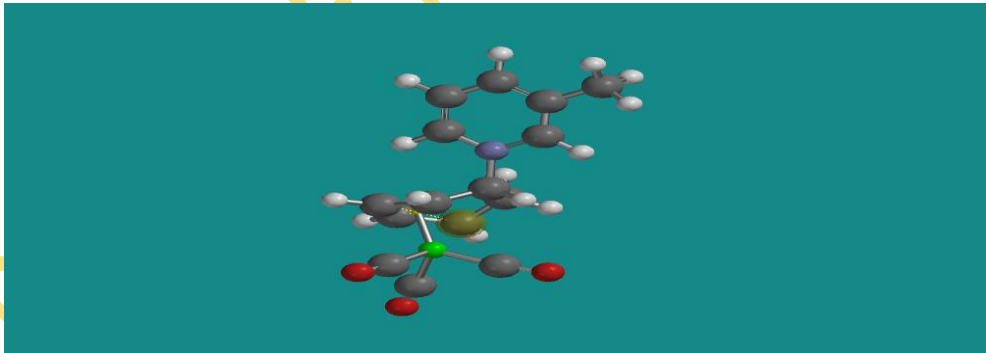
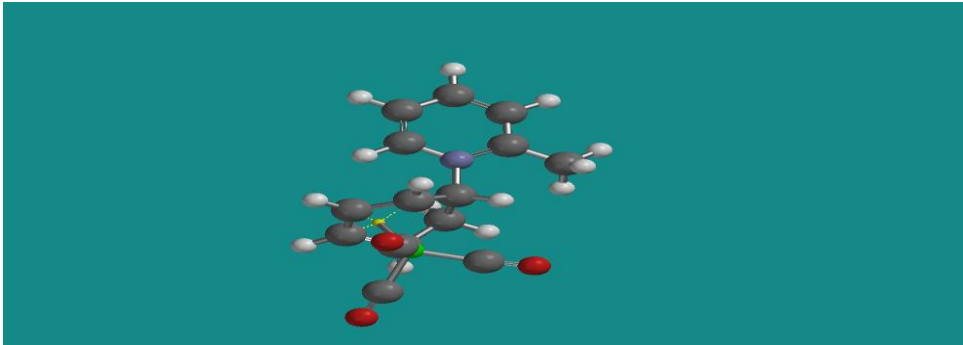
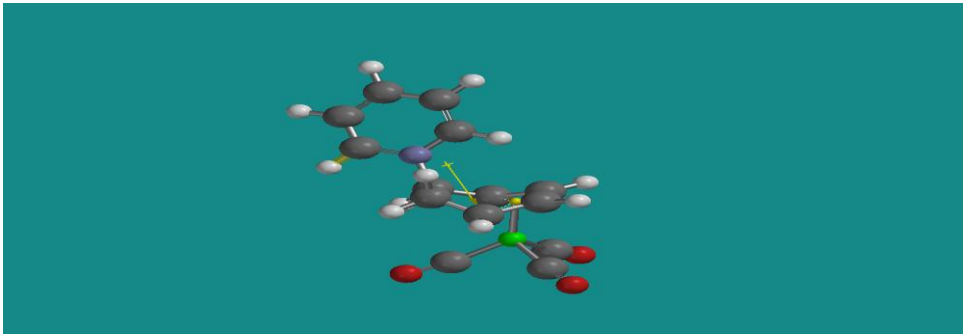


**Figure 3.1:** Structure of Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron tetrafluoroborate complexes

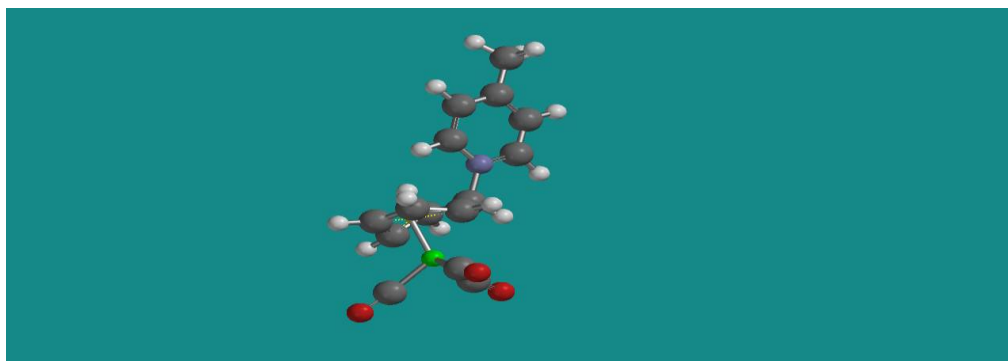
### **3.7 Computation of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron tetrafluoroborate complexes**

Semi-empirical PM3 Molecular orbital calculations were carried out on all the species involved in the study using Spartan '10. The method employed include semi empirical PM3 which is common and had been adjudged to be the best and most suitable for Transition metals and organometallic complexes, and all structures were fully optimized using their ground state geometries. The structures are presented in Figure 3.1 while the optimized geometries are shown in Plate 3.1.

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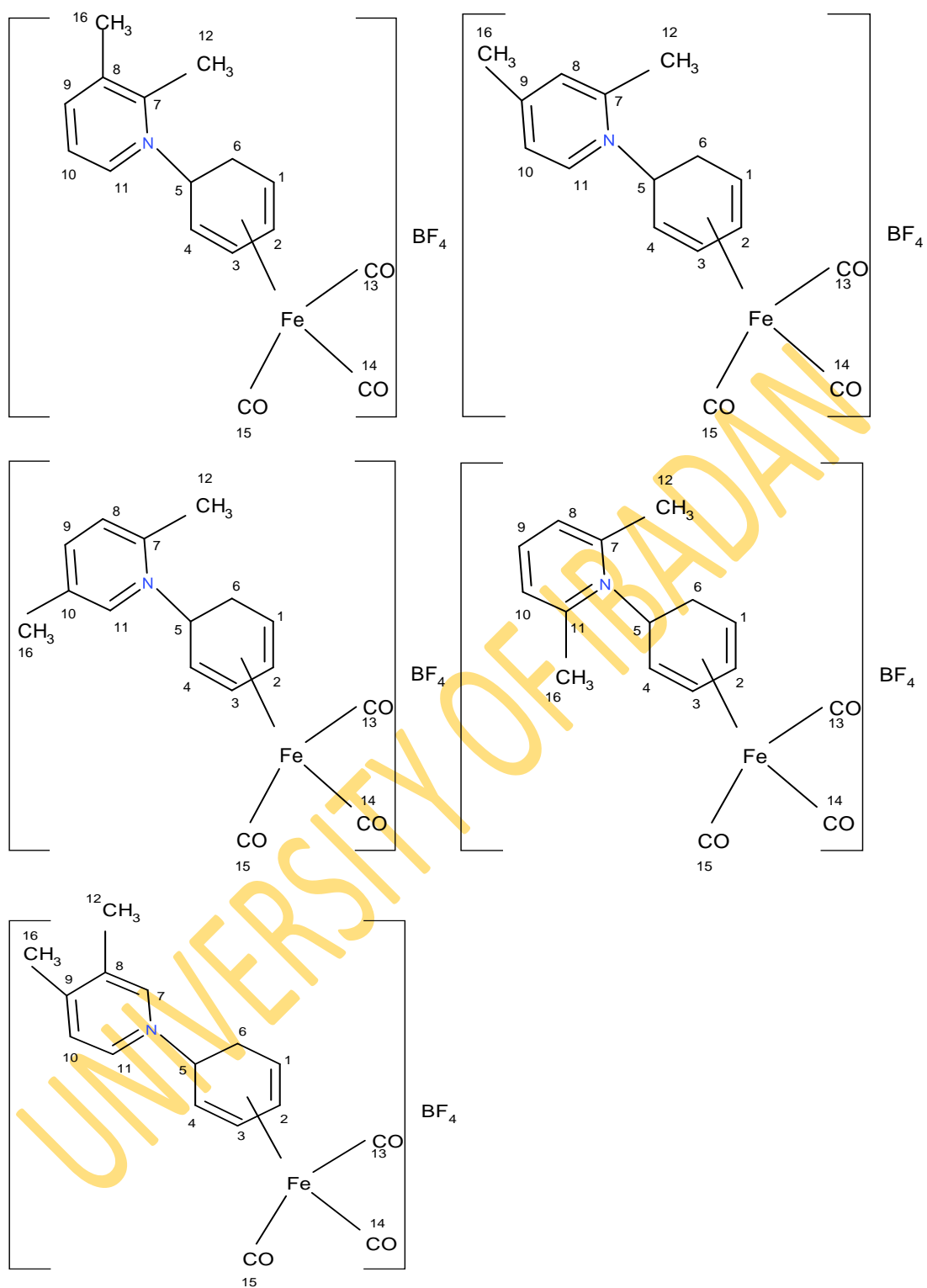


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**Platee 3.1:** Optimized structures of Tricyarbonyl (1-4-η-5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron tetrafluoroborate complexes ( $\text{BF}_4$  is excluded for clarity)

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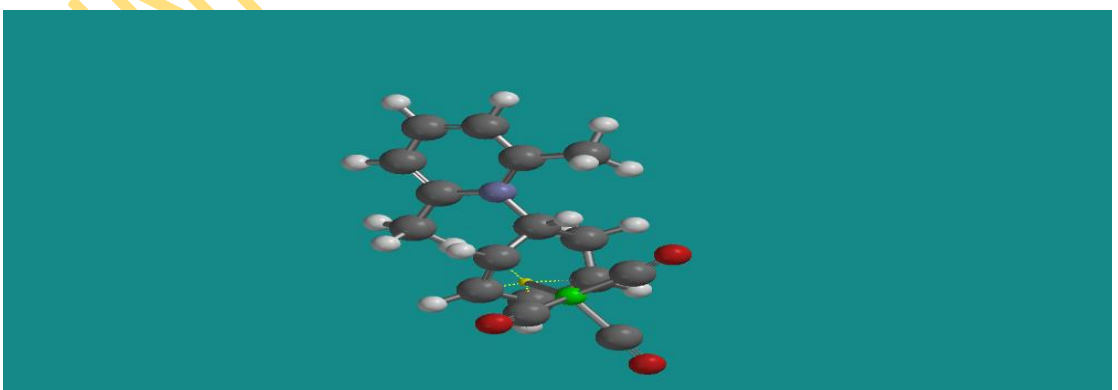
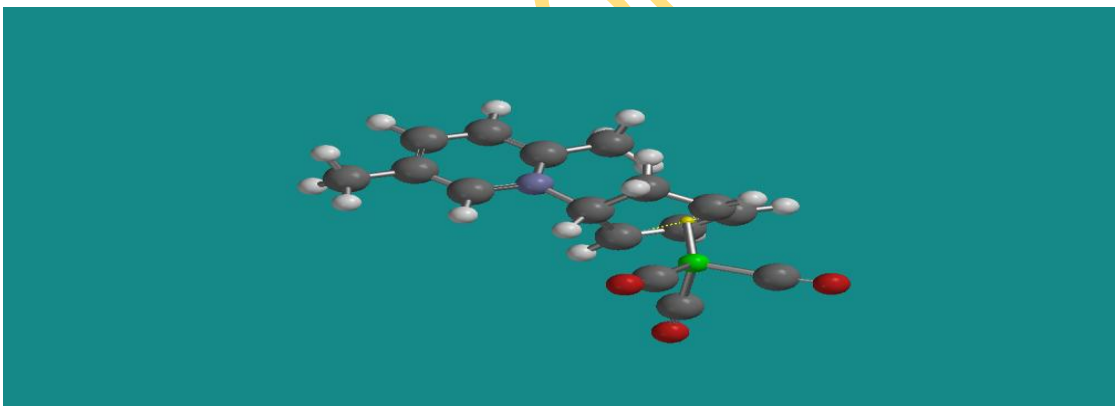
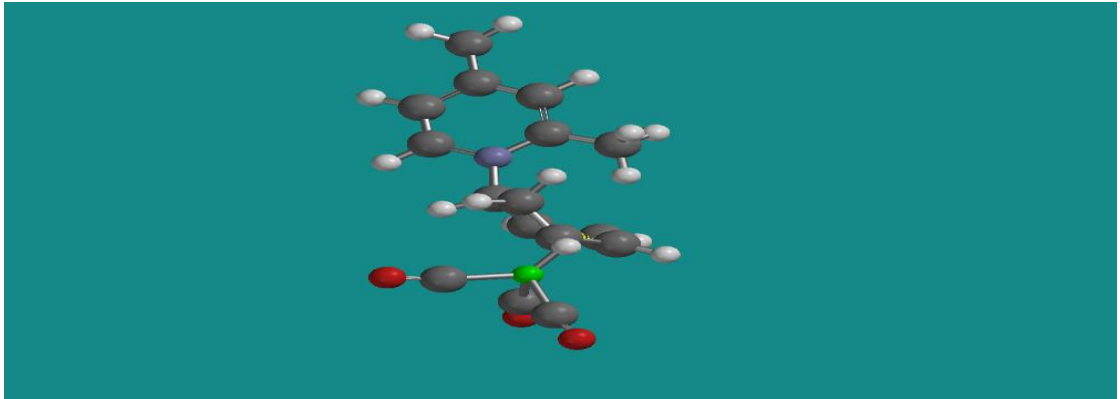
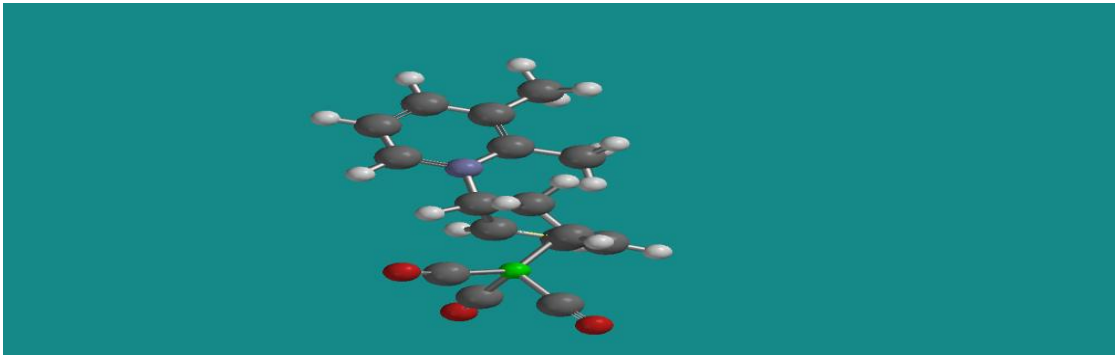


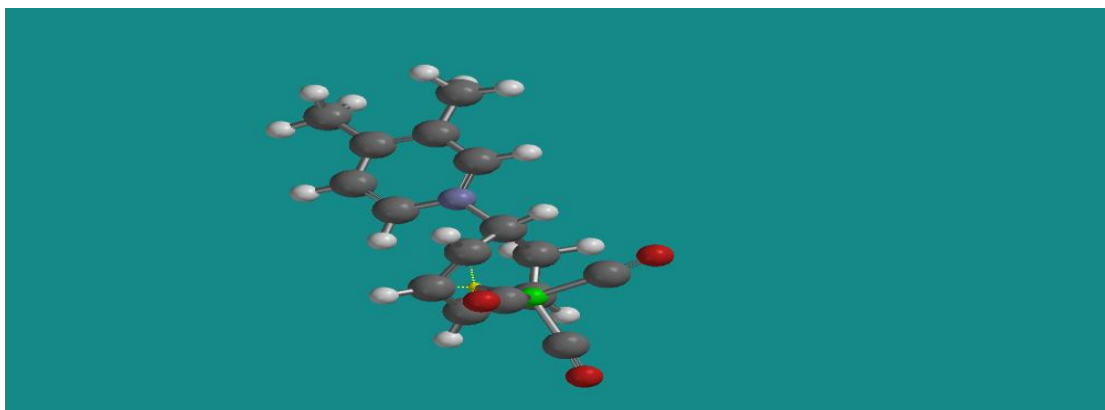
**Figure 3.2:** Structure of Tricarbonyl (1-4- $\eta$ -5-exo-N-X, X-dimethylpyridino-cyclohexa-1,3-diene) iron tetrafluoroborate complexes

### **3.8 Computation of Tricarbonyl (1-4- $\eta$ -5-exo-N-X, X-dimethylpyridino-cyclohexa-1,3-diene) iron tetrafluoroborate complexes**

All the X,X-dimethylpyridino-1-4- $\eta$ -cyclohexa-1,3-diene irontricarbonyl complexes simulated as well as the molecular orbital calculations on ground state equilibrium geometries were carried out using Spartan '10. The method employed throughout was Semi-empirical PM3 which had been adjudged the best and most suitable for Transition metals and organometallic compounds. The structures of these simulated compounds are presented in Figure 3.2 while the optimized structures are presented in Plate 3.2.

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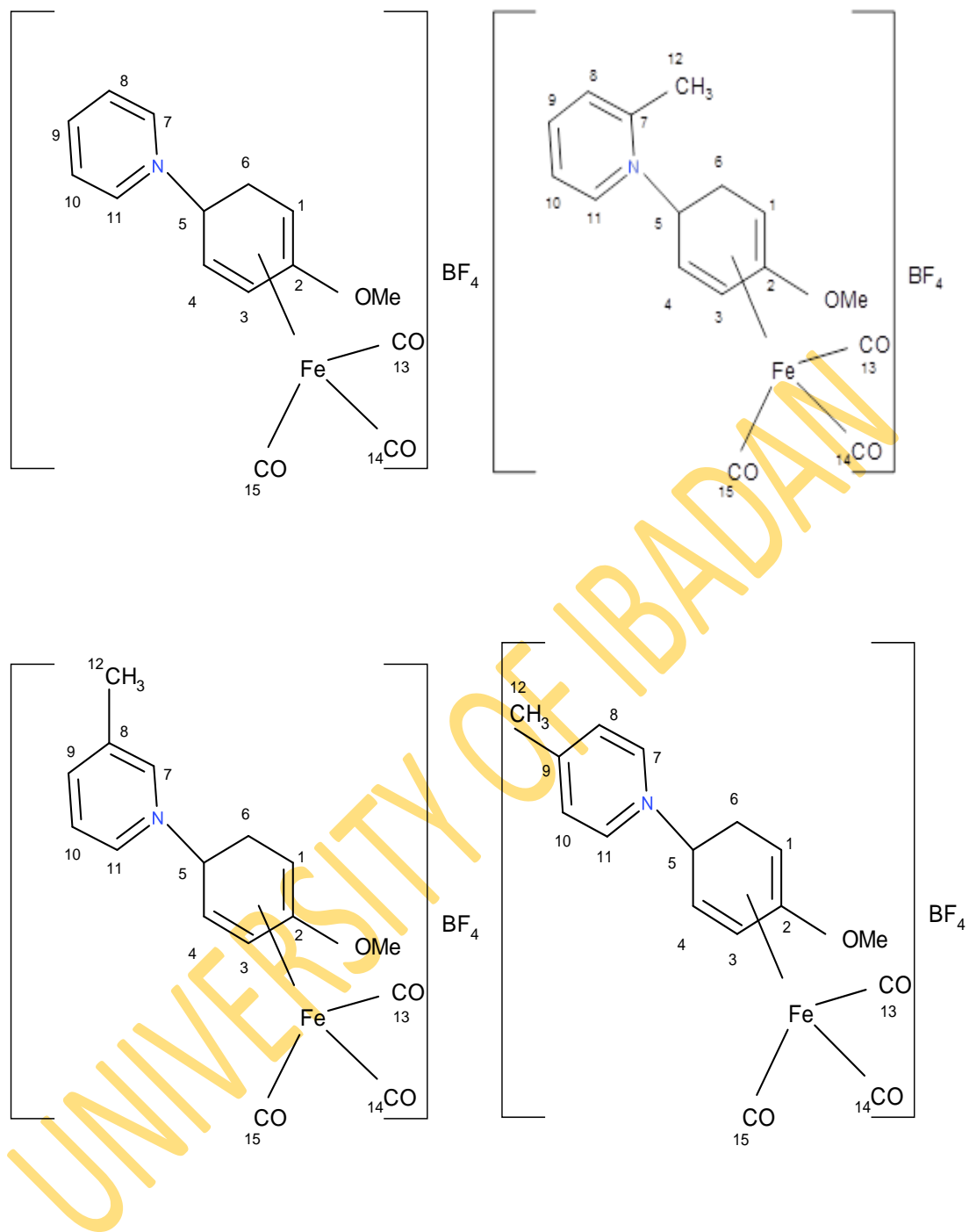




**Plate 3.2:** Optimized structures of Tricarbonyl (1-4-η-5-exo-N-X, X-dimethylpyridino-cyclohexa-1,3-diene) iron tetrafluoroborate (BF<sub>4</sub> is excluded for clarity)

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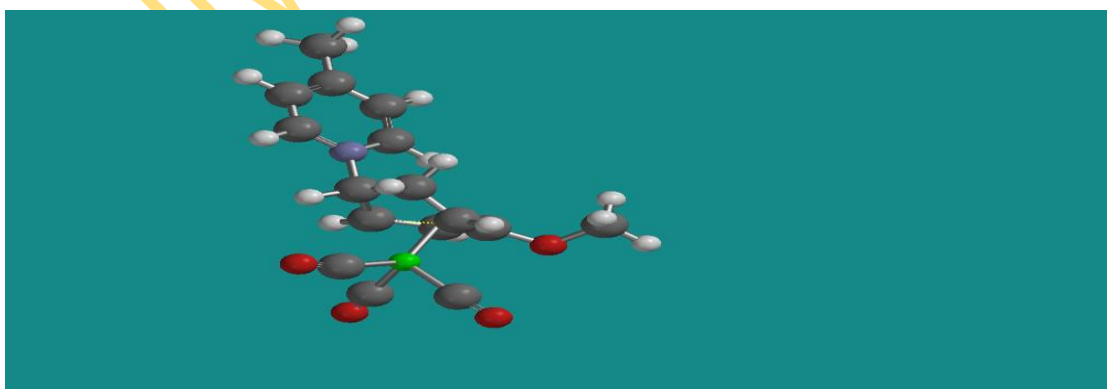
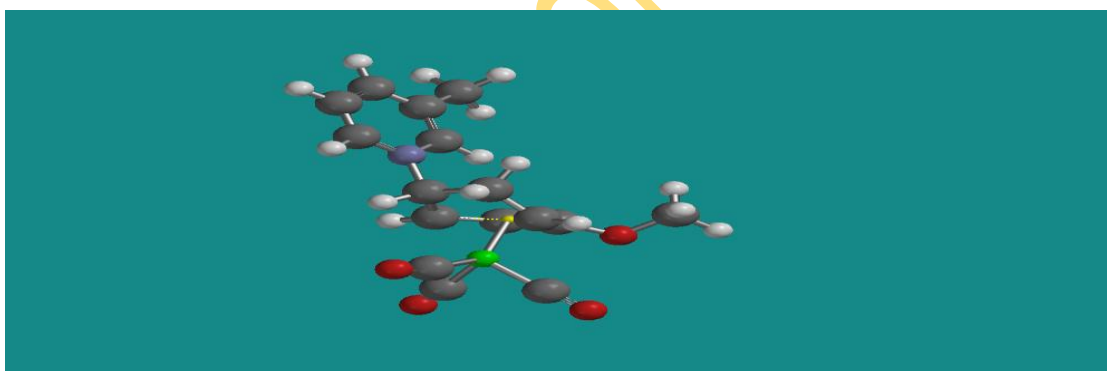
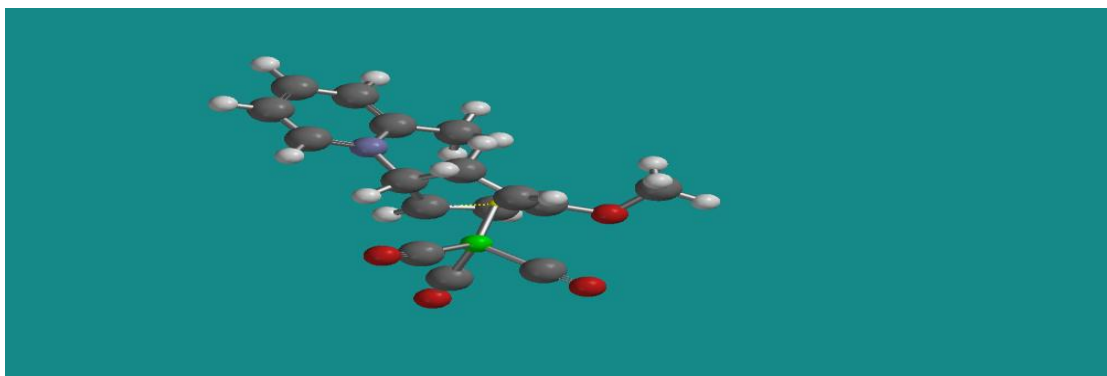
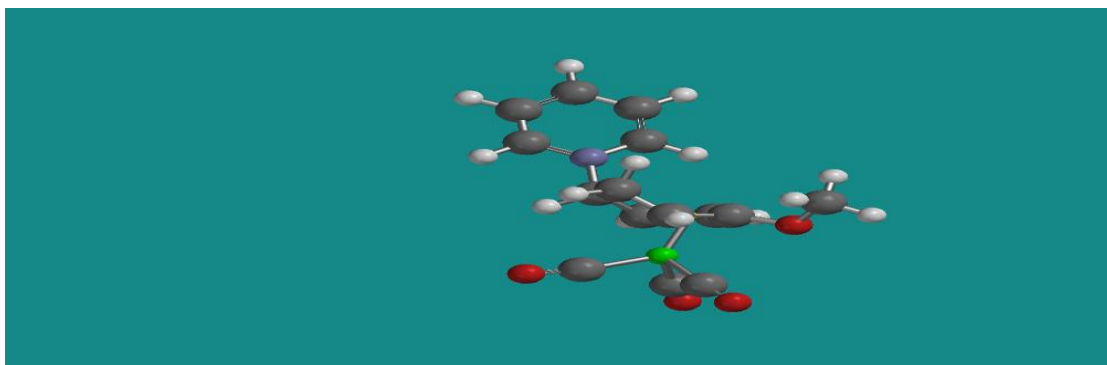


**Figure 3.3:** Structure of Tricarbonyl (1-4-η-5-exo-N-X-pyridino-2-methoxy-cyclohexa-1,3-diene) iron tetrafluoroborate complexes

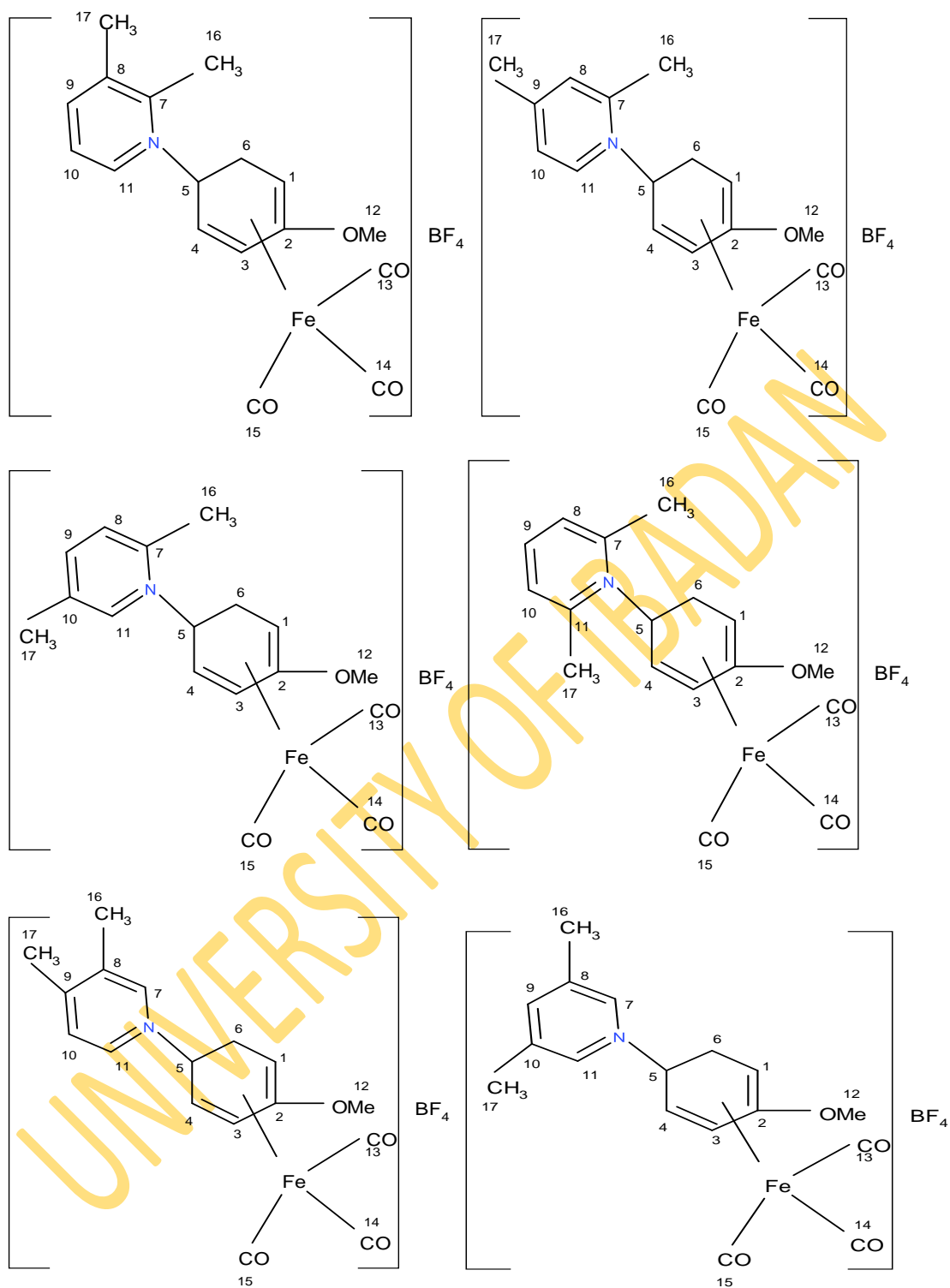
### 3.9 Computation of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxy-cyclohexa-1,3-diene) iron tetrafluoroborate complexes

All the pyridino-1-4- $\eta$ -2-methoxycyclohexa-1,3-diene irontricarbonyl complexes were modeled. Initial geometries were fully optimized using Semi-empirical method at PM3 level. The calculations were carried out to evaluate the geometries, electronic structure, dipole moment, thermodynamic parameters and vibrational frequencies using Spartan '10 running on Intel processor 1.60 GHz computers. The structure of these complexes are displayed in Figure 3.3 while the optimized geometries of the new pyridino derivatives of 1-4- $\eta$ -2-methoxycyclohexa-1,3-diene irontricarbonyl complexes are shown in Plate 3.3.

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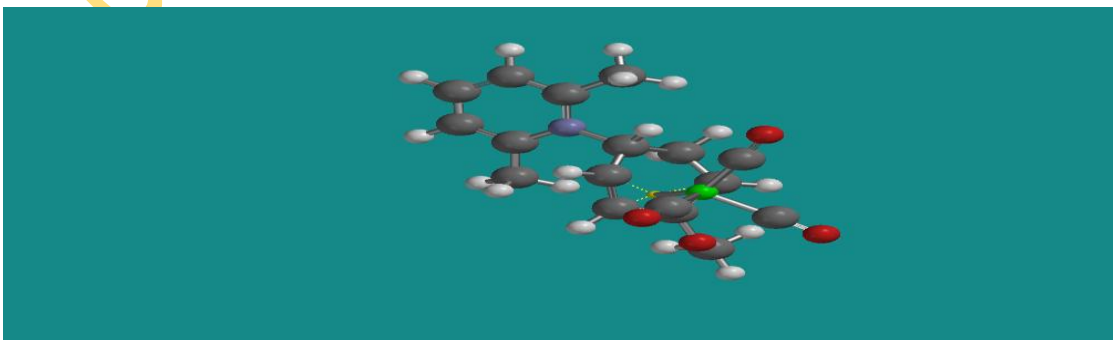
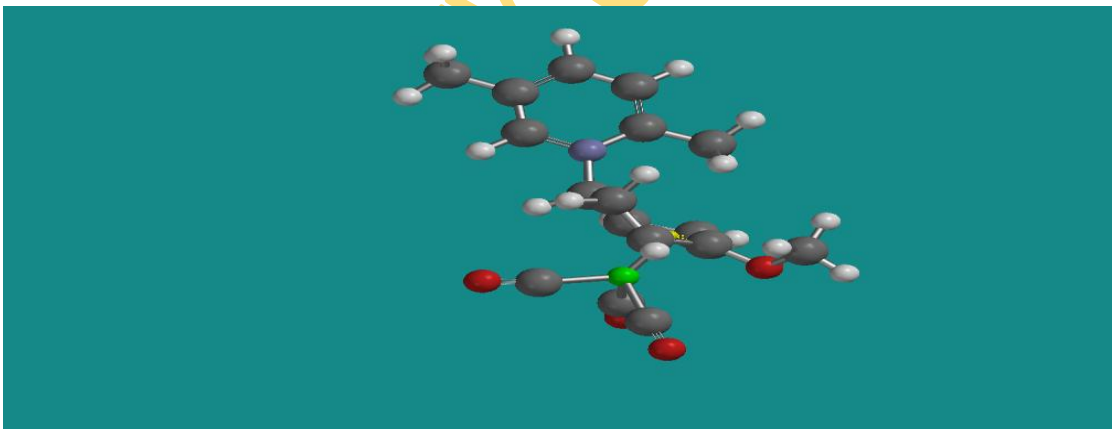
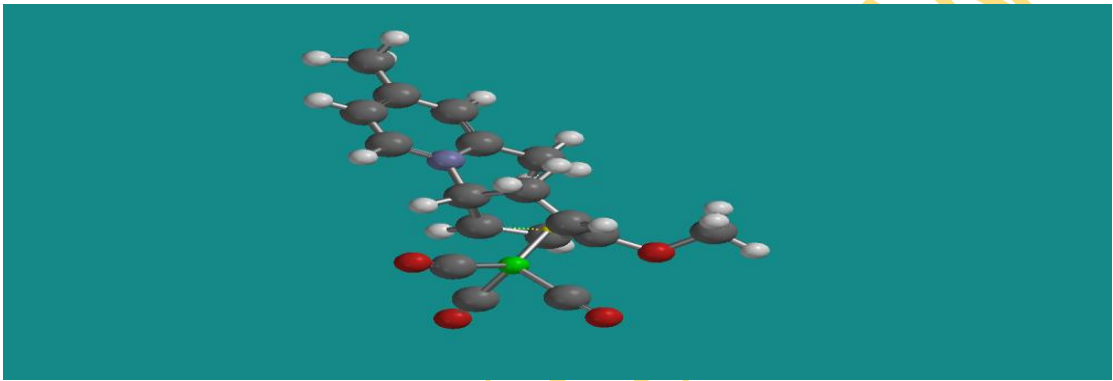
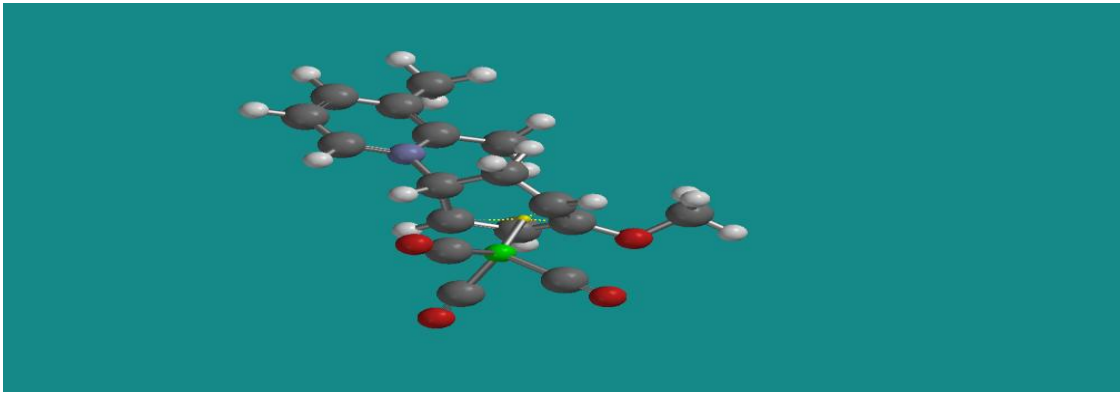
**Plate 3.3:** Optimized Structures of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate complexes. (BF<sub>4</sub> ion is excluded for clarity)

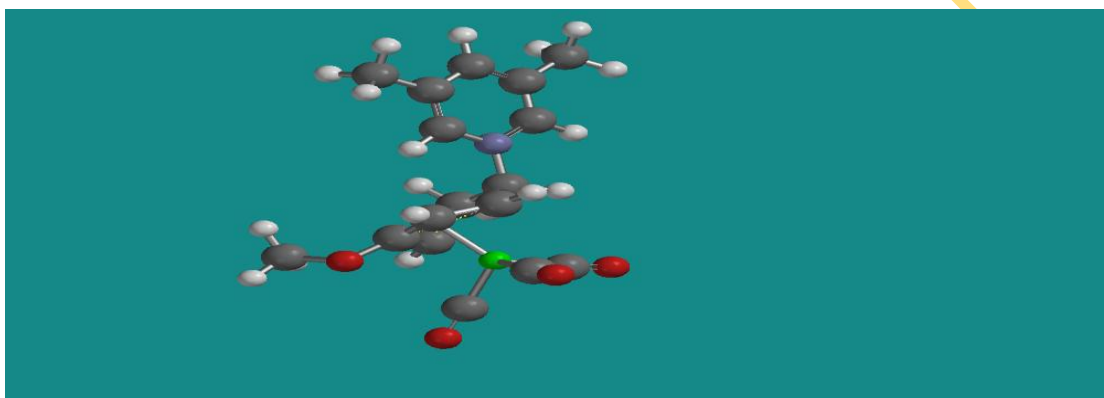
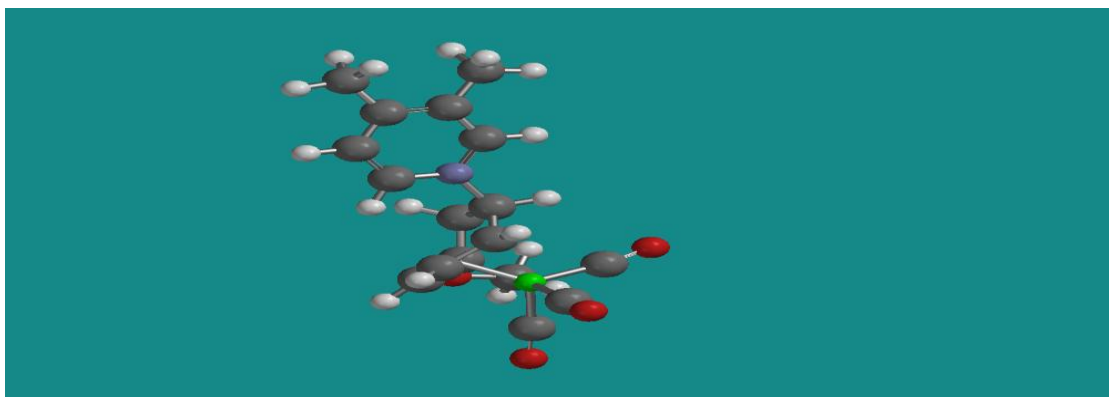


**Figure 3.4:** Structures of Tricarbonyl (1-4-η-5-exo-N,X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate complexes

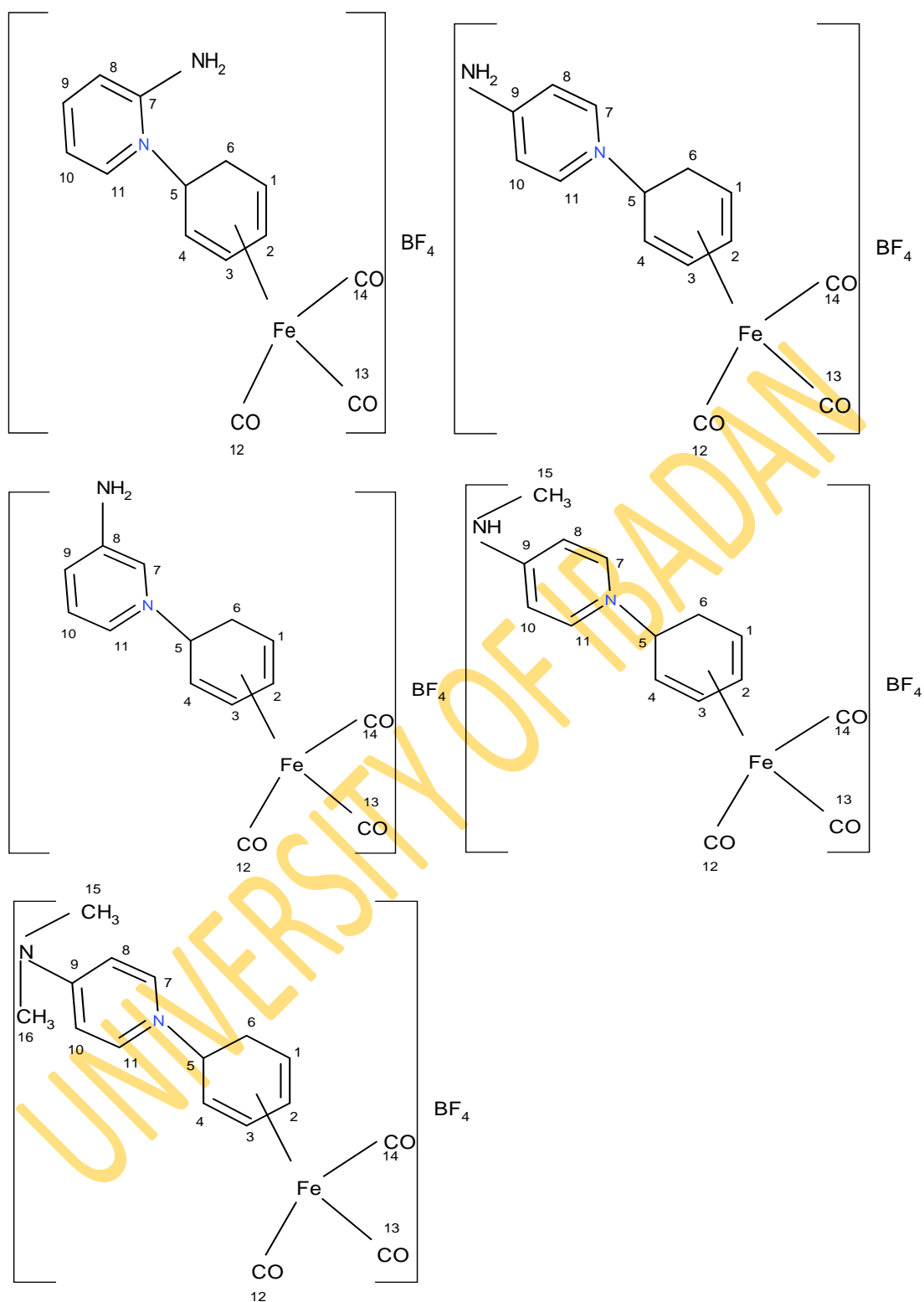
### **3.10 Computation of Tricarbonyl (1-4- $\eta$ -5-exo-N-X, X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate complexes**

All the complexes were modeled using Spartan '10. Semi-empirical molecular orbital calculations were carried out on all species involved. The PM3 method that had been adjudged to be suitable for Transition metal complexes and organometallic compounds was employed throughout the study. All structures were fully optimized. The theoretical ground state geometries, electronic structure, thermodynamic parameters and vibrational frequencies of these modeled compounds were all investigated using the Semi-empirical PM3 and the results are discussed. The structures of these complexes are shown in Figure 3.4 while the optimized geometries of these X,X-dimethylpyridino-1-4- $\eta$ -2-methoxycyclohexa-1,3-diene iron tricarbonyl complexes are presented in Plate 3.4.





**Plate 3.4:** Optimized Structures of Tricarbonyl (1-4- $\eta$ -5-exo-N-X, X-dimethyl pyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate complexes. ( $\text{BF}_4$  is excluded for clarity)

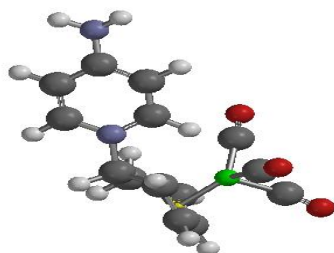
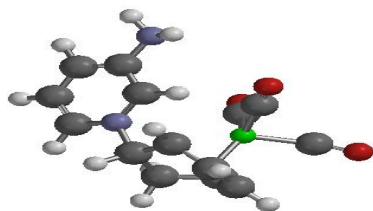
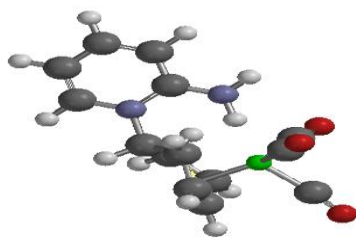


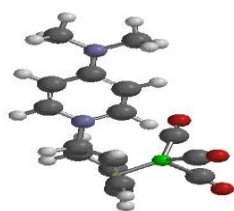
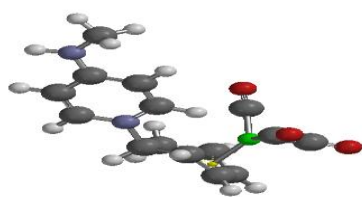
**Figure 3.5:** Structures of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron tetrafluoroborate complexes



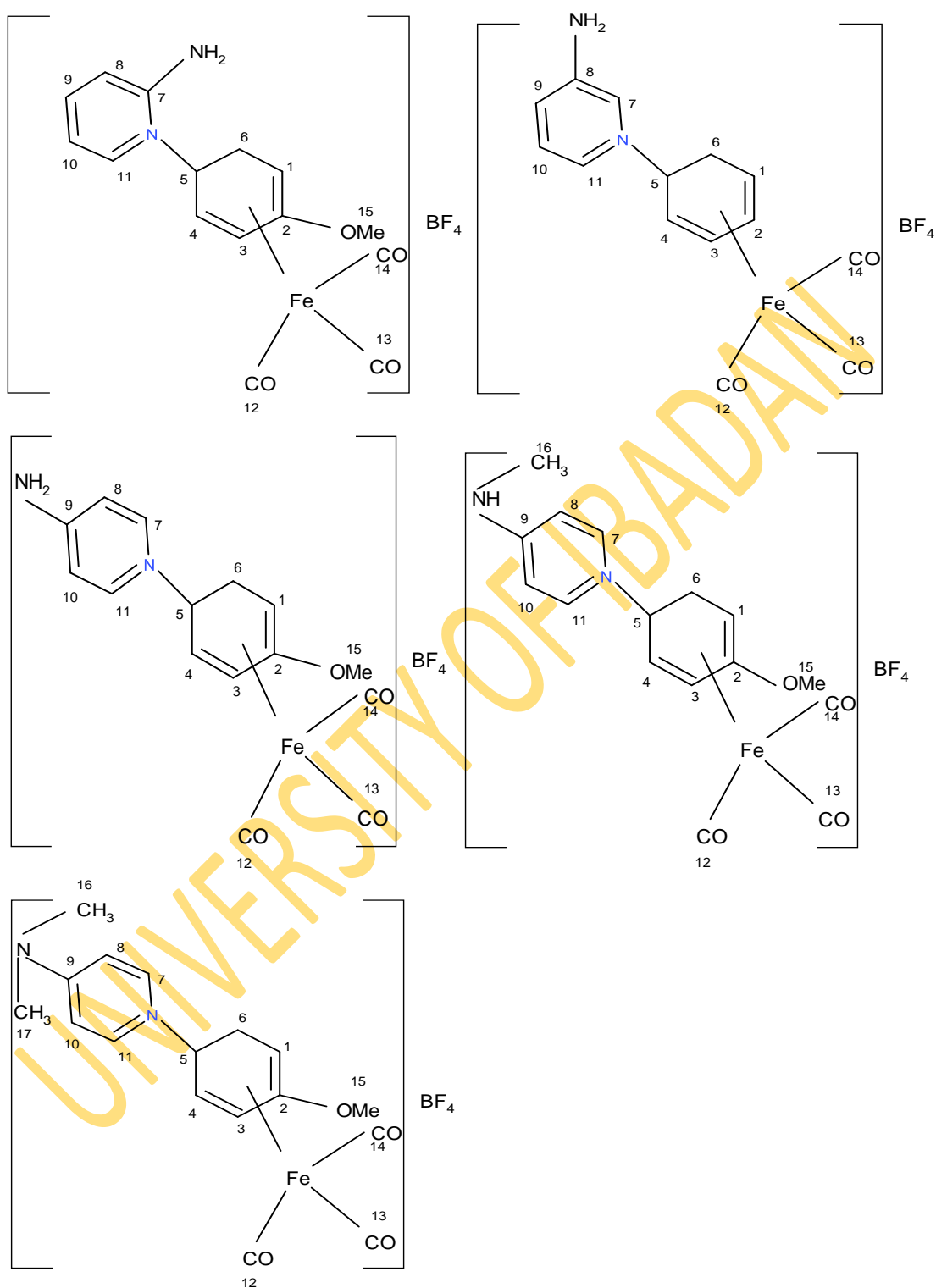
### 3.11 Computation of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) irontetrafluoroborate complexes

All the complexes were modeled using Spartan '10. Semi-empirical molecular orbital calculations were carried out on all species involved. The PM3 had been adjudged to be suitable for Transition metal complexes and organometallic compounds was employed throughout the study. All structures were fully optimized. The theoretical ground state geometries, electronic structure, thermodynamic parameters and vibrational frequencies of these modeled compounds were all investigated using the Semi-empirical PM3 results are discussed. The structures of these complexes are shown in Figure 3.5 while the optimized geometries of these Tricarbonyl (1-4- $\eta$ -5-exo-N-amino pyridino-cyclohexa-1,3-diene) iron complexes are displayed in Plate 3.5.





**Plate 3.5:** Optimized structures of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron tetrafluoroborate complexes (The  $\text{BF}_4$  is excluded for clarity)

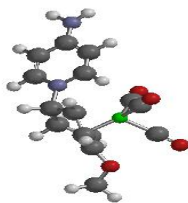
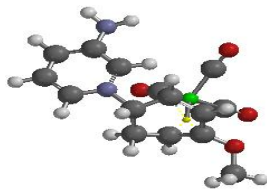
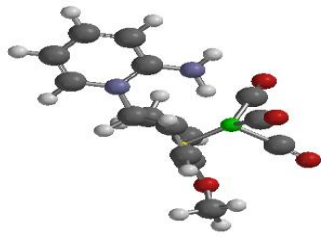


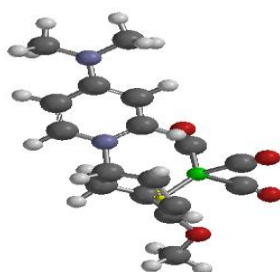
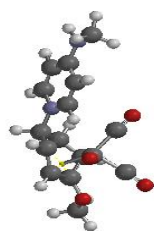
**Figure 3.6:** Structures of Tricarbonyl (1-4-η-5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate complexes

### 3.12 Computation of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxy-cyclohexa-1,3-diene) iron tetrafluoroborate complexes

All the complexes were modeled using Spartan '10. Semi-empirical molecular orbital calculations were carried out on all the species involved. The PM3 was employed throughout the study and all structures were fully optimized. The theoretical ground state geometries, electronic structure, thermodynamic parameters and vibrational frequencies of these modeled compounds were all investigated using the Semi-empirical PM3 and the results are discussed. The structures of these complexes are shown in Figure 3.6 while the optimized geometries of these amino pyridino-1-4- $\eta$ -2-methoxycyclohexa-1,3-diene iron tricarbonyl complexes are shown in Plate 3.6.

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**Plate 3.6:** Optimized Structures of Tricarbonyl (1-4-η-5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate complexes (the BF<sub>4</sub> is excluded for clarity)

### **3.13 Computation of complexes using Density Functional Theory (DFT)**

All the structures shown in Plate 3.1 to 3.6 were re-optimized. All the calculations were performed using Spartan 10 program package using B3LYP methods which uses the exchange functional proposed by Becke and the correlation functional given by Lee, Yang and Parr. The 6-31(D) basis set (Hehre *et al.*, 1986) has been used in conjunction with DFT method. This basis set has the advantage of being flexible enough to guarantee reliable theoretical results and being small enough for rapid calculations. It represents an excellent compromise between completeness and economy. The molecular geometry was fully optimized without any constraint using analytical gradient procedure implemented within the programme. A restricted HF-DFT self consistent field calculations was carried out using Pulay DIIS and Geometry direct minimization implemented within the programme.

### **3.14 Computation of Electronic Properties using Hartree-Fock**

The Spartan '10 package was used as the calculation tool; Full optimization was initially achieved by using molecular mechanics and then semi empirical PM3 method. The results from the PM3 method were selected as input and the structures were further optimized by employing spin-restricted Hartree-Fock (RHF) approach at the level of the 6-31G (D) basis set.

### **3.15 Computation of Excited state Properties using TDDFT**

In order to obtain the Ultraviolet visible absorption for our complexes, we employed the excited state computation with Time-dependent density functional theory with B3LYP and basis set of 6-31(D). The basis set 6-31(D) has been used in conjunction with TDDFT method because of its advantage of being flexible enough to guarantee reliable theoretical results and being small enough for rapid calculations. It represents an excellent compromise between completeness and economy. We computed the properties of five excited states with keyword "INCLUDE TRIPLETS". The molecular geometry was fully optimized without any constraint using analytical gradient procedure implemented within the Spartan '10 software programme.



## CHAPTER FOUR

### RESULTS AND DISCUSSION

#### 4.1 Addition of pyridines to Tricarbonyl (1-5- $\eta$ -cyclohexadienyl) iron tetrafluoroborate $[\text{Fe}(\text{CO})_3(1-5-\eta\text{-C}_6\text{H}_7)]\text{BF}_4$

The products (I-VI) isolated from the reactions of the organometallic compound  $[\text{Fe}(\text{CO})_3(1-5-\eta\text{-C}_6\text{H}_7)]\text{BF}_4$  with pyridine, 2-methylpyridine, 3-methylpyridine, 4-methylpyridine, 4-aminopyridine and 4-N-dimethylaminopyridine showed intense sharp  $\nu_{\text{CO}}$  bands (KBr disc) at  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$  as well as a broad band due to tetrafluoroborate anion at ca  $1060\text{ cm}^{-1}$  in their infrared spectra. Product I was isolated as pale yellow crystalline, air stable solid characterized by infra-red, elemental analysis and  $^1\text{H}$  N.M.R spectroscopy (Table 4.1 and Table 4.2). Products II-IV were isolated as brown air stable oils and were characterized by infra-red and elemental analysis. Products V and VI were isolated as brown solids and characterized by infra-red and elemental analysis. The spectra for the pyridine derivatives were carried out in KBr disc in the range  $2500\text{ cm}^{-1}$  and  $800\text{ cm}^{-1}$  and that for product I, shown in Figure 4.19 is typical for all the products (I-VI) with  $\nu_{\text{CO}}$  bands at ca.  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$  as well as the  $\text{BF}_4$  anion located at ca.  $1050\text{ cm}^{-1}$ . These bands are characteristic features for a wide variety of 1,3-diene pyridine derivatives involving exo-addition at the diene ring of  $[\text{Fe}(\text{CO})_3(1-5-\eta\text{-C}_6\text{H}_7)]\text{BF}_4$ .

**Table 4.1: Nature of compound, infra-red spectra and micro analytical data for Tricarbonyl (1-4-η-5-exo-N-pyridino-cyclohexa-1,3-diene) iron complexes**

No	Structure X	Nature of compound	IR $\nu_{\text{Co}}$ ( $\nu_{\text{BF}_4}$ )	Microanalysis Found (Calculated)%	
				C	H
I	H	yellow solid	1980, 2055 (1060)	54.1 (54.9)	4.2 (4.3)
II	2-Me	Brown Oil	1980, 2055 (1060)	55.8 (56.14)	4.4 (4.7)
III	3-Me	Brown Oil	1980, 2055 (1060)	54.9 (56.14)	4.3 (4.7)
IV	4-Me	Brown Oil	1980, 2055 (1060)	55.14 (56.14)	4.5 (4.7)
V	4-NH <sub>2</sub>	Brown Solid	1980, 2055 (1060)	51.95 (52.23)	4.8 (4.65)
VI	4-N(CH <sub>3</sub> ) <sub>2</sub>	Brown Solid	1980, 2055 (1060)	55.1 (54.84)	5.51 (5.38)

**Table 4.2: Experimental  $^1\text{H}$  NMR Spectra data for Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron tetrafluoroborate complexes**

Complex X	proton	Chemical Shift/ppm	Relative Intensity	Multiplicity
H	H <sup>1,4</sup>	3.10	2	m
	H <sup>2</sup>	4.80	1	m
	H <sup>3</sup>	4.40	1	m
	H <sup>5</sup>	4.10	1	m
	exoH <sup>6</sup>	2.10	1	m
	endoH <sup>6</sup>	1.60	1	m
	Aromatic protons	7.70	2	t
		7.10	1	t
2-Me		6.70	2	t
	H <sup>1,4</sup>	3.05	2	m
	H <sup>2</sup>	4.90	1	m
	H <sup>3</sup>	4.50	1	m
	H <sup>5</sup>	4.05	1	m
	exoH <sup>6</sup>	2.00	1	m
	endoH <sup>6</sup>	1.70	1	m
	CH <sub>3</sub>	2.50	3	s
	Aromatic protons	7.30	2	t
	6.90	1	t	
3-Me		6.50	1	t
	H <sup>1,4</sup>	3.05	2	m
	H <sup>2</sup>	4.70	1	m
	H <sup>3</sup>	4.50	1	m
	H <sup>5</sup>	4.05	1	m
	exoH <sup>6</sup>	2.00	1	m
	endoH <sup>6</sup>	1.70	1	m
	CH <sub>3</sub>	2.50	3	s
	Aromatic protons	7.60	2	d
		6.45	2	d
	H <sup>1,4</sup>	3.15	2	m

	H <sup>2</sup>	4.90	1	m
	H <sup>3</sup>	5.70	1	m
	H <sup>5</sup>	5.10	1	m
4-Me	exoH <sup>6</sup>	2.60	1	m
	endoH <sup>6</sup>	1.70	1	m
	CH <sub>3</sub>	2.55	3	s
	Aromatic	8.50	2	d
	protons	7.70	2	d
<hr/>				
	H <sup>1,4</sup>	3.20	2	m
	H <sup>2</sup>	5.10	1	m
	H <sup>3</sup>	4.60	1	m
	H <sup>5</sup>	4.90	1	m
4-NH <sub>2</sub>	exoH <sup>6</sup>	2.40	1	m
	endoH <sup>6</sup>	1.50	1	m
	Aromatic	8.40	2	d
	protons			
	H <sup>1,4</sup>	3.10	2	m
	H <sup>2</sup>	4.95	1	m
	H <sup>3</sup>	4.50	1	m
	H <sup>5</sup>	4.90	1	m
4-N(CH <sub>3</sub> ) <sub>2</sub>	exoH <sup>6</sup>	2.30	1	m
	endoH <sup>6</sup>	1.40	1	m
	OCH <sub>3</sub>	3.20	3	s
	CH <sub>3</sub>	2.60	3	s
	Aromatic	8.40	2	d
	protons			
		7.50	2	d
<hr/>				

#### 4.2 Addition of pyridines to Tricarbonyl (1-5- $\eta$ -2-methoxycyclohexadienyl) iron tetrafluoroborate $[\text{Fe}(\text{CO})_3(1-5-\eta-2-\text{MeOC}_6\text{H}_7)]\text{BF}_4$

The products (VII-XII) isolated from the reactions of the organometallic compound  $[\text{Fe}(\text{CO})_3(1-5-\eta-2-\text{MeOC}_6\text{H}_6)]\text{BF}_4$  with pyridine, 2-methylpyridine, 3-methylpyridine, 4-methylpyridine, 4-aminopyridine and 4-N-dimethylaminopyridine showed intense sharp  $\nu_{\text{CO}}$  bands (KBr disc) at  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$  as well as a broad band due to the tetrafluoroborate anion at ca  $1060\text{ cm}^{-1}$  in their infrared spectra (Odiaka, T. I, 1980). Product VII was isolated as a pale yellow crystalline, air stable solid characterized by infra-red, elemental analysis and  $^1\text{H}$  N.M.R spectroscopy (Table 4.3 and Table 4.4). Products (VII-XII) were isolated as brown air stable oils and were characterized by infra-red and elemental analysis. Their  $^1\text{H}$  N.M.R spectra (Figure 4.25, page 249) clearly demonstrates that products (VII-XII) are complexes of Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-2-methoxycyclohexa-1,3-diene) tetrafluoroborate derivatives. The features shown in these spectra together with  $\nu_{\text{CO}}$  infra-red bands at  $2055\text{ cm}^{-1}$  and  $1980\text{ cm}^{-1}$  have been reported as characteristic features for a wide variety of 1,3-diene pyridino derivatives involving exo-addition at the dienyl ring of novel organometallics (Odiaka, 1980; Odiaka and Okogun, 1985; Odiaka, 1988a, 1988b; Odiaka *et al.*, 2007). For instance, the  $^1\text{H}$  N.M.R spectrum of Product VII in  $\text{d}_6$ -acetone showed well-separated overlapping multiplets characteristics of the outer ( $\text{H}^1$  and  $\text{H}^4$ ) and inner ( $\text{H}^2$  and  $\text{H}^3$ ) diene protons at 3.10 ppm and 4.40 ppm respectively. The endo ( $\text{H}^5$ ) and exo ( $\text{H}^6$ ) methylene protons appeared at 2.10 ppm and 1.60 ppm while the  $\text{H}^5$  proton adjacent to the N-pyridino-substituent is shifted downfield to 4.10 ppm. The aromatic protons of the pyridine substituent appear as triplets at 7.10 ppm and 7.00 ppm and a doublet at 7.70 ppm. The methoxy protons appeared at 2.43 ppm as a singlet as expected. An in "situ"  $^1\text{H}$  N.M.R spectrum of product (X) from the reaction of 4-methylpyridine with the 2-methoxy complex was carried out. (Table 4.3) showed characteristics features of the expected 1,3-diene derivative. Product (X) and the other pyridino derivatives isolated in this work are air sensitive yellow oils, decomposing readily to unknown species on exposure to air. Majority of these products were characterized by infra-red spectroscopy. The  $\nu_{\text{BF}_4}$  band found for all the products at ca.  $1060\text{ cm}^{-1}$  clearly revealed the ionic nature of products (VII-XII). The instability of

products (VIII-XII) may be due to their oily nature and thus require preparation and storage under a dinitrogen atmosphere.

**Table 4.3: Nature of compound, infra-red spectra and micro analytical data for Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

No	Structure X	Nature of compound	IR $\nu_{Co}$ ( $\nu_{BF_4}$ )	Microanalysis Found (Calculated)%	
				C	H
VII	H	Pale yellow solid	1980, 2055 (1060)	43.46 (43.6)	3.15 (3.20)
VIII	2-Me	Brown Oil	1980, 2055 (1060)	44.83 (45.1)	3.62 (3.55)
IX	3-Me	Brown Oil	1980, 2055 (1060)	44.96 (45.1)	3.52 (3.55)
X	4-Me	Brown Oil	1980, 2055 (1060)	44.96 (45.1)	3.53 (3.55)
XI	4-NH <sub>2</sub>	Brown Solid	1980, 2055 (1060)	42.7 (42)	3.32 (3.27)
XII	4-N(CH <sub>3</sub> ) <sub>2</sub>	Brown Solid	1980, 2055 (1060)	44.49 (44.9)	3.95 (4.00)

**Table 4.4: Experimental  $^1\text{H}$  NMR Spectra data for Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-2-methoxycyclohexa-1,3-diene) iron tetrafluoroborate complexes**

Complex X	proton	Chemical Shift/ppm	Relative Intensity	Multiplicity
H	$\text{H}^{1,4}$	3.10	2	m
	$\text{H}^3$	4.40	1	m
	$\text{H}^5$	4.10	1	m
	exo $\text{H}^6$	2.10	1	m
	endo $\text{H}^6$	1.60	1	m
	$\text{CH}_3$	2.43	3	s
	Aromatic protons	7.70	2	t
		7.10	1	t
		6.70	2	t
	2-Me	$\text{H}^{1,4}$	3.05	2
$\text{H}^3$		4.50	1	m
$\text{H}^5$		4.05	1	m
exo $\text{H}^6$		2.00	1	m
endo $\text{H}^6$		1.70	1	m
$\text{OCH}_3$		3.30	3	s
$\text{CH}_3$		2.50	3	s
Aromatic protons		7.30	2	t
		6.90	1	t
		6.50	1	t
3-Me	$\text{H}^{1,4}$	3.05	2	m
	$\text{H}^3$	4.50	1	m
	$\text{H}^5$	4.05	1	m
	exo $\text{H}^6$	2.00	1	m
	endo $\text{H}^6$	1.70	1	m
	$\text{OCH}_3$	3.30	3	s
	$\text{CH}_3$	2.50	3	s

	Aromatic	7.60	2	d
	protons	6.45	2	d
	H <sup>1,4</sup>	3.15	2	m
	H <sup>3</sup>	5.70	1	m
	H <sup>5</sup>	5.10	1	m
4-Me	exoH <sup>6</sup>	2.60	1	m
	endoH <sup>6</sup>	1.70	1	m
	OCH <sub>3</sub>	3.80	3	s
	CH <sub>3</sub>	2.55	3	s
	Aromatic	8.50	2	d
	protons	7.70	2	d
	H <sup>1,4</sup>	3.20	2	m
	H <sup>3</sup>	4.60	1	m
	H <sup>5</sup>	4.90	1	m
4-NH <sub>2</sub>	exoH <sup>6</sup>	2.40	1	m
	endoH <sup>6</sup>	1.50	1	m
	OCH <sub>3</sub>	3.40	3	s
	CH <sub>3</sub>	2.50	3	s
	Aromatic	8.40	2	d
	protons			
	H <sup>1,4</sup>	3.10	2	m
	H <sup>3</sup>	4.50	1	m
	H <sup>5</sup>	4.90	1	m
4-N(CH <sub>3</sub> ) <sub>2</sub>	exoH <sup>6</sup>	2.30	1	m
	endoH <sup>6</sup>	1.40	1	m
	OCH <sub>3</sub>	3.20	3	s
	CH <sub>3</sub>	2.60	3	s
	Aromatic	8.40	2	d
	protons			
		7.50	2	d



### 4.3 Geometric parameters

#### 4.3.1 Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complexes

Geometrical parameters were obtained for all the organometallics after total optimization of the equilibrium geometries by Semi-empirical PM3. In order to investigate the effect of the substituent on the pyridine ring, geometries, electronic and thermodynamics properties were compared with the parent Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene)iron complex. For the unsubstituted 1-4- $\eta$ -5-exo-pyridino-cyclohexa-1,3-diene complex at PM3 level, calculations predict the bond length, bond angles and dihedral angles with remarkable changes. The effect of grafting on the position 2,3 and 4 of the pyridine ring was clearly observed. The increase in the bond length adjacent to the methyl group was clearly visible and was attributed to the strong electron-donating effect of the substituent. There were no significant changes in bond length (Table 4.5).

**Table 4.5: Geometric parameters of Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complexes**

**(a) Selected bond length**

Bond length/Å	H	2-Me	3-Me	4-Me
C <sub>1</sub> -C <sub>2</sub>	1.479	1.479	1.479	1.479
C <sub>2</sub> -C <sub>3</sub>	1.404	1.404	1.404	1.404
C <sub>3</sub> -C <sub>4</sub>	1.488	1.488	1.488	1.488
C <sub>4</sub> -C <sub>5</sub>	1.490	1.490	1.490	1.490
C <sub>5</sub> -C <sub>6</sub>	1.544	1.544	1.544	1.544
C <sub>6</sub> -C <sub>1</sub>	1.492	1.492	1.492	1.492
N-C <sub>5</sub>	1.529	1.530	1.528	1.528
N-C <sub>11</sub>	1.374	1.384	1.374	1.375
C <sub>7</sub> -C <sub>8</sub>	1.392	1.389	1.394	1.389
C <sub>8</sub> -C <sub>9</sub>	1.393	1.394	1.390	1.400
C <sub>9</sub> -C <sub>10</sub>	1.395	1.391	1.402	1.402
C <sub>10</sub> -C <sub>11</sub>	1.392	1.401	1.397	1.388
O <sub>1</sub> -C <sub>12</sub>	1.157	1.147	1.147	1.147
O <sub>2</sub> -C <sub>13</sub>	1.147	1.157	1.157	1.157

O <sub>3</sub> -C <sub>14</sub>	1.147	1.147	1.147	1.147
C <sub>12</sub> -Fe	1.735	-	-	-
C <sub>13</sub> -Fe	1.795	1.784	1.734	1.734
C <sub>14</sub> -Fe	1.797	1.795	1.795	1.795
Fe-Lig	1.679	1.679	1.679	1.679
C <sub>15</sub> -Fe	-	1.797	1.796	1.796
C <sub>10</sub> -C <sub>12</sub>	-	-	1.482	-
C <sub>11</sub> -C <sub>12</sub>	-	1.491	-	-

**(b) Selected bond angles**

Bond angles/°				
Lig-Fe-C <sub>13</sub>	128.77	128.76	128.74	128.68
Lig-Fe-C <sub>14</sub>	117.39	117.33	117.38	117.40
Lig-Fe-C <sub>15</sub>	118.38	118.35	118.35	115.38
Fe-lig-C <sub>2</sub>	105.11	105.08	105.09	105.10
Fe-C <sub>15</sub> -O	174.15	174.69	174.75	174.77
C <sub>5</sub> -N-C <sub>7</sub>	119.95	118.69	120.14	119.99

(c) Selected dihedral angles

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Dihedral angle/°				
C <sub>13</sub> -Fe-lig-C <sub>2</sub>	128.50	128.23	128.51	128.51
C <sub>15</sub> -Fe-lig-C <sub>3</sub>	-8.86	-8.95	-8.88	-8.81
C <sub>5</sub> -N-C <sub>2</sub> -H <sub>10</sub>	0.94	0.97	0.97	1.02
C <sub>5</sub> -N-C <sub>11</sub> -H <sub>14</sub>	-0.30	-	-0.47	-0.39
C <sub>4</sub> -C <sub>3</sub> -C <sub>2</sub> -C <sub>1</sub>	0.64	0.59	0.58	0.60

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#### 4.3.2 Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene)iron complexes

The ground state geometric parameters were obtained using Semi-empirical PM3. In order to investigate the effect of substituent on the pyridine ring, the geometries, electronic and thermodynamic properties were calculated (Bouzakaraoui *et al.*, 2005; Hehnre, 2003). The Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes study at PM 3 level, predict the bond length, bond angles and dihedral angles as shown in Table 4.6. For each model, Nine bond angles and dihedral angles which greatly contribute to the internal energy were measured. All the bond distances of C-H predicted are within the range of 1.088Å and 1.126Å. The bond distance from Iron to ligand in the 2,3-dimethylpyridino organometallic complex is 2.020Å, Fe-C<sub>13</sub>, Fe-C<sub>14</sub>, Fe-C<sub>15</sub> measuring 2.162Å, 2.188 Å, and 2.163 Å respectively. These are the largest values recorded for these series, of complexes and is attributed to the strong electron-donating effect of the two adjacent methyl substituent on the pyridine ring which push electron towards the cyclohexa-1,3-diene ring, with the methyl group getting separated and the bond length becoming reduced. These are shown in Table 4.6.

**Table 4.6: Geometric parameters of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylridino-cyclohexa-1,3-diene iron complexes showing (a) selected bond length in Å, (b) bond angles(c) dihedral angles**

**(a) Selected bond length**

Structure	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe
Bond length/Å					
C <sub>1</sub> -C <sub>2</sub>	1.482	1.481	1.481	1.481	1.479
C <sub>2</sub> -C <sub>3</sub>	1.407	1.406	1.406	1.405	1.404
C <sub>3</sub> -C <sub>4</sub>	1.485	1.485	1.485	1.486	1.487
C <sub>4</sub> -C <sub>5</sub>	1.493	1.493	1.493	1.493	1.491
C <sub>5</sub> -C <sub>6</sub>	1.512	1.540	1.541	1.541	1.544
C <sub>6</sub> -C <sub>1</sub>	1.541	1.494	1.494	1.493	1.493
N-C <sub>5</sub>	1.513	1.534	1.535	1.534	1.525
N-C <sub>7</sub>	1.376	1.382	1.378	1.386	1.370
N-C <sub>11</sub>	1.361	1.385	1.385	1.396	1.373
C <sub>7</sub> -C <sub>8</sub>	1.422	1.404	1.409	1.402	1.390
C <sub>8</sub> -C <sub>9</sub>	1.395	1.392	1.381	1.386	1.398
C <sub>9</sub> -C <sub>10</sub>	1.379	1.403	1.404	1.392	1.410
C <sub>10</sub> -C <sub>11</sub>	1.382	1.382	1.390	1.396	1.396

Fe-lig	2.020	1.678	1.678	1.679	1.679
Fe-C <sub>13</sub>	2.162	1.734	1.734	1.733	1.734
Fe-C <sub>14</sub>	2.188	1.795	1.795	1.795	1.795
Fe-C <sub>15</sub>	2.163	1.796	1.796	1.796	1.796
O <sub>1</sub> -C <sub>13</sub>	1.149	1.157	1.157	1.158	1.157
O <sub>2</sub> -C <sub>14</sub>	1.149	1.147	1.147	1.147	1.147
O <sub>3</sub> -C <sub>15</sub>	1.149	1.147	1.147	1.147	1.147

**(b) Selected bond angles in degree**

Bond angle/ <sup>o</sup>	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe
C <sub>15</sub> -Fe-C <sub>14</sub>	93.46	93.40	93.45	93.52	95.36
C <sub>15</sub> -Fe-lig	118.17	118.17	118.19	118.13	118.40
C <sub>14</sub> -Fe-lig	117.11	117.14	117.16	116.99	117.42
C <sub>13</sub> -Fe-lig	129.54	129.42	129.43	129.71	125.25
C <sub>5</sub> -N-C <sub>7</sub>	125.14	125.17	125.02	122.76	119.87
C <sub>5</sub> -N-C <sub>11</sub>	115.50	116.11	115.91	118.26	120.14

O <sub>1</sub> -C <sub>13</sub> -Fe	174.63	174.63	174.69	174.73	174.90
O <sub>2</sub> -C <sub>14</sub> -Fe	171.86	171.86	171.88	171.91	171.34
O <sub>3</sub> -C <sub>15</sub> -Fe	174.59	174.59	174.70	174.66	174.83

**(c) Dihedral angles in degree**

Dihedral angles/°	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe
Lig-Fe-C <sub>13</sub> -O <sub>1</sub>	0.00	0.53	0.50	-0.69	3.63
O <sub>3</sub> -C <sub>15</sub> -Fe-lig	-5.74	-6.91	-6.26	-8.13	0.18
Fe-C <sub>14</sub> -lig-C <sub>2</sub>	-174.95	-174.84	-174.81	-175.11	-174.35
O <sub>2</sub> -C <sub>14</sub> -Fe-lig	-15.61	-14.64	-14.28	-14.72	-10.09
C <sub>1</sub> -lig-Fe- C <sub>14</sub>	-31.17	-31.17	-31.16	-31.30	-31.50



### 4.3.3 Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-2-methoxycyclohexa-1,3-dieneiron) complexes

The geometrical parameters were obtained after total optimization of the equilibrium geometries by Semi-empirical PM3. In order to investigate the effect of the substituent on the pyridine ring, the geometries were compared with the parent Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complex. For the unsubstituted Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complex at PM3 level, calculations predict the bond length, bond angles and dihedral angles with remarkable changes. The effect of the methyl group grafted on the position 2,3 and 4 of the pyridine ring was clearly observed with the increase in bond length adjacent to the methyl group resulting from the strong electron-donating effect of the substituent. The ground state geometrical parameters were compared with those of the unsubstituted tricarbonyl (1-4- $\eta$ -cyclohexa-1,3-diene) iron complex. The replacement of hydrogen with the methoxy group resulted in the increase in bond length within the cyclohexa-1,3-diene ring. For instance, the bond length of C<sub>2</sub>-C<sub>3</sub> generally increased when compared to the unsubstituted diene derivatives. For each compound, there were remarkable changes in bond length with the bond distances of C-H occurring within the range of 1.088-1.126 Å. While the C-C and C=C bonds are within the range of 1.373-1.545 Å and 1.373-1.488 Å respectively. The metal-carbon bond distances ranged from 1.736 Å to 1.806 Å, while the metal-ligand bond appears to be unchanged at 1.663 Å. However, the bond angles and dihedral angles exhibit changes which depend on the position of the methyl group (Table 4.7).

**Table 4.7: Geometrical parameters of Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-2-methoxycyclohexa-1,3-diene iron complexes showing (a) selected bond length (b) bond angles and (c) dihedral angles**

**(a) Selected bond length**

Bond length/Å	H	2-Me	3-Me	4-Me
C <sub>1</sub> -C <sub>2</sub>	1.417	1.417	1.417	1.417
C <sub>2</sub> -C <sub>3</sub>	1.486	1.487	1.486	1.486
C <sub>3</sub> -C <sub>4</sub>	1.488	1.487	1.488	1.488
C <sub>4</sub> -C <sub>5</sub>	1.545	1.535	1.545	1.545
C <sub>5</sub> -C <sub>6</sub>	1.489	1.490	1.489	1.489
C <sub>6</sub> -C <sub>1</sub>	1.491	1.492	1.491	1.491
C <sub>2</sub> -O <sub>1</sub>	1.375	1.376	1.375	1.375
O <sub>1</sub> -C <sub>12</sub>	1.414	1.414	1.414	1.414
C <sub>5</sub> -N	1.529	1.535	1.528	1.528
C <sub>7</sub> -N	1.371	1.382	1.370	1.373
C <sub>11</sub> -N	1.374	1.383	1.372	1.375
C <sub>7</sub> -C <sub>8</sub>	1.393	1.406	1.398	1.373
C <sub>8</sub> -C <sub>9</sub>	1.393	1.386	1.400	1.400
C <sub>9</sub> -C <sub>10</sub>	1.395	1.396	1.392	1.402
C <sub>10</sub> -C <sub>11</sub>	1.391	1.385	1.393	1.388

Fe-Lig	1.662	1.663	1.662	1.662
Fe-C <sub>13</sub>	1.737	1.796	1.796	1.796
Fe-C <sub>14</sub>	1.804	1.804	1.736	1.736
Fe-C <sub>15</sub>	1.797	1.796	1.806	1.806
C <sub>13</sub> -O <sub>2</sub>	1.157	1.157	1.157	1.147
C <sub>14</sub> -O <sub>3</sub>	1.145	1.147	1.147	1.147
C <sub>15</sub> -O <sub>4</sub>	1.147	1.145	1.145	1.145

**(b) Bond Angles in degrees**

Angles/°				
C <sub>13</sub> -Fe-C <sub>14</sub>	94.63	96.52	96.63	96.63
C <sub>14</sub> -Fe-C <sub>15</sub>	92.49	96.04	94.62	94.67
C <sub>13</sub> -Fe-C <sub>15</sub>	96.63	96.52	92.55	92.53
Lig-Fe-C <sub>15</sub>	118.38	118.34	118.59	118.59
C <sub>2</sub> -O <sub>1</sub> -C <sub>12</sub>	114.96	114.67	114.94	115.00
Fe-lig-C <sub>2</sub>	103.69	103.30	103.65	103.66
Fe-lig-C <sub>1</sub>	80.55	80.63	80.56	80.56

Fe-C <sub>15</sub> -O <sub>4</sub>	174.77	177.13	177.18	177.14
C <sub>5</sub> -N-C <sub>7</sub>	120.12	124.74	119.91	120.09
C <sub>5</sub> -N-C <sub>11</sub>	119.84	116.14	119.85	120.01

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**(c) Dihedral angles in degrees**

C <sub>15</sub> -Fe-lig-C <sub>3</sub>	101.09	101.11	101.67	101.69
C <sub>12</sub> -O <sub>1</sub> -C <sub>2</sub> -C <sub>1</sub>	-67.14	-68.39	-67.45	-66.83
C <sub>4</sub> -C <sub>5</sub> -N-C <sub>7</sub>	-41.78	-51.62	-43.51	-43.70
C <sub>10</sub> -C <sub>11</sub> -N-C <sub>5</sub>	179.61	179.47	179.69	179.70
C <sub>11</sub> -N-C <sub>7</sub> -C <sub>8</sub>	-0.32	-1.13	-0.26	-0.28
C <sub>2</sub> -C <sub>3</sub> -lig-Fe	-104.85	-104.44	-104.81	-104.83

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#### 4.4.4 Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Geometric parameters (bond length/Å, bond angles and dihedral angles in degrees) of the studied Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes in their global minimum obtained by Semi-empirical PM3 are shown in Table 4.8. The ground state geometrical parameters were compared with the unsubstituted 1-4- $\eta$ -cyclohexa-1,3-diene iron complex. The replacement of hydrogen by the methoxy group led to the increase in bond length within the cyclohexa-1,3-diene ring. For instance, the bond length of C<sub>2</sub>-C<sub>3</sub> generally increased when compared to the unsubstituted derivatives. For each compound, there were remarkable changes in bond length and all the bond distances of C-H predicted are within the range of 1.088Å-1.126Å. The C-C and C=C bonds are between 1.383 - 1.544Å and 1.343 - 1.488Å. The metal-carbon bond distances range from 1.735 - 2.198Å while the metal-ligand bond distance range between 1.662Å and 2.020Å. However, the bond angles and dihedral angles changed as the position of the methyl group also changed. The results are collected in Table 4.8.

**Table 4.8: Geometric parameters of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethyl pyridino-2-methoxycyclohexa-1,3-diene iron complexes showing bond length, bond angles and dihedral angles**

**(a) Bond length in Angstrom units**

Bond/Å	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe	3,5-diMe
C <sub>1</sub> -C <sub>2</sub>	1.487	1.343	1.488	1.487	1.486	1.486
C <sub>2</sub> -C <sub>3</sub>	1.417	1.417	1.443	1.418	1.417	1.417
C <sub>3</sub> -C <sub>4</sub>	1.486	1.339	1.486	1.486	1.488	1.488
C <sub>4</sub> -C <sub>5</sub>	1.499	1.508	1.493	1.493	1.490	1.490
C <sub>5</sub> -C <sub>6</sub>	1.543	1.544	1.541	1.542	1.545	1.545
C <sub>6</sub> -C <sub>1</sub>	1.492	1.512	1.493	1.493	1.491	1.491
C <sub>2</sub> -O <sub>1</sub>	1.376	1.365	1.376	1.376	1.375	1.375
O <sub>1</sub> -C <sub>12</sub>	1.414	1.423	1.414	1.414	1.413	1.413
Fe-lig	1.663	2.020	1.662	1.663	1.662	1.662
Fe-C <sub>13</sub>	1.796	2.169	1.796	1.796	1.796	1.796
Fe-C <sub>14</sub>	1.736	2.169	1.736	1.735	1.736	1.736

Fe-C <sub>15</sub>	1.804	2.198	1.805	1.805	1.803	1.803
C <sub>5</sub> -N	1.534	1.510	1.535	1.534	1.527	1.527
C <sub>7</sub> -N	1.383	1.371	1.378	1.386	1.370	1.368
C <sub>7</sub> -C <sub>8</sub>	1.402	1.410	1.408	1.402	1.390	1.400
C <sub>8</sub> -C <sub>9</sub>	1.393	1.391	1.382	1.386	1.398	1.396
C <sub>9</sub> -C <sub>10</sub>	1.403	1.385	1.404	1.392	1.410	1.399
C <sub>10</sub> -C <sub>11</sub>	1.383	1.388	1.390	1.396	1.395	1.397
C <sub>11</sub> -N	1.384	1.362	1.384	1.395	1.374	1.372
C <sub>13</sub> -O <sub>2</sub>	1.157	1.157	1.157	1.157	1.157	1.147
C <sub>14</sub> -O <sub>3</sub>	1.145	1.147	1.147	1.147	1.147	1.147
C <sub>15</sub> -O <sub>4</sub>	1.147	1.145	1.145	1.145	1.145	1.145

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**(b) Bond Angles in degrees**

Bond Angle / °	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe	3,5-diMe
O <sub>3</sub> -C <sub>14</sub> -Fe	171.35	171.16	171.26	171.24	171.41	171.19
C <sub>15</sub> -Fe-C <sub>14</sub>	94.02	94.14	94.12	93.95	94.13	94.20
C <sub>13</sub> -Fe-C <sub>15</sub>	92.65	92.68	92.66	92.64	92.49	92.56
C <sub>14</sub> -Fe-lig	129.13	129.00	129.02	129.29	128.96	129.06
Fe-lig-C <sub>2</sub>	103.11	103.26	103.10	103.18	103.67	103.67
Fe-lig-C <sub>1</sub>	80.68	80.64	80.66	80.68	80.56	80.56
C <sub>2</sub> -O <sub>1</sub> -C <sub>12</sub>	114.75	114.63	114.55	114.67	114.97	115.00
C <sub>5</sub> -N-C <sub>7</sub>	125.06	124.82	124.95	122.71	120.22	120.08
C <sub>5</sub> -N-C <sub>11</sub>	115.55	116.21	115.97	118.29	119.79	119.51
C <sub>16</sub> -C <sub>7</sub> -N	122.18	122.50	122.54	122.61	-	-



**(c) Dihedral Angles in degrees**

Bond/°	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe	3,5-diMe
C <sub>14</sub> -Fe-lig-C <sub>2</sub>	127.74	127.83	127.92	127.42	128.51	128.50
C <sub>15</sub> -Fe-lig-C <sub>1</sub>	-62.68	-62.55	-62.59	-62.87	-62.06	-62.14
Fe-lig-C <sub>2</sub> -O <sub>1</sub>	60.28	60.34	59.99	60.12	60.54	60.71
C <sub>12</sub> -O <sub>1</sub> -C <sub>2</sub> -C <sub>1</sub>	-68.61	-69.31	-70.59	-69.34	-67.05	-66.32
C <sub>3</sub> -C <sub>4</sub> -C <sub>5</sub> -C <sub>6</sub>	-28.81	-28.96	-29.30	-27.40	-35.32	-35.12
C <sub>5</sub> -N-C <sub>7</sub> -C <sub>16</sub>	0.65	-0.17	0.41	-4.65	-	-
C <sub>5</sub> -N-C <sub>11</sub> -C <sub>10</sub>	-179.70	-179.36	-179.69	-175.28	-179.57	179.72

#### 4.3.5 Tricarbonyl (1-4-η-5-exo-N-aminopyridino-cyclohexa-1,3-diene)iron

The geometrical parameters were obtained after total optimization of the equilibrium geometries by Semi-empirical PM3. Bond length, bond angles and dihedral angles which contribute to the internal energies were measured and recorded in Table 4.9. The effects of substituent amino group on the pyridine are clearly noticeable around the adjacent carbon atoms. For instance, the bond length of N-C<sub>7</sub> in 2-aminopyridino complex is 1.398 Å because the amino substituent is attached to C<sub>7</sub>, C<sub>7</sub>-C<sub>8</sub> bond length is also 1.432 Å, these values are more than the values obtained for other pyridino substituents and this can be attributed to the electron donor capacity of the amino group. C<sub>7</sub>-N<sub>2</sub> is also 1.366 Å which is low in comparison with other C-N amino substituents, C<sub>8</sub>-N<sub>2</sub> (1.399 Å), C<sub>9</sub>-N<sub>2</sub> (1.377 Å), and C<sub>9</sub>-N<sub>2</sub> 1.470 Å and 1.380 Å for N-methyl and N-diethyl substituents respectively. With the amino substituent on C<sub>8</sub>, the bond length C<sub>7</sub>-C<sub>8</sub> reduces to 1.412 Å while C<sub>8</sub>-C<sub>9</sub> increases to 1.413 Å and the adjacent C<sub>9</sub>-C<sub>10</sub> reduces to 1.383 Å. This is a clear manifestation of the substituent effect on the attached pyridine ring. The entire C-C single and double bonds are within the range of 1.432-1.529 Å and 1.368-1.489 Å respectively. The metal-carbon bonds are in the range 1.730-1.817 Å, while the entire metal-ligand bond is within 1.697-1.701 Å with little or no significant changes. All the C-H bonds fall within 1.090 Å and 1.122 Å. However, the bond and dihedral angles are shown in Table 4.9.

**Table 4.9: Geometric parameters of Tricarbonyl (1-4-η- 5-exo-N-X-amino pyridino-cyclohexa-1,3-diene) iron complexes**

**(a) Selected bond length**

Bond length / Å	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
C <sub>1</sub> – C <sub>2</sub>	1.465	1.477	1.473	1.473	1.473
C <sub>2</sub> – C <sub>3</sub>	1.405	1.396	1.398	1.398	1.398
C <sub>3</sub> – C <sub>4</sub>	1.489	1.485	1.489	1.487	1.487
C <sub>4</sub> – C <sub>5</sub>	1.509	1.496	1.498	1.499	1.499
C <sub>5</sub> – C <sub>6</sub>	1.539	1.539	1.540	1.540	1.540
C <sub>6</sub> – C <sub>1</sub>	1.498	1.494	1.495	1.495	1.495
C <sub>5</sub> – N <sub>1</sub>	1.510	1.519	1.519	1.515	1.514
N <sub>1</sub> – C <sub>7</sub>	1.398	1.366	1.378	1.378	1.379
C <sub>7</sub> – C <sub>8</sub>	1.432	1.412	1.379	1.378	1.377
C <sub>8</sub> – C <sub>9</sub>	1.368	1.413	1.418	1.419	1.424
C <sub>9</sub> – C <sub>10</sub>	1.416	1.383	1.420	1.424	1.426
C <sub>10</sub> – C <sub>11</sub>	1.369	1.398	1.377	1.375	1.375
C <sub>11</sub> – N <sub>1</sub>	1.399	1.369	1.383	1.384	1.383
lig – Fe	1.701	1.700	1.697	1.697	1.697

Fe – C <sub>12</sub>	1.765	1.734	1.730	1.730	1.730
Fe – C <sub>13</sub>	1.815	1.799	1.798	1.797	1.797
Fe – C <sub>14</sub>	1.817	1.809	1.804	1.803	1.802
C <sub>12</sub> – O <sub>1</sub>	1.154	1.159	1.160	1.160	1.160
C <sub>13</sub> – O <sub>2</sub>	1.145	1.148	1.148	1.148	1.148
C <sub>14</sub> – O <sub>3</sub>	1.144	1.145	1.146	1.146	1.146
N <sub>2</sub> – H	0.990	0.993	0.991	-	-
C <sub>7</sub> – N <sub>2</sub>	1.367	-	-	-	-
C <sub>8</sub> – N <sub>2</sub>	-	1.399	-	-	-
C <sub>9</sub> – N <sub>2</sub>	-	-	1.377	1.470	1.380
N <sub>2</sub> – C	-	-	-	1.480	1.480
C – H	1.090-1.122	1.091-1.123	1.090-1.124	1.090-1.124	1.090-1.124

**(b) Bond angles in degrees**

Bond angle/°	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
C <sub>12</sub> -Fe-lig	133.62	133.04	132.22	132.17	132.13
C <sub>13</sub> -Fe-lig	120.41	119.15	119.61	119.65	119.62
C <sub>14</sub> -Fe-lig	109.29	111.27	111.91	111.95	112.02

Fe-C <sub>12</sub> -O <sub>1</sub>	169.89	170.41	171.52	171.65	171.61
Fe-C <sub>13</sub> -O <sub>2</sub>	174.23	174.86	174.92	174.97	174.82
Fe-C <sub>14</sub> -O <sub>3</sub>	170.13	170.75	170.98	170.94	171.07
C <sub>5</sub> -N <sub>1</sub> -C <sub>11</sub>	118.51	117.46	119.09	119.25	119.42
C <sub>5</sub> -N <sub>1</sub> -C <sub>7</sub>	122.18	122.05	121.03	120.97	121.12
N <sub>1</sub> -C <sub>7</sub> -N <sub>2</sub>	119.19	-	-	-	-
C <sub>7</sub> -N <sub>1</sub> -C <sub>11</sub>	119.19	120.47	119.89	119.78	119.89
C <sub>7</sub> -C <sub>8</sub> -N <sub>2</sub>		119.73	-	-	-
C <sub>8</sub> -C <sub>9</sub> -N <sub>2</sub>	-	-	120.97	122.67	121.70
C <sub>9</sub> -N <sub>2</sub> -C <sub>15</sub>	-	-	-	122.83	118.26

**(c) Dihedral angles in degrees**

Dihedral angles /°	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
C <sub>4</sub> -lig-Fe-C <sub>13</sub>	51.20	55.48	52.85	52.57	52.51
C <sub>3</sub> -lig-Fe-C <sub>14</sub>	83.80	91.81	90.61	90.47	90.57
C <sub>2</sub> -lig-Fe-C <sub>12</sub>	92.23	105.30	105.93	106.02	106.14
N <sub>2</sub> -C <sub>7</sub> -N <sub>1</sub> -C <sub>5</sub>	0.86	-	-	-	-
C <sub>5</sub> -N <sub>1</sub> -C <sub>7</sub> -N <sub>2</sub>	0.25	3.16	0.38	0.20	0.23
N <sub>2</sub> -C <sub>8</sub> -C <sub>7</sub> -N <sub>1</sub>	-	-175.60	-	-	-
N <sub>2</sub> -C <sub>9</sub> -C <sub>8</sub> -C <sub>7</sub>	-	-	-176.03	-179.77	-179.80

### 4.3.6 Tricarbonyl (1-4-η-5-exo-N-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

The geometrical parameters were obtained after total optimization of the equilibrium geometries by Semi-empirical PM3. Bond length, bond angles and dihedral angles which contribute to the internal energies were measured and recorded (See Table 4.10). The effects of the substituent amino group on the pyridine are clearly noticeable around the adjacent carbon atoms; for instance, the bond length of N-C<sub>7</sub> in the 2-aminopyridino complex is 1.398 Å because the amino substituent is attached to C<sub>7</sub>. C<sub>7</sub>-C<sub>8</sub> bond length is also 1.432 Å. These values are more than the values obtained for other pyridino substituents and this can be attributed to the electron donor property of the amino group. C<sub>7</sub>-N<sub>2</sub> is also 1.366 Å. The C<sub>7</sub>-C<sub>8</sub> bond length is 1.412 Å while the bond length of C<sub>8</sub>-C<sub>9</sub> is 1.413 Å. In all the complexes C<sub>8</sub>-C<sub>9</sub> and C<sub>9</sub>-C<sub>10</sub> which are low in both 2- and 3-aminosubstituents increased significantly in the 4-amino-, 4-N-methylamino and 4-N-dimethylamino substituents respectively. This is comparable with 1.377 Å (4-NH<sub>2</sub>), 1.381 Å {4-NH (CH<sub>3</sub>) and 4-N (CH<sub>3</sub>)<sub>2</sub> for the C<sub>9</sub>-N<sub>2</sub> bond length (Table 4.10). With the amino substituent on C<sub>8</sub>, the bond lengths C<sub>7</sub>-C<sub>8</sub> and C<sub>8</sub>-C<sub>9</sub> occur at 1.412 Å and 1.413 Å respectively while the adjacent C<sub>9</sub>-C<sub>10</sub> bond length reduces to 1.383 Å. This is a clear manifestation of the substituent effect on the attached pyridine ring. All the C-C single and double bonds are within the range of 1.432-1.529 Å and 1.368-1.489 Å respectively. The metal-carbon bond falls within the range 1.731-1.820 Å while the entire metal-ligand bond is 1.681 Å in all the complexes except for the 2-aminopyridino complex whose metal-ligand bond is 1.692 Å. All the C-H bonds fall within 1.090 Å and 1.122 Å. The bond distances, bond angles and dihedral angles for all the complexes are collected in Table 4.10.

**Table 4.10: Geometric parameters of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-amino-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

**(a) Selected bond length**

Bond length / Å	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
C <sub>1</sub> – C <sub>2</sub>	1.474	1.483	1.483	1.483	1.483
C <sub>2</sub> – C <sub>3</sub>	1.419	1.409	1.408	1.408	1.408
C <sub>3</sub> – C <sub>4</sub>	1.492	1.484	1.483	1.483	1.483
C <sub>4</sub> – C <sub>5</sub>	1.509	1.495	1.495	1.495	1.495
C <sub>5</sub> – C <sub>6</sub>	1.540	1.540	1.539	1.539	1.539
C <sub>6</sub> – C <sub>1</sub>	1.496	1.493	1.494	1.493	1.494
C <sub>5</sub> – N <sub>1</sub>	1.510	1.519	1.520	1.519	1.518
N <sub>1</sub> – C <sub>7</sub>	1.398	1.366	1.377	1.377	1.378
C <sub>7</sub> – C <sub>8</sub>	1.432	1.412	1.381	1.381	1.379
C <sub>8</sub> – C <sub>9</sub>	1.367	1.413	1.416	1.417	1.422
C <sub>9</sub> – C <sub>10</sub>	1.416	1.383	1.420	1.424	1.426
C <sub>10</sub> – C <sub>11</sub>	1.368	1.398	1.376	1.374	1.374
C <sub>11</sub> – N <sub>1</sub>	1.399	1.369	1.385	1.386	1.385
lig – Fe	1.692	1.681	1.681	1.681	1.681
Fe – C <sub>12</sub>	1.820	1.736	1.732	1.732	1.731
Fe – C <sub>13</sub>	1.770	1.797	1.801	1.801	1.800
Fe – C <sub>14</sub>	1.814	1.821	1.820	1.820	1.818

C <sub>12</sub> -O <sub>1</sub>	1.153	1.159	1.161	1.161	1.616
C <sub>13</sub> -O <sub>2</sub>	1.142	1.148	1.148	1.148	1.148
C <sub>14</sub> -O <sub>3</sub>	1.144	1.143	1.143	1.143	1.144
C <sub>2</sub> -O <sub>4</sub>	1.355	1.376	1.377	1.377	1.377
O <sub>4</sub> -C <sub>15</sub>	1.414	1.414	1.415	1.415	1.414
C <sub>7</sub> -N <sub>2</sub>	1.366	-	-	-	-
C <sub>8</sub> -N <sub>2</sub>	-	1.399	-	-	-
C <sub>9</sub> -N <sub>2</sub>	-	-	1.377	1.377	1.381
N <sub>2</sub> -C	-	-	-	1.476	1.480
C-H	1.089- 1.121	1.091- 1.121	1.090- 1.121	1.090-1.122	1.091-1.122
N <sub>2</sub> -H	0.989	0.993	0.991	0.995	-

**(b) Bond Angles in degrees**

Bond angle /°	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
C <sub>12</sub> -Fe-lig	132.91	132.25	132.64	132.78	132.49
C <sub>13</sub> -Fe-lig	120.58	118.88	118.55	118.44	118.49
C <sub>14</sub> -Fe-lig	110.78	113.60	113.52	113.48	113.64
C <sub>12</sub> -Fe-C <sub>14</sub>	88.58	88.57	88.43	88.35	88.63
C <sub>13</sub> -Fe-C <sub>14</sub>	89.14	90.11	90.00	89.97	90.18



C <sub>12</sub> -Fe-C <sub>13</sub>	102.51	101.83	101.94	101.98	101.81
C <sub>2</sub> -O <sub>4</sub> -C <sub>15</sub>	117.60	114.55	114.48	114.33	114.53
C <sub>5</sub> -N <sub>1</sub> -C <sub>7</sub>	122.05	121.89	122.56	122.75	122.75
N <sub>1</sub> -C <sub>7</sub> -N <sub>2</sub>	119.07	-	-	-	-
N <sub>1</sub> -C <sub>7</sub> -C <sub>8</sub>	119.18	120.31	120.88	120.00	121.04
C <sub>7</sub> -C <sub>8</sub> -N <sub>2</sub>	-	119.71			
H-N-H	120.20	114.49	116.78	-	-
N <sub>2</sub> -C <sub>7</sub> -C <sub>8</sub>	121.74	-	-	-	-
C <sub>9</sub> -N <sub>2</sub> -C <sub>16</sub>	-	-	-	122.74	120.81

**(c) Dihedral Angles in degrees**

Dihedral angles /°	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
C <sub>3</sub> -C <sub>2</sub> -O <sub>4</sub> -C <sub>15</sub>	128.48	128.50	127.90	126.24	128.64
C <sub>13</sub> -Fe-C <sub>14</sub> -O <sub>3</sub>	68.67	68.79	69.12	69.16	68.30
C <sub>14</sub> -Fe-lig-C <sub>3</sub>	91.56	91.62	91.68	91.74	91.79
C <sub>4</sub> -lig-Fe-C <sub>13</sub>	54.95	55.07	55.42	55.57	55.20
C <sub>4</sub> -C <sub>5</sub> -N <sub>1</sub> -C <sub>7</sub>	11.54	11.34	10.38	9.21	10.66
C <sub>8</sub> -C <sub>7</sub> -N <sub>2</sub> -H	11.73	11.78	-	-	-
H-C <sub>7</sub> -C <sub>8</sub> -N <sub>2</sub>	-	3.61	-	-	-
C <sub>10</sub> -C <sub>9</sub> -N-C <sub>16</sub>	-	-	-	171.98	171.98

## 4.4 Electronic properties

### 4.4.1 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene)iron complexes

It is expedient to visualize the HOMO and the LUMO for these new organometallics (Riggs and Sun, 2000; Bouzzine *et al.*, 2004; Manna *et al.*, 2003; Bingham *et al.*, 1975; Dewar and Thiel, 1977; Dewar *et al.*, 1985, 1977a, 1977b; McIver *et al.*, 1977) compounds because the relative ordering of occupied and virtual orbital provide a reasonable qualitative indication of both ground and excited state properties. The HOMO of these complexes possesses a  $\pi$ -bonding character within the subunit and  $\pi$ -antibonding character excited state properties between the consecutive subunits. On the other hand, the LUMO possesses a  $\pi$ -antibonding character within the subunit and a  $\pi$ -bonding character between the subunits. In practice, the HOMO and LUMO energies are obtained from an empirical formula based on the onset of oxidation-reduction of peaks measured by cyclic voltametry. Theoretically, the HOMO and LUMO energies are calculated using Semi-empirical PM3 (Stewart, 1989). These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. Even if these energy levels are not accurate, it is still possible to use them to obtain information by comparing them with other compounds. Table 4.11 lists the theoretical electronic parameters of these new organometallics. The calculated electronic properties (energy band gap LUMO-HOMO) of the Tricarbonyl (1-4- $\eta$ -5-exo-N-X-substituted pyridino-cyclohexa-1,3-diene)iron complexes are 7.32eV, 7.40eV, 7.40eV and 3.70eV respectively for X = H, 2-Me, 3-Me and 4-Me. There is no significant change in the electronic properties of the first three complexes; the reduction of band-gap observed in 4-methylpyridino complex may be attributed to the symmetrical occupation of the methyl substituent on the pyridine ring. The LUMO-HOMO diagrams are presented in Plate 4.1.

**Table 4.11: Electronic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes**

Substituent	Dipole moment//Debye	HOMO energy /eV	LUMO energy /eV	Band gap/ eV
H	4.88	-5.09	-12.41	7.32
2-Me	4.12	-4.99	-12.39	7.40
3-Me	3.44	-4.97	-12.37	7.40
4-Me	3.31	-4.99	-8.79	3.70

#### 4.4.2 Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

The HOMO of these complexes possesses a  $\pi$ -bonding character within the subunit and  $\pi$ -antibonding character excited state properties between the consecutive subunits. On the other hand, the LUMO possesses a  $\pi$ -antibonding character within the subunit and a  $\pi$ -bonding character between the subunits. In practice, the HOMO and LUMO energies are obtained from an empirical formula based on the onset of oxidation-reduction of peaks measured by cyclic voltametry. Theoretically the HOMO and LUMO energies are calculated using Semi-empirical PM3. These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. Even if these energy levels are not accurate, it is still possible to use them to obtain information by comparing them with other compounds. Table 4.12 lists the theoretical electronic parameters of these new organometallics. The calculated electronic properties (LUMO-HOMO band gap) of compounds Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene)iron complexes are 7.42eV, 7.40eV, 7.42eV, 7.40eV and 7.43eV respectively. There is no significant change in the electronic properties of these complexes. The calculated, HOMO and LUMO energies and the band gap are displayed in Table 4.12 while the LUMO-HOMO diagrams are presented in appendix 1, (Plate 4.2).

**Table 4.12: Electronic parameters of Tricarbonyl (1-4- $\eta$ -5-exo-N- X,X-dimethyl pyridino-cyclohexa-1,3-diene) iron complexes**

Dimethyl	Dipole moment/Debye	E <sub>HOMO</sub> /eV	E <sub>LUMO</sub> /Ev	Band gap E/eV
2,3-	3.21	-12.31	-4.89	7.42
2,4-	2.94	-12.39	-4.90	7.40
2,5-	3.04	-12.31	-4.89	7.42
2,6-	3.58	-12.31	-4.92	7.40
3,4-	2.29	-12.31	-4.88	7.43

#### 4.4.3 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

In order to explain the electronic properties of these compounds, the HOMO and the LUMO for these new organometallic compounds were visualized since the relative ordering of occupied and virtual orbitals provide a reasonable qualitative indication of both ground and excited state properties. Both the HOMO and the LUMO of these complexes possesses a combination of  $\pi$ -bonding character within subunit and  $\pi$ -antibonding character excited state properties between the consecutive subunits. On the other hand, the LUMO possesses a  $\pi$ -antibonding character within the subunit and a  $\pi$ -bonding character between the subunits. Experimentally, the HOMO and LUMO energies are obtained from an empirical formula based on the onset of oxidation-reduction of peaks measured by cyclic voltametry (Follett *et al.*, 2007; Pratt and Vander Donk, 2005; Birke *et al.*, 2006; Jensen and Ryde, 2003; Morschel *et al.*, 2008; Huang *et al.*, 2007; Hu and Boyd, 2000; Praetorius *et al.*, 2008). Theoretically the HOMO and LUMO energies are calculated using theoretical models. These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. Table 4.13 lists the theoretical electronic parameters for these new organometallics. The calculated electronic properties (energy band gap LUMO-HOMO) of the Tricarbonyl (1-4- $\eta$ -5-exo-N-X-substituted pyridino-2-methoxycyclohexa-1,3-diene) iron complexes are 7.21, 7.20, 7.18 and 7.24eV respectively for X = H, 2-Me, 3-Me and 4-Me. There is no significant change in the electronic properties of these complexes. The LUMO-HOMO diagrams are presented in appendix 1 (Plate 4.3).

**Table4.13: Electronic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

Substituent	Dipole moment/Debye	HOMO energy /eV	LUMO energy /eV	Band gap/ eV
H	6.69	-5.08	-12.29	7.21
2-Me	6.62	-5.00	-12.30	7.20
3-Me	5.58	-4.97	-12.25	7.18
4-Me	5.24	-4.99	-12.23	7.24

#### 4.4.4 Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Spectroscopic data for a number of  $\pi$ -systems are usually determined either in solution or in the solid state (crystals or thin film). Our calculations are for isolated molecules in the gas phase. Experimentally, the HOMO and the LUMO energies can be obtained from an empirical data based on the onset of the oxidation and reduction of peaks measured by cyclic voltametry, in gas-phase chemistry, the HOMO and the LUMO energies are calculated using Semi-empirical PM3. However, solid state packing effects are not included in our calculations as this tends to affect the HOMO and the LUMO energy levels in a thin film compared to an isolated molecule. The calculated electronic parameters (energy band gaps, i.e. HOMO- LUMO ) of the X, X-substituted pyridino-organometallic complexes are 7.27, 7.25, 7.30, 7.25, 7.32 and 7.35eV respectively for X = 2,3-Me<sub>2</sub>, 2,4-Me<sub>2</sub>, 2,5-Me<sub>2</sub>, 2,6-Me<sub>2</sub>, 3,4-Me<sub>2</sub> and 3,5-Me<sub>2</sub>. Even with no change in band gap, they exhibit destabilization of both the HOMO and the LUMO levels in comparison with the new Tricarbonyl (1-4- $\eta$ -pyridino-cyclohexa-1,3-diene iron complexes. This is due to the presence of the electron-donating methoxy group on the cyclohexa-1,3-diene ring and methyl substituent on the pyridine ring. There are no significant changes in band gap even though the position of the substituent changes. The HOMO and LUMO energies and energy band gaps are shown in Table 4.14, while the HOMO and LUMO diagrams are presented in appendix 1, (Plate 4.4).



**Table 4.14:** Electronic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X dimethyl pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Dimethylgroup	Dipole moment/Debye	E <sub>HOMO</sub> /eV	E <sub>LUMO</sub> /eV	Bandgap E <sub>g</sub> /Ev
2,3-	5.22	-12.16	-4.89	7.27
2,4-	4.97	-12.15	-4.90	7.25
2,5-	4.96	-12.17	-4.89	7.30
2,6-	5.43	-12.17	-4.92	7.25
3,4-	4.02	-12.19	-4.87	7.32
3,5-	4.23	-12.21	-4.86	7.35

#### 4.4.5 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes

The HOMO of these complexes possesses a  $\pi$ -bonding character within sub-units and  $\pi$ -antibonding character excited state properties between the consecutive subunits. On the other hand, the LUMO possesses a  $\pi$ -antibonding character within the subunits and a  $\pi$ -bonding character between the subunits. Experimentally, the HOMO and the LUMO energies were obtained from an empirical formula based on the onset of oxidation-reduction of peaks measured by cyclic voltametry. Theoretically, the HOMO and LUMO energies are calculated using Semi-empirical PM3. These calculations however, do not have solid- state packing effect and aqueous state is not taken into consideration. The HOMO-LUMO energy gap is the difference in energy between the LUMO and HOMO; this is an important stability index. The calculated electronic properties (energy band gap, LUMO-HOMO) of these complexes were found to be within 7.30 – 7.80eV, the calculated values are shown in Table 4.15, while the LUMO-HOMO structures are shown in appendix 1 Plate 4.5. There is a significant change in the values recorded for dipole moments.

**Table 4.15: Electronic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-amino-pyridino-cyclohexa-1,3-diene) iron complexes**

X-aminopyridino	Dipole moments / Debye	HOMO energy / eV	LUMO energy / eV	Band gaps / eV
2-NH <sub>2</sub>	2.19	-12.14	-4.34	7.80
3-NH <sub>2</sub>	4.39	-12.13	-4.83	7.30
4-NH <sub>2</sub>	6.06	-12.02	-4.67	7.35
4-NHCH <sub>3</sub>	4.55	-11.97	-4.55	7.42
4-N(CH <sub>3</sub> ) <sub>2</sub>	3.23	-11.92	-4.43	7.49

#### 4.4.6 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

The HOMO-LUMO energy of these complexes was obtained in order to explain the electronic properties of these new organometallics. The HOMO of these complexes comprises of  $\pi$ -bonding combination and  $\pi$ -antibonding character excited state properties between the consecutive subunits. The LUMO possesses combination of  $\pi$ -antibonding character and a  $\pi$ -bonding character between the sub-units. Experimentally, the HOMO and the LUMO energies were obtained from an empirical formula based on the onset of oxidation-reduction of peaks measured by cyclic voltametry. Theoretically, the HOMO and LUMO energies are calculated using Semi-empirical PM3. These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. Although these energy levels may not be accurate, it is possible to use them to obtain information by comparing them with other compounds. The calculated electronic properties (energy band gap, LUMO-HOMO) of these complexes were found to be within 7.20–7.76eV, the highest been the Tricarbonyl (1-4- $\eta$ -5-exo-N-2-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complex, the calculated values are shown in Table 4.16 while the LUMO-HOMO structures are shown in appendix 1 Plate 4.6. However, there is a significant change in the values recorded for dipole moments.

**Table 4.16: Electronic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-amino-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

X-aminopyridino	Dipole moments / Debye	HOMO energy / eV	LUMO energy / eV	Band gaps / eV
2-NH <sub>2</sub>	3.52	-12.04	-4.28	7.76
3-NH <sub>2</sub>	6.51	-12.01	-4.81	7.20
4-NH <sub>2</sub>	8.27	-11.85	-4.65	7.20
4-NH(CH <sub>3</sub> )	6.76	-11.80	-4.53	7.27
4-N(CH <sub>3</sub> ) <sub>2</sub>	5.44	-11.76	-4.41	7.35

## 4.5 Thermodynamic parameters and Stability

### 4.5.1 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes

The formations of these complexes are highly exothermic. Thermodynamic stabilities is expected when a negative  $\Delta H, \Delta G$  and positive  $\Delta S$ . The more negative the values of  $\Delta G$  and  $\Delta H$ , positive  $\Delta S$ , the more stable the pyridino complexes. A closer look at the Table 4.13 confirms that the formation of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-methylpyridino-cyclohexa-1,3-diene) iron complex is more exothermic than that of 2-methylpyridino and 3-methylpyridino organometallic complexes, hence the order stability is H>4-Me> 3-Me >2-Me. All the calculated free energies are negative, the calculated enthalpies are negative, all entropies are positive, and this implies that the formation of these complexes is spontaneous. There are no experimental or theoretical data for comparison; however, present calculations reveal that all the complexes are thermodynamically stable as shown in Table 4.17.

**Table 4.17: Thermodynamic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes. (T= 298.15K)**

X-pyridino	Heat of formation $H_f$ kJmol <sup>-1</sup>	Free Energy G kJmol <sup>-1</sup>	Entropy S Jmol <sup>-1</sup> K <sup>-1</sup>	Enthalpy H kJmol <sup>-1</sup>
H	-799.78	-317.334	558.78	-150.74
2-Me	-840.68	-285.43	579.20	-112.75
3-Me	-845.34	-295.64	594.22	-118.48
4-Me	-847.73	-298.03	593.70	-121.02

#### 4.5.2 Tricarbonyl (1-4- $\eta$ -5-exo-N-X, X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

The formation of these 1,3-diene compounds are spontaneous. Thermodynamic stabilities results from negative  $\Delta H$ ,  $\Delta G$  values and positive  $\Delta S$ . The more negative the values of  $\Delta G$  and  $\Delta H$  and positive  $\Delta S$ , the more stable will be the complexes. This is clearly confirmed in Table 4.18 with the Tricarbonyl (1-4- $\eta$ -5-exo-N-2,6-dimethylpyridino-cyclohexa-1,3-diene iron complex being the most stable. All the calculated free energies are negative, the enthalpies are negative and entropies are all positive, suggesting that, the formation of these complexes is spontaneous. From the thermodynamic data, all the complexes are thermodynamically stable. The thermodynamic properties are shown in Table 4.18.



**Table 4.18: Thermodynamic parameters for the Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes (T = 298.15 K)**

X,X-dimethyl	Heat of formation./ kJmol <sup>-1</sup>	Free energy /kJmol <sup>-1</sup>	Entropy /Jmol <sup>-1</sup> K <sup>-1</sup>	Enthalpy/ kJmol <sup>-1</sup>
2,3-	-863.99	-235.57	598.17	-51.27
2,4-	-873.36	-248.70	607.31	-67.63
2,5-	-870.82	-246.55	609.26	-64.90
2,6-	-858.95	-228.71	595.21	-51.25
3,4-	-889.62	-269.37	620.04	-84.50

#### 4.5.3 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

The quantities calculated include the standard enthalpy of formation, absolute enthalpy, entropy and free energy change; these are presented in Table 4.19. The heat of formation, absolute enthalpy and free energy are all negative while the entropy is positive. Thermodynamic stabilities are expected when  $\Delta H$  and  $\Delta G$  are negative. The more negative these values are and the more positive  $\Delta S$ , the more stable would be the pyridino complexes. A closer look at Table 4.19 confirms that the formation of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-methylpyridino-2-methoxycyclohexa-1,3-diene)iron complex is more rapid than that of 2-methylpyridino and 3-methyl-pyridino organometallic complexes, hence the order of stability is  $H > 4\text{-Me} > 3\text{-Me} > 2\text{-Me}$ . All the calculated free energy values are negative and the calculated enthalpies are negative while all entropies are positive thus confirming that the formation of these complexes is spontaneous. There are no experimental or theoretical data for comparison. However, the present calculations reveal that all the complexes are thermodynamically stable as shown in Table 4.19.

**Table 4.19: Thermodynamic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes (T=298.15K)**

X-pyridino	Heat of formation $\Delta H_f/\text{kJmol}^{-1}$	Free Energy $\Delta G/\text{kJmol}^{-1}$	Entropy $\Delta S$ $/\text{Jmol}^{-1}\text{K}^{-1}$	Enthalpy $\Delta H/\text{kJmol}^{-1}$
H	-976.54	-420.10	616.03	-237.33
2-Me	-1001.90	-371.57	630.71	-183.52
3-Me	-1021.94	-398.59	649.75	-204.87
4-Me	-1024.46	-401.13	649.26	-207.56

#### 4.5.4 Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

The calculated thermodynamic parameters include the standard heat of formation, absolute enthalpy, free energy and absolute entropy for these complexes. Thermodynamic stabilities are expected when  $\Delta H$  and  $\Delta G$  values are negative thus indicating the spontaneity of the formation of these group of complexes. The more negative these values are and the more positive  $\Delta S$ , the more stable would be the pyridino complexes. A closer look at Table 4.20 confirms that the formation of Tricarbonyl (1,4- $\eta$ -5-exo-N-3,5-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complex is the most rapid followed by 3,4-dimethylpyridino-organometallic cationic complex while Tricarbonyl (1-4- $\eta$ -5-exo-N-2,6-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron is the least. All the calculated free energy values are negative and the calculated enthalpies are negative while all entropies are positive, implying that the formation of these complexes is spontaneous. There are no experimental or theoretical data for comparison. However, the present calculations reveal that all the complexes are thermodynamically stable as shown in Table 4.20.

**Table4.20: Thermodynamic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene)iron complexes (T= 298.15K)**

Structure, dimethyl	Heat of formation $\Delta H_f / \text{kJmol}^{-1}$	Free Energy $\Delta G / \text{kJmol}^{-1}$	Entropy $\Delta S / \text{Jmol}^{-1}\text{K}^{-1}$	Enthalpy $\Delta H / \text{kJmol}^{-1}$
2,3-	-1040.72	-339.09	654.70	-143.90
2,4-	-1049.45	-352.04	666.18	-153.41
2,5-	-1047.60	-350.04	665.54	-151.61
2,6-	-1035.90	-332.15	651.39	-137.93
3,4-	-1066.34	-372.80	667.23	-170.89
3,5-	-1067.05	-375.85	683.73	-172.00

#### 4.5.5 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3- diene) iron complexes

The formation of these amino pyridino-1-4- $\eta$ -cyclohexa-1,3-diene irontricarbonylorganometallics are spontaneous. For complexes to be thermodynamically stable it is expected that  $\Delta G$  and  $\Delta H$  are negative; the more stable would be the amino pyridino complexes. However all calculated free energy and enthalpy changes are negative while all calculated entropy values are positive, thus confirming that the formation of these complexes is spontaneous. Our calculations reveal that all the complexes are thermodynamically stable as shown in Table 4.21.

**Table 4.21: Thermodynamic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes at 298.15K**

X-aminopyridino	Heat of formation/ kJmol <sup>-1</sup>	Free energy /kJmol <sup>-1</sup>	Entropy / Jmol <sup>-1</sup> K <sup>-1</sup>	Enthalpy / kJmol <sup>-1</sup>
2-NH <sub>2</sub>	-823.56	-299.46	561.27	-132.11
3-NH <sub>2</sub>	-824.92	-294.32	556.66	-125.37
4-NH <sub>2</sub>	-827.87	-313.01	577.85	-140.72
4-NH(CH <sub>3</sub> )	-843.48	-253.70	612.03	-71.22
4-N(CH <sub>3</sub> ) <sub>2</sub>	-844.13	-188.50	648.50	4.86

#### 4.5.6 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

A compound is essentially stable, if it has a negative heat of formation, negative free energy change and positive entropy. The formation of these amino pyridino-1-4- $\eta$ -cyclohexa-1,3-diene iron tricarbonyl organometallics are spontaneous as presented in Table 4.22. Among the five organometallics within the group, the Tricarbonyl (1-4- $\eta$ -5-exo-N-4-aminopyridino-2-methoxycyclohexa-1,3-diene) iron is the most stable, hence the order of stability is 4-NH<sub>2</sub> > 2-NH<sub>2</sub> > 3-NH<sub>2</sub> > 4-NHCH<sub>3</sub> > 4-N(CH<sub>3</sub>)<sub>3</sub>. Our calculations reveal that all the complexes are thermodynamically stable, 4-N-dimethylpyridino-1-4- $\eta$ -2-methoxycyclohexa-1,3-diene iron tricarbonyl with the highest value of free energy change being the least stable as shown in Table 4.22.



**Table 4.22: Thermodynamic parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes at 298.15K**

X-aminopyridino	Heat of formation/ kJmol <sup>-1</sup>	Free energy /kJmol <sup>-1</sup>	Entropy / Jmol <sup>-1</sup> K <sup>-1</sup>	Enthalpy / kJmol <sup>-1</sup>
2-NH <sub>2</sub>	-1002.52	-404.70	615.70	-221.12
3-NH <sub>2</sub>	-1000.83	-397.57	625.70	-211.02
4-NH <sub>2</sub>	-1015.10	-412.63	626.00	-225.97
4-NH(CH <sub>3</sub> )	-1019.40	-353.14	660.08	-156.33
4-N(CH <sub>3</sub> ) <sub>2</sub>	-1020.02	-288.13	697.32	-80.22

## 4.6 Vibrational Frequencies

### 4.6.1 Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complexes

The calculated vibrational frequencies of the complexes are shown in figure appendix 3, Plate 4.7. According to group representation theory in Chemistry, we can deduce that, there are 87 and 96 vibrational modes for the pyridino-derivatives. Among these normal modes, the strongest infra-red absorption peaks and their intensities are displayed in Table 4.23. The simulated IR spectra of the derivatives are calculated by replacing one of its Hydrogen with methyl group. The total vibrations increase from 87 to 96.

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**Table 4.23: Vibrational frequencies and intensities for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes**

X-pyridino/assigned peaks.	Frequency/cm <sup>-1</sup>	Intensity/kMmol <sup>-1</sup>
H	1272	3676
	1736	6419
	2121	1654
	2170	1399
	2242	1226
2-Me	1272	3756
	1718	5264
	1755	4470
	2119	1603
	2170	1395
3-Me	2242	1216
	1284	3186

	1732	2134
	1748	3886
	2120	1661
	2170	1404
	2242	1244
4-Me	1290	3726
	1718	5732
	1749	1375
	2121	1663
	2170	1404
	2242	1256

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#### 4.6.2 Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

The calculated vibrational frequencies of the complexes are shown in Table 4.24. According to group representation theory in Chemistry, we can deduce that there are 105 vibrational modes for the pyridino- and methylpyridinoderivatives. Among these normal modes, the strongest infra-red absorption peaks and their intensities are displayed in Plate 4.7 in appendix 3. The simulated IR spectra of the derivatives are calculated by replacing one of its Hydrogen with methyl group. On replacing the hydrogen with methyl group, the total vibrations increase from 87 to 105.

**Table 4.24: Vibrational frequencies and intensities for Tricarbonyl (1-4-η-5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes**

Bond.	Frequency/ cm <sup>-1</sup>	Intensity/kMmol <sup>-1</sup>
N-C <sub>7</sub>	1272	3723
N=C <sub>11</sub>	1727	6384
C≡O	2123	1630
	2176	1301
	2244	1261
N-C <sub>7</sub>	1270	2595
N=C <sub>11</sub>	1713	6849
C2-O <sub>1</sub>	1757	3938
C≡O	2120	1572
	2175	1295
	2245	1266
N-C <sub>7</sub>	1285	3906

N=C <sub>11</sub>	1734	1307
C <sub>9</sub> -C <sub>10</sub>	1746	4193
C≡O	2122	1640
	2175	1306
	2244	1286
N-C <sub>7</sub>	1298	3667
N=C <sub>11</sub>	1718	5700
C <sub>7</sub> -C <sub>8</sub>	1750	1374
C≡O	2122	1638
	2174	1307
	2244	1285

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#### 4.6.3 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

According to group representation theory in Chemistry, we can deduce that, there are 99 and 108 vibrational modes, for the pyridino- and methylpyridino-derivatives. Among these normal modes, the strongest infra-red absorption peaks and their intensities are displayed in Table 4.25 and in appendix 3, Plate 4.9. The simulated IR spectra of the derivatives are calculated by replacing one of its Hydrogen with methyl group. On replacing the hydrogen with methyl group, the total vibrations increase from 99 to 108.

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**Table 4.25: Vibrational frequencies and intensities for Tricarbonyl (1-4-η-5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

X- pyridino/assigned peaks.	Bond	Frequency/ cm <sup>-1</sup>	Intensity/kMmol <sup>-1</sup>
H	N-C <sub>7</sub>	1272	3723
	N=C <sub>11</sub>	1727	6384
	C≡O	2123	1630
		2176	1301
		2244	1261
2-Me	N-C <sub>7</sub>	1270	2595
	N=C <sub>11</sub>	1713	6849
	C2-O <sub>1</sub>	1757	3938
	C≡O	2120	1572
		2175	1295
		2245	1266

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3-Me	N-C <sub>7</sub>	1285	3906
	N=C <sub>11</sub>	1734	1307
	C <sub>9</sub> -C <sub>10</sub>	1746	4193
	C≡O	2122	1640
		2175	1306
		2244	1286
4-Me	N-C <sub>7</sub>	1298	3667
	N=C <sub>11</sub>	1718	5700
	C <sub>7</sub> -C <sub>8</sub>	1750	1374
	C≡O	2122	1638
		2174	1307
		2244	1285

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#### **4.6.4 Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

The calculated vibrational frequencies of X,X-dimethylpyridino-1-4- $\eta$ -2-methoxycyclohexa-1,3-diene irontricarbonyl complexes are shown in appendix 3, Plate 4.10. There are 117 types of vibrational modes, according to group representation theory in chemistry, among these A modes of vibration, there are six strongest infra-red absorption peaks. The infra-red absorption bands and intensities are listed in Table 4.26. There are no experimental or other theoretical values for comparison.

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**Table 4.26: Vibrational frequencies and intensities for Tricarbonyl (1-4- $\eta$ -5-exo-N-X, X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

Structure, X, X-	Vibrating bond	Infra-red band/cm <sup>-1</sup>	Intensity/kMmol <sup>-1</sup>
2, 3-dimethyl-	C <sub>7</sub> – C <sub>8</sub>	1250	2787
	C <sub>8</sub> – C <sub>17</sub>	1291	1001
	C <sub>7</sub> – C <sub>16</sub>	1578	1348
	N – C <sub>7</sub>	1723	4291
	N – C <sub>11</sub>	1750	5238
	C $\equiv$ O	2120	1616
			2175
		2244	1290
2, 4-dimethyl-	C <sub>9</sub> – C <sub>10</sub>	1285	2913
	N – C <sub>7</sub>	1709	7190
	N – C <sub>11</sub>	1764	3243
	C $\equiv$ O	2120	1582

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		2175	1298
		2243	1293
2, 5-dimethyl-	C <sub>7</sub> – C <sub>8</sub>	1290	3970
	C <sub>7</sub> – C <sub>16</sub>	1608	1377
	N – C <sub>7</sub>	1722	1890
	N – C <sub>11</sub>	1763	5188
	C ≡ O	2119	1595
		2175	1311
		2243	1265
2, 6-dimethyl-	C <sub>7</sub> – C <sub>8</sub>	1296	3472
	N – C <sub>7</sub>	1724	12508
	N – C <sub>11</sub>	1753	1301
	C ≡ O	2117	1507
		2175	1287

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		2244	1250
3, 4-dimethyl-	C <sub>8</sub> – C <sub>9</sub>	1302	2960
	C <sub>7</sub> – C <sub>16</sub>	1538	1003
	N – C <sub>7</sub>	1726	3854
	N – C <sub>11</sub>	1745	1930
	C ≡ O	2121	1650
		2173	1306
		2244	1309
3, 5-dimethyl-	C <sub>7</sub> – C <sub>8</sub>	1298	3662
	N – C <sub>11</sub>	1760	4146
	C ≡ O	2122	1652
		2174	1302
		2244	1303

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#### **4.6.5 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes**

There are 93 A type vibrational modes for these new aminopyridino organometallics. The vibrational modes change with the replacement of the hydrogen atom with methyl groups on the amino group, the vibrational modes increase to 102, with the hydrogen atoms completely replaced by methyl groups, the vibrational modes rose to 111. Among these normal modes, the strongest infra-red absorption peaks and their intensities are shown in Table 4.27 while the simulated infra-red spectra of these complexes are shown in appendix 3, Figure 4.7.

**Table 4.27: Vibrational frequencies and intensities for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes**

Structure	Vibrating bond	Infra-red band/ $\text{cm}^{-1}$	Intensity/ $\text{kMmol}^{-1}$
2-NH <sub>2</sub>	C <sub>5</sub> – N <sub>1</sub>	1474	2721
	C <sub>7</sub> – N <sub>2</sub>	1585	1721
	C <sub>8</sub> – C <sub>9</sub>	1691	17658
	C <sub>10</sub> – C <sub>11</sub>	1784	1582
	N <sub>2</sub> – H, C $\equiv$ O	2129	2246
	C $\equiv$ O	2184	5951
	C $\equiv$ O	2192	3670
	N <sub>2</sub> – H	2307	1750
3-NH <sub>2</sub>	C <sub>11</sub> – N <sub>1</sub>	1330	3015
	C <sub>8</sub> – C <sub>9</sub>	1738	1978
	C $\equiv$ O	2106	1661
	C $\equiv$ O	2171	1217
	C $\equiv$ O	2241	1078
4-NH <sub>2</sub>	C <sub>11</sub> – N <sub>1</sub>	1357	1616
	C <sub>10</sub> – C <sub>11</sub>	1561	2060



	$C_8 - C_9$	1682	10119
	$C_7 - C_8$	1735	1857
	$C \equiv O$	2103	1728
	$C \equiv O$	2169	1310
	$C \equiv O$	2239	1204
	$C_7 - H$	2754	1219
4-NH(CH <sub>3</sub> )	$C_{10} - C_{11}$	1568	2322
	$C_9 - N_2$	1626	1117
	$C_9 - C_{10}$	1676	11151
	$C_7 - C_8$	1734	1771
	$C \equiv O$	2102	1739
	$C \equiv O$	2168	1323
	$C \equiv O$	2238	1208
	$C_7 - H$	2756	1310
4-N(CH <sub>3</sub> ) <sub>2</sub>	$C_{11} - N_1$	1360	1567
	$C_{10} - C_{11}$	1571	1990
	$C_9 - C_8$	1663	12085

$C_7 - C_8$	1734	1835
$C \equiv O$	2101	1742
$C \equiv O$	2167	1329
$C \equiv O$	2238	1210
$C_7 - H$	2763	1278

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#### **4.6.6 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

By using group representation theory in Chemistry, there are 105 A types' vibrational modes for these new aminopyridino organometallics. The vibrational modes change with the replacement of the hydrogen atoms by methyl and methoxy groups on the pyridine moiety and cyclohexa-1,3-diene ring respectively, these vibrational modes increase to 114, when one of the hydrogen on the amino group is replaced by a methyl group. The vibrational modes further rose to 123 on replacing the two hydrogen atoms on the amino group with methyl groups. Among these normal modes, the strongest infra-red absorption peaks and their intensities are shown in Table 4.28, while the simulated infra-red spectra of these complexes are shown in appendix 3, Figure 4.8.

**Table 4.28: Vibrational frequencies and intensities for simulated Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

Structure X	Vibrating bond	Infra-red band/ $\text{cm}^{-1}$	Intensity/ $\text{kMmol}^{-1}$
2-NH <sub>2</sub>	C <sub>5</sub> – N	1474	2583
	C <sub>7</sub> – N	1585	1914
	C <sub>9</sub> – C <sub>10</sub>	1690	17707
	C <sub>8</sub> – C <sub>9</sub>	1785	1355
	N <sub>2</sub> -H& C≡O	2111	5676
	N <sub>2</sub> -H& C≡O	2159	5890
	C≡O	2190	1844
3-NH <sub>2</sub>	N <sub>1</sub> -C <sub>7</sub> &C <sub>8</sub> – C <sub>9</sub>	1333	2842
	C <sub>9</sub> – C <sub>10</sub>	1738	1883
	C≡O	2106	1656
	C≡O	2177	1085
	C≡O	2247	1094
4-NH <sub>2</sub>	N <sub>1</sub> – C <sub>7</sub> & C <sub>8</sub> – C <sub>9</sub>	1351	2167
	C <sub>7</sub> – C <sub>8</sub>	1557	2264
	C <sub>10</sub> – C <sub>11</sub>	1735	1884
	C≡O	2099	1638

	$C\equiv O$	2178	1110
	$C\equiv O$	2246	1230
	$C_7 - H$	2803	1048
4-NH(CH <sub>3</sub> )	$C_{10} - C_{11}$	1563	2629
	$C_9 - N_2$	1626	1129
	$C_9 - C_{10}$	1677	12093
	$C_7 - C_8$	1734	1786
	$C\equiv O$	2098	1630
	$C\equiv O$	2178	1109
	$C\equiv O$	2245	1241
	$C_7 - H$	2816	1100
4-N(CH <sub>3</sub> ) <sub>2</sub>	$N_1 - C_7$	1355	1623
	$C_7 - C_8$	1568	2200
	$C_8 - C_9$	1663	12893
	$C_{10} - C_{11}$	1734	1861
	$C\equiv O$	2098	1665
	$C\equiv O$	2176	1121
	$C\equiv O$	2244	1245
	$C_7 - H$	2813	1115

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## **4.7 Infra-red Spectroscopic Studies using DFT: Vibrational analysis**

### **4.7.1 Vibrational analysis of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-methylpyridino-cyclohexa-1,3-diene) iron complexes**

There is no obvious deviation in optimized geometries for the Tricarbonyl (1-4- $\eta$ -5-exo-N-Substituted pyridino-2-cyclohexa-1,3-diene) iron complexes. We present the calculated vibrational frequencies from gas phase infra-red spectra of the complexes without any scale factor. The absence of any imaginary frequency indicates that all the optimized structures correspond to the minimum point on the intermolecular potential energy surface. Analysis of the calculated vibrational frequencies of all the complexes shows no large deviation. We observed that the complexes have very sharp peaks between  $2111\text{ cm}^{-1}$  –  $2171\text{ cm}^{-1}$  corresponding to the stretching vibrations of the C $\equiv$ O bond. It is clearly seen that these groups have corresponding IR signatures which are expected to provide useful information for further studies. Our theoretical vibrational frequencies agree with the experimental values (Odiaka, 1980; Odiaka and Okogun, 1985; Odiaka, 1988a, 1988b; Odiaka *et al.*, 2007, 2011).

### **4.7.2 Vibrational analysis of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes**

Analysis of the calculated vibrational frequencies of the six complexes shows no large deviation. We observed that the complexes have very sharp peak between  $2103\text{ cm}^{-1}$  –  $2170\text{ cm}^{-1}$  corresponding to the stretching vibration of the C $\equiv$ O bond. It is clearly seen that these groups have corresponding IR signatures which are expected to provide useful information for further studies.

### **4.7.3 Vibrational analysis of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxy-cyclohexa-1,3-diene) iron complexes**

There are 99 and 108 A normal modes of vibration for the pyridino- and the methylpyridino-1-4- $\eta$ -2-methoxycyclohexa-1,3-diene iron tricarbonyl complexes. There is no obvious deviation in optimized geometries for the methylpyridino-1-4- $\eta$ -2-

methoxycyclohexa-1,3-diene irontricarboxyl complexes. We present the calculated vibrational frequencies from gas phase infra-red spectra of the complexes without any scale factor. The absence of any imaginary frequency indicates that all the optimized structures correspond to the minimum point on the intramolecular potential energy surface. Analysis of the calculated vibrational frequencies of the four complexes shows no large deviation. The complexes have very sharp strong peak between  $2108\text{ cm}^{-1}$  –  $2165\text{ cm}^{-1}$  corresponding to the stretching vibration of the  $\text{C}\equiv\text{O}$  bond. It is clearly seen that these groups have corresponding IR signatures which are expected to provide useful information for further studies. Our theoretical vibrational frequencies are in good agreement with the available experimental values.

#### **4.7.4 Vibrational analysis of Tricarboxyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

Analysis of the calculated vibrational frequencies of the six complexes shows no large deviation, the complexes have very sharp peaks between  $2102\text{cm}^{-1}$  and  $2163\text{cm}^{-1}$  corresponding to the stretching vibration of the  $\text{C}\equiv\text{O}$  bond. The spectra of these complexes are presented in appendix 4, Figures 4.9 to 4.14.

### **4.8 Electronic States of the complexes using DFT**

#### **4.8.1 Tricarboxyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complexes**

The HOMO and LUMO densities are all over the cyclohexa-1,3-diene and pyridine and the methylpyridino moiety respectively. This gives an insight into the reactivity of the molecules. The HOMO and LUMO energies are given in Table 4.29a.

#### **Reactivity parameters**

All the calculated reactivity parameters are based on the values of the chemical potential,  $\mu$ , and the global chemical hardness,  $\eta$ . they were calculated using finite difference and the frozen orbital approximations, which yield them in terms of the highest occupied molecular orbital energy,  $E_H$ , and the lowest unoccupied molecular orbital energy,  $E_L$ .

The validity of the Koopman's theorem within the DFT approximation is still controversial. However, it has been shown that, the Kohn Sham orbitals may differ in shape and energy from the Hartree-Fock orbitals and the combination of the two produces conceptual DFT reactivity descriptors that correlate quite well with the reactivity descriptors obtained through Hartree-Fock calculations. Thus, it is worth calculating the electronegativity, global hardness and global electrophilicity index for these complexes using both approximations in order to verify the quality of the procedures. Since the Self Consistent Field (SCF) model used in our calculations is restricted Hybrid HF-DFT SCF calculation were performed using Pulay Direct Inversion Iteration Substrate (DIIS) + Geometric Direct Minimization. The combination of the two theories produces a result which is expected to further validate Koopman's theorem. The results for the vertical ionization energies and electron affinities of these complexes were obtained through energy difference between the ionized and neutral state, calculated from the geometry of the molecule are listed and reported in Table 4.29b while the calculated values of the electronegativity, global hardness and global electrophilicity using I and A are also listed in Table 4.29b.

Using the HOMO and LUMO energies within the Koopman's theorem, the corresponding reactivity global indexes are also listed in Table 4.29b.

Although, the Hartree-Fock model could not successfully complete the calculations, but a mixture of Hartree-Fock and Density Functional Theory (HF-DFT) self consistent force field (SCF) procedure produces excellent result for these group of complexes. We may therefore conclude that, for these complexes we have been able to predict with qualitative accuracy, the DFT reactivity indices calculated through HOMO and LUMO energies as well as from the I and A obtained through energy differences. The orbital energy diagram showing HOMO and LUMO values shown in appendix 2, Figure (4.1).

From the present calculation, the total energy, the total dipole moment and the isotropic polarizability of the ground state with the DFT B3LYP basis set listed in Table 4.29a. The results for the dipole moment and polarizability may be an indication of solubility and



chemical reactivities for its synthesis and potential application in the synthesis of new derivatives.

**Table 4.29: Electronic and reactivity parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes**

**Table 4.29a:** Electronic properties of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes

X	Total energy (a.u)	Dipole moment (debye)	$E_{\text{HOMO}}$ (eV)	$E_{\text{LUMO}}$ (eV)	Band Gap (eV)	Polarizability (eV)
H	-2084.56	12.21	-9.60	-6.14	3.46	62.12
2-Me	-2123.90	11.38	-9.56	-5.92	3.64	63.49
3-Me	-2123.90	11.74	-9.52	-5.96	3.56	63.58
4-Me	-2123.90	12.03	-9.49	-5.87	3.62	63.57

**Table 4.29b:** Reactivity global indexes of the structure of substituted Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes. {I = ionization potential, A = electron affinity,  $\mu$  = chemical potential,  $\eta$  = global chemical hardness,  $\chi$  = electronegativity and  $\omega$  = electrophilicity index }

X	I/eV	A/eV	$\mu$ /eV	$\eta$ /eV	$\omega$ /eV
H	9.60	6.14	-7.87	1.73	17.90
2-Me	9.56	5.92	-7.74	1.82	16.37
3-Me	9.52	5.96	-7.74	1.78	16.83

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4-Me	9.49	5.87	-7.69	1.81	16.34
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#### 4.8.2 Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

Theoretically the HOMO and LUMO energies are calculated using DFT B3LYP (6-31G(D)). These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. The HOMO and LUMO densities are all over the cyclohexa-1,3-diene and dimethylpyridino moiety respectively, thus giving an insight into the reactivity of the complexes. The HOMO and LUMO energies together with calculated energy band gap are shown in Table 4.30a. The orbital energy diagrams are displayed in Figure 4.2 (appendix 2).

#### Reactivity parameters

All the calculated reactivity parameters are based on the values of the chemical potential,  $\mu$ , and the global chemical hardness,  $\eta$ . They were calculated using finite difference and the frozen orbital approximations, which yield them in terms of the highest occupied molecular orbital energy  $E_H$ , and the lowest unoccupied molecular orbital energy  $E_L$ . The validity of the Koopman's theorem within the DFT approximation is still controversial. However, it has been shown that, the Kohn Sham orbitals may differ in shape and energy from the Hartree-Fock orbitals; the combination of the two produces conceptual DFT reactivity descriptors that correlate quite well with the reactivity descriptors obtained from the combination of these two theories i.e Hartree-Fock and Density Functional theory. Thus, it is expedient to calculate the electronegativity, global hardness and global electrophilicity index for these complexes using both approximations in order to verify the quality of the procedures. Since the SCF model used in our calculations is a restricted hybrid HF-DFT self consistent field (SCF), calculations were performed using Pulay DIIS + Geometric Direct Minimization the combination of the two theories produces a result which further revalidates Koopman's theorem. The results for the vertical ionization energies and electron affinities of these complexes obtained through energy difference between the ionized and neutral state, calculated from the optimized geometry of the

molecule are listed and collected in Table 4.30b while the values of the electronegativity, global hardness and global electrophilicity are calculated using I and A are also listed in Table 4.30b.

**Table 4.30: Electronic and reactivity parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes**

**Table 4.30a:** Total energy, Dipole moment, Polarizability and Energy band gaps for Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

X,X-diMethyl	Total Energy/ a.u	Dipole moment/D	E <sub>HOMO</sub> / eV	E <sub>LUMO</sub> / eV	Energy band gap/eV	Polarizability
2,3-	-2163.21	10.83	-9.51	-5.72	3.89	64.89
2,4-	-2163.20	11.21	-9.47	-5.65	3.82	64.93
2,5-	-2163.21	10.96	-9.49	-5.79	3.70	64.96
2,6-	-2163.20	10.61	-9.50	-5.78	3.72	64.83
3,4-	-2163.21	11.54	-9.44	-5.69	3.75	64.99
3,5-	-2163.21	11.26	-9.64	-5.82	3.82	65.04

**Table 4.30b:** Reactivity global indexes of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethyl pyridino-cyclohexa-1,3-diene) iron complexes

X,X(Me) <sub>2</sub>	I/eV	A/eV	$\mu$ /eV	$\eta$ /eV	$\omega$ /eV
2,3-	9.51	5.72	-7.62	1.95	14.89
2,4-	9.47	5.65	-7.56	1.91	14.96
2,5-	9.49	5.79	-7.64	1.85	15.80
2,6-	9.50	5.78	-7.64	1.86	15.69
3,4-	9.44	5.69	-7.57	1.88	15.28
3,5-	9.64	5.82	-7.73	1.91	15.64

### 4.8.3 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

It is expedient to visualize the HOMO and LUMO of these new organometallic compounds because the relative ordering of occupied and virtual orbital provide a reasonable qualitative indication of both ground and excited state properties. The HOMO of these complexes possesses a  $\pi$ -bonding character within the subunit and  $\pi$ -antibonding character excited state properties between the consecutive subunits. On the other hand, the LUMO possesses a  $\pi$ -antibonding character within the subunit and a  $\pi$ -bonding character between the subunits. In practice, the HOMO and LUMO energies are obtained from an empirical formula based on the onset of oxidation-reduction of peaks measured by cyclic voltametry. Theoretically, the HOMO and LUMO energies are calculated using DFT B3LYP (6-31G(D)). These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. The HOMO and LUMO densities are all over the cyclohexa-1,3-diene and methylpyridino moiety respectively, thus giving an insight into the reactivity of these complexes. The HOMO and LUMO energies together with calculated energy band gap are collected in Table 4.31a. The HOMO and LUMO energy diagrams and the orbital energy diagram are shown in Plate 4.3, appendix 1 and Figure 4.3 in appendix 2 respectively.

#### Reactivity parameters

All the calculated reactivity parameters are based on the values of the chemical potential,  $\mu$ , and the global chemical hardness,  $\eta$ . they were calculated using finite difference and the frozen orbital approximations, which yield them in terms of the highest occupied molecular orbital energy,  $E_H$ , and the lowest unoccupied molecular orbital energy,  $E_L$ . The validity of the Koopman's theorem within the DFT approximation is still controversial. However, it has been shown that, the Kohn Sham orbitals may differ in shape and energy from the Hartree-Fock orbitals. The combination of the two produces conceptual DFT reactivity descriptors that correlate quite well with the reactivity descriptors obtained

through Hartree-Fock calculations. Thus, it is expedient to calculate the electronegativity, global hardness and global electrophilicity index for these complexes using both approximations in order to verify the quality of the procedures, Since the SCF model used in our calculations is a restricted hybrid HF-DFT-SCF calculations were performed using Pulay DIIS + Geometric Direct Minimization. The combination of the two theories produces a result which further revalidates Koopman's theorem. The results for the vertical ionization energies and electron affinities of these complexes obtained through energy difference between the ionized and neutral state, calculated from the optimized geometry of the molecule are listed and collected in Table 4.31b while the calculated values of the electronegativity, global hardness and global electrophilicity using I and A are also listed in Table 4.31b

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**Table 4.31: Electronic and Reactivity parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

**Table 4.31a:** Electronic properties of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxy cyclohexa-1,3-diene) iron complexes

X	Total energy/ a.u	Dipole moment/D	E <sub>HOMO</sub> /eV	E <sub>LUMO</sub> /eV	E <sub>g</sub> /eV	Polarizability
H	-2199.09	12.48	-9.33	-6.06	3.27	64.39
2-Me	-2138.41	11.73	-9.28	-5.83	3.45	65.75
3-Me	-2138.31	12.11	-9.24	-5.90	3.34	65.85
4-Me	-2138.41	12.37	-9.23	-5.79	3.44	65.82

**Table 4.31b:** Reactivity global indexes of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Substituent	I/eV	A/eV	$\mu$ /eV	$\eta$ /eV	$\omega$ /eV
H	9.33	6.06	-7.70	1.64	18.08
2-Me	9.28	5.83	-7.56	1.73	16.56
3-Me	9.24	5.90	-7.57	1.67	17.16
4-Me	9.23	5.79	-7.51	1.72	16.40

#### 4.8.4 Electronic properties of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

The relative ordering of occupied and virtual orbital provide a reasonable qualitative indication of both ground and excited state properties. The HOMO of these complexes possesses a  $\pi$ -bonding character within the subunit and  $\pi$ -antibonding character excited state properties between the consecutive subunits. On the other hand, the LUMO possesses a  $\pi$ -antibonding character within the subunit and a  $\pi$ -bonding character between the subunits. In practice, the HOMO and LUMO energies are obtained from an empirical formula based on the onset of oxidation-reduction of peaks measured by cyclic voltametry. Theoretically, the HOMO and LUMO energies are calculated using DFT B3LYP (6-31G(D)). These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. The HOMO and LUMO densities are all over the cyclohexa-1,3-diene and dimethylpyridino moiety respectively, thus giving an insight into the reactivity of these complexes. The HOMO and LUMO energies together with calculated energy band gaps are collected in Table 4.32a. The HOMO and LUMO energy diagrams and the energy band diagrams are displayed in Plate 4.4 (appendix 1) and Figure 4.4(appendix 2) respectively.

##### Reactivity parameters

All the calculated reactivity parameters are based on the values of the chemical potential,  $\mu$ , and the global chemical hardness,  $\eta$ . They were calculated using finite difference and the frozen orbital approximations, which yields them in terms of the highest occupied molecular orbital energy,  $E_H$ , and the lowest unoccupied molecular orbital energy,  $E_L$ . The validity of the Koopman's theorem within the DFT approximation is still controversial. However, it has been shown that, the Kohn Sham orbitals may differ in shape and energy from the Hartree-Fock orbitals. The combination of the two produces conceptual DFT reactivity descriptors that correlate quite well with the reactivity descriptors obtained



through Hartree-Fock calculations, thus, it is expedient to calculate the electronegativity, global hardness and global electrophilicity index for these complexes using both approximations in order to verify the quality of the procedures. Since the SCF model used in our calculations is a restricted hybrid HF-DFT self consistent field (SCF), calculations were performed using Pulay DIIS + Geometric Direct Minimization. The combination of the two theories produces a result which further revalidates Koopman's theorem. The results for the vertical ionization energies and electron affinities of these complexes obtained through energy difference between the ionized and neutral state, calculated from the optimized geometry of the molecule are listed and collected in Table 4.32b while the values of the electronegativity, global hardness and global electrophilicity are calculated using I and A as shown in Table 4.32b.

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**Table 4.32: Electronic and Reactivity parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

**Table 4.32a:** Total energy, Dipole moment, Polarizability and Energy band gaps for Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

X,X-(Me) <sub>2</sub>	Total Energy/ a.u	Dipole moment/D	EHOMO/ eV	ELUMO/ eV	Band gap/eV	Polarizability
2,3-	-2277.73	11.26	-9.21	-5.65	3.56	67.16
2,4-	-2277.73	11.62	-9.20	-5.58	3.58	67.19
2,5-	-2277.73	11.33	-9.22	-5.71	3.51	67.22
2,6-	-2277.73	11.02	-9.20	-5.72	3.48	67.10
3,4-	-2277.73	11.64	-9.20	-5.75	3.45	67.30
3,5-	-2277.73	11.64	-9.20	-5.75	3.45	67.30

**Table 4.32b:** Reactivity global indexes of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

X,X-(Me) <sub>2</sub>	I/eV	A/eV	$\mu$ /eV	$\eta$ /eV	$\omega$ /eV
2,3-	9.21	5.65	-7.43	1.78	15.51
2,4-	9.20	5.58	-7.39	1.79	15.26
2,5-	9.22	5.71	-7.47	1.76	15.90
2,6-	9.20	5.72	-7.46	1.74	15.99

3,4-	9.20	5.75	-7.48	1.73	16.22
3,5-	9.20	5.75	-7.48	1.73	16.22

#### 4.8.5 Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes

It is expedient to visualize the HOMO and LUMO of these new organometallic compounds because the relative ordering of occupied and virtual orbital provide a qualitative indication of both ground and excited state properties. The HOMO of these complexes possesses a  $\pi$ -bonding character within the subunit and  $\pi$ -antibonding character excited state properties between the consecutive subunits. On the other hand, the LUMO possesses a  $\pi$ -antibonding character within the subunit and  $\pi$ -bonding character between the subunits. In practice, the HOMO and LUMO energies are calculated using DFT B3LYP, 6-31(D). These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. The HOMO and LUMO densities are all over the cyclohexa-1,3-diene irontricarbonyl and aminopyridino moiety respectively, thus giving an insight into the reactivity of these complexes. The HOMO and LUMO energies together with calculated energy band gap are collected and shown in Table 4.33a while the reactivity indices are listed in Table 4.33b. The HOMO and LUMO energy diagrams and the energy band diagrams are displayed in Plate 4.5 and Figure 4.5 respectively.

**Table 4.33: Electronic and Reactivity parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes**

**Table 4.33a:** Electronic properties of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes

X-pyridino-	Total energy/ a.u	Dipole moment/debye	$E_{\text{HOMO}}/e$ V	$E_{\text{LUMO}}/e$ V	$E_g/eV$	Polarizability
2-NH <sub>2</sub>	-2139.92	8.76	-9.80	-5.43	4.37	62.60
3-NH <sub>2</sub>	-2139.92	9.64	-9.61	-5.70	3.91	65.06
4-NH <sub>2</sub>	-2139.94	12.50	-9.43	-5.11	4.32	62.70
4-NH(CH <sub>3</sub> )	-2179.25	11.79	-9.37	-4.96	4.41	64.32
4-N(CH <sub>3</sub> ) <sub>2</sub>	-2218.56	11.30	-9.32	-4.88	4.44	65.85

**Table 4.33b:** Reactivity global indexes of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes. {I = ionization energy, A = electron affinity,  $\mu$  = chemical potential,  $\eta$  = global chemical hardness, and,  $\omega$  = electrophilicity index }

X	I/eV	A/eV	$\mu/eV$	$\eta/eV$	$\omega/eV$
2-NH <sub>2</sub>	9,80	5.43	-7.62	2.19	13.26
3-NH <sub>2</sub>	9.61	5.70	-7.66	1.96	14.97

4-NH <sub>2</sub>	9.43	5.11	-7.27	2.16	12.24
4-NH(CH <sub>3</sub> )	9.37	4.96	-7.17	2.21	11.63
4-N(CH <sub>3</sub> ) <sub>2</sub>	9.32	4.88	-7.10	2.22	11.35

#### 4.8.6 Tricarbonyl (1-4-η-5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

It is expedient to visualize the HOMO and LUMO of these new organometallic compounds because the relative ordering of occupied and virtual orbital provide a reasonable qualitative indication of both ground and excited state properties. The HOMO of these complexes possesses a  $\pi$ -bonding character within the subunit and  $\pi$ -antibonding character excited state properties between the consecutive subunits. On the other hand, the LUMO possesses a  $\pi$ -antibonding character within the subunit and a  $\pi$ -bonding character between the subunits. In practice, the HOMO and LUMO energies are obtained from an empirical formula based on the onset of oxidation-reduction of peaks measured by cyclic voltametry. Theoretically, the HOMO and LUMO energies are calculated using DFT B3LYP (6-31G(D)). These calculations however, do not have solid-state packing effect and aqueous state is not taken into consideration. The HOMO and LUMO densities are all over the 2-methoxycyclohexa-1,3-diene and aminopyridino moiety respectively, thus giving an insight into the reactivity of these complexes. The HOMO and LUMO energies together with calculated energy band gaps are collected in Table 4.34a while the reactivity indices are listed in Table 4.34b. The HOMO and LUMO energy diagrams and orbital energy diagrams are displayed in Plate 4.6 and Figure 4.6 respectively.

**Table 4.34: Electronic and Reactivity parameters for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes**

**Table 4.34a:** Total energy, Dipole moment, Polarizability and Energy band gaps for Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

X-pyridino	Total energy/ a.u	Dipole moment/ D	Polarizability	E <sub>HOMO</sub> /eV	E <sub>LUMO</sub> /eV	E <sub>g</sub> /eV
2-NH <sub>2</sub>	-2254.45	9.25	64.86	-9.56	-5.34	4.22
3-NH <sub>2</sub>	-2254.45	10.20	65.06	-9.32	-5.62	3.70
4-NH <sub>2</sub>	-2254.46	13.23	64.96	-9.16	-5.04	4.12
4-NH(CH <sub>3</sub> )	-2293.77	12.55	66.58	-9.10	-4.89	4.21
4-N(CH <sub>3</sub> ) <sub>2</sub>	-2333.08	11.82	68.10	-9.06	-4.81	4.25

**Table 4.34b:** Reactivity global indexes of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

X-pyridino	I/Ev	A/eV	$\mu$ /eV	$\chi$ /eV	$\eta$ /eV	$\omega$ /eV
2-NH <sub>2</sub>	9.56	5.34	-7.45	7.45	2.11	13.15
3-NH <sub>2</sub>	9.32	5.62	-7.47	7.47	1.85	15.08

4-NH <sub>2</sub>	9.16	5.04	-7.10	7.10	2.06	12.24
4-NH(CH <sub>3</sub> )	9.10	4.89	-7.00	7.00	2.06	11.92
4-N(CH <sub>3</sub> ) <sub>2</sub>	9.06	4.81	-6.94	6.94	2.08	11.61

## 4.9 Neutron magnetic resonance (N.m.r) spectroscopic studies of the complexes

### 4.9.1 Tricarbonyl (1-4-η-5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes

The <sup>1</sup>H, <sup>13</sup>C and <sup>15</sup>N NMR spectra of these complexes were analysed using Spartan '10 programme software. These complexes show well separated overlapping multiplets characteristics of the outer H<sup>1</sup> and H<sup>4</sup> and inner H<sup>2</sup> and H<sup>3</sup> -diene protons. The endo H<sup>6</sup> and exo H<sup>6</sup> protons appeared as shown in the Tables 4.35-4.45 and the spectra are displayed in Figures 4.15a. The H<sup>5</sup> proton adjacent to the N-methylpyridino substituent is shifted downfield. For instance, with the Tricarbonyl (1-4-η-5-exo-N-pyridino-cyclohexa-1,3-diene) iron complex, the Aromatic protons of the pyridine group appear as triplets and doublets while the methylene protons appeared as singlet between 2.18-2.90. These values are characteristic features of the 1,3-diene derivatives (Odiaka and Okogun, 1985; Odiaka, 1988a, 1988b; Odiaka *et al.*, 2007). The <sup>13</sup>C chemical shifts calculated at the DFT B3LYP levels of theory with 6-31G(D) basis set are presented in Table 4.35 and 4.36 while the spectra are shown in Figure 4.15. The C<sub>1</sub>, C<sub>4</sub> and C<sub>6</sub> atoms appeared at 47.65, 50.83 and 37.23 ppm respectively, while the chemical shifts of C<sub>2</sub>, C<sub>3</sub> and C<sub>5</sub> appeared at 85.91, 80.80 and 79.08 ppm correspondingly. All the Aromatic carbons of the pyridine C<sub>7</sub> – C<sub>11</sub> appeared at 120 – 157.20 ppm while Carbonyl carbon due to the presence of oxygen and iron are deshielded and consequently have higher chemical shifts at 208-216 ppm. However, the methylene carbon appeared in the range 19-23 ppm. There are no experimental values for <sup>13</sup>C but <sup>1</sup>H NMR values agrees with available experimental values

(Odiaka and Okogun, 1985; Odiaka, 1988a, 1988b; Odiaka *et al.*, 2007, 2011). The aromatic carbon to which the methylene carbon is attached is shifted downfield due to the electron releasing ability of the methyl substituent.

**Table 4.35:**  $^1\text{H}$  N.m.r Chemical shifts (ppm) of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes

Proton	H	2-Me	3-Me	4-Me
H <sub>1</sub>	2.71	2.59	2.57	2.68
H <sub>2</sub>	5.80	5.78	5.79	5.76
H <sub>3</sub>	5.57	5.70	5.65	5.55
H <sub>4</sub>	2.35	2.20	2.28	2.32
H <sub>5</sub>	4.80	5.16	4.85	4.70
H <sub>6exo</sub>	2.97	2.70	2.75	2.95
H <sub>6endo</sub>	1.74	1.32	1.51	1.70
H <sub>7</sub>	8.13	-	7.80	7.90
H <sub>8</sub>	7.81	7.62	-	7.51
H <sub>9</sub>	8.39	8.20	8.21	-
H <sub>10</sub>	7.93	7.75	7.82	7.63
H <sub>11</sub>	8.61	8.68	8.41	8.30
CH <sub>3</sub>	-	2.52-2.90	2.18-2.81	2.58-2.87



**Table 4.36:**  $^{13}\text{C}$  N.m.r Chemical shifts (ppm) of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes

Carbon	H	2-Me	3-Me	4-Me
C <sub>1</sub>	47.65	45.85	46.22	47.80
C <sub>2</sub>	85.91	85.63	85.71	85.55
C <sub>3</sub>	80.80	81.97	81.57	80.73
C <sub>4</sub>	50.83	47.72	48.24	50.48
C <sub>5</sub>	79.08	71.05	78.22	77.30
C <sub>6</sub>	37.23	32.92	36.08	37.07
C <sub>7</sub>	136.21	148.95	135.80	132.42
C <sub>8</sub>	122.38	124.70	137.39	122.79
C <sub>9</sub>	139.76	138.71	140.40	157.19
C <sub>10</sub>	123.07	120.34	122.23	123.72
C <sub>11</sub>	133.89	134.01	130.40	132.46
C <sub>12</sub>	214.69	215.38	215.14	214.85
C <sub>13</sub>	208.61	208.67	208.74	208.71

C <sub>14</sub>	208.16	208.42	208.39	208.45
C <sub>15</sub>	-	20.25	19.01	22.33
<sup>15</sup> N	-138.54	-143.89	-141.77	-147.24

#### 4.9.2 Tricarbonyl (1-4-η-5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

The complexes showed well separated overlapping multiplets characteristics of the outer H<sup>1</sup> and H<sup>4</sup> and inner H<sup>3</sup>-diene protons. The endo H<sup>6</sup> and exo H<sup>6</sup> all appeared as shown in Table 4.37 and displayed in Figure 4.16a. The H<sup>5</sup> proton adjacent to the N-methylpyridino substituent is shifted downfield. For instance, with the pyridino-1-4-η-cyclohexa-1,3-diene complex, the Aromatic protons of the pyridine group appear as triplets and doublets while the methylene protons appeared as singlet between 2.35-2.81ppm. These values are characteristic features of the 1,3-diene derivatives. The <sup>13</sup>C chemical shifts calculated at the DFT B3LYP levels of theory with 6-31G(D) basis set are presented in Table 4.38 while the spectra are shown in Figure 4.16b. The C<sub>1</sub>, C<sub>4</sub> and C<sub>6</sub> atoms appeared 47.65, 50.83 and 37.23ppm respectively while the chemical shifts of C<sub>2</sub>, C<sub>3</sub> and C<sub>5</sub> atoms appeared respectively at 85.91, 80.80 and 79.08ppm. All the Aromatic carbons of the pyridine C<sub>7</sub> – C<sub>11</sub> appeared between 120 and 157.20ppm while the carbonyl carbon due to the presence of oxygen and iron is deshielded and therefore has a higher chemical shifts at 208-216ppm. However, the methylene carbon appeared in the range 19-23ppm. The aromatic carbon to which the methylene carbon is attached is shifted downfield due to the electron releasing ability of the methyl substituent.

**Table 4.37:**  $^1\text{H}$  N.m.r Chemical shifts (in ppm) of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

Proton	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe	3,5-diMe
H <sub>1</sub>	2.59	2.57	2.61	2.76	2.53	2.62
H <sub>2</sub>	5.77	5.75	5.77	5.62	5.77	5.78
H <sub>3</sub>	5.68	5.68	5.63	5.66	5.64	5.56
H <sub>4</sub>	2.18	2.17	2.22	2.07	2.23	2.29
H <sub>5</sub>	5.16	5.07	5.08	5.40	4.83	4.69
H <sub>6exo</sub>	2.70	2.68	2.71	2.49	2.68	2.82
H <sub>6endo</sub>	1.33	1.28	1.43	1.71	1.45	1.62
H <sub>7</sub>	8.04	7.32	7.49	7.46	7.66	7.61
H <sub>8</sub>	7.60	7.48	8.00	8.00	7.58	8.00
H <sub>9</sub>	8.54	8.46	8.29	7.43	8.27	8.07
H <sub>10</sub>	2.75	2.35	2.53	2.59	2.49	2.74
H <sub>11</sub>	2.24	2.80	2.50	2.65	2.50	2.71
H <sub>12</sub>	2.61	2.70	2.79	2.98	2.24	2.10

H <sub>13</sub>	2.40	2.48	2.74	2.65	2.58	2.75
H <sub>14</sub>	2.65	2.45	2.77	3.17	2.57	2.79
H <sub>15</sub>	2.61	2.81	2.17	2.49	2.48	2.19

**Table 4.38:** <sup>13</sup>C N.m.r. Chemical shifts (in ppm) of Tricarbonyl (1-4-η-5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

Carbon	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe	3,5-diMe
C <sub>1</sub>	45.97	46.20	46.44	46.74	46.02	47.00
C <sub>2</sub>	85.62	85.56	85.04	85.04	85.62	85.65
C <sub>3</sub>	81.84	81.84	82.01	81.92	81.70	81.17
C <sub>4</sub>	48.22	47.53	49.50	47.46	47.61	50.11
C <sub>5</sub>	71.08	69.57	69.88	69.75	76.49	77.84
C <sub>6</sub>	32.65	32.99	34.09	27.36	35.46	36.45
C <sub>7</sub>	148.21	147.51	145.78	151.02	134.72	133.39
C <sub>8</sub>	136.33	125.27	123.90	123.29	135.78	136.01
C <sub>9</sub>	140.00	155.58	139.56	137.53	155.89	141.09
C <sub>10</sub>	119.29	120.89	134.71	124.80	123.48	136.66
C <sub>11</sub>	131.59	132.49	133.81	151.18	129.59	130.42
C <sub>12</sub>	215.32	215.38	215.38	215.95	215.26	214.87

C <sub>13</sub>	208.70	208.72	208.79	208.77	208.88	208.67
C <sub>14</sub>	208.65	208.67	208.55	209.16	208.66	208.59
C <sub>15</sub>	16.25	20.07	19.87	22.71	18.41	18.68
C <sub>16</sub>	21.35	22.04	18.82	25.37	21.41	19.07

#### 4.9.3 Tricarbonyl (1-4-η-5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

The calculated <sup>1</sup>H, <sup>13</sup>C and <sup>15</sup>N N.m.r.chemical shift (in ppm) of substituted pyridino-1-4-η-2-methoxycyclohexa-1,3-diene irontricarbonyl complexes, are reported in Table 4.39. The spectra of the complexes show well separated overlapping multiplets characteristics of the outer H<sub>1</sub> and H<sub>4</sub> and inner H<sub>3</sub> -diene protons. The endo H<sub>6</sub> and exo H<sub>6</sub> protons all appeared as shown in the Table 4.39 and displayed in Figure 4.17a. The H<sub>5</sub> proton adjacent to the N-methylpyridino substituent is shifted downfield. For instance, the pyridino-1-4-η-cyclohexa-1,3-diene complex, the Aromatic protons of the pyridine group appear as triplets and doublets while the methylene protons appeared as singlet between 2.46-2.86 ppm. These values are characteristic features of the 1,3-diene derivatives. The <sup>13</sup>C chemical shifts calculated at the DFT B3LYP levels of theory with 6-31G(D) basis set are presented in Table 4.39 while the spectra are shown in Figure 4.17b, The C<sub>1</sub> atom at appeared 139.23 ppm, and this is attributed to the presence of the methoxy group is attached to carbon 2 of the cyclohexa-1,3-diene ring, while the chemical shifts of C<sub>2</sub>, C<sub>3</sub>, C<sub>4</sub> and C<sub>5</sub> appeared at 63.83, 37.88, 42.64 and 79.04 ppm. All the Aromatic carbons of the pyridine C<sub>7</sub> – C<sub>11</sub> appeared at 122.19 – 139.35 ppm while C≡O carbon due to the presence of oxygen and iron are deshielded and have higher chemical shifts at 209-215 ppm. However, the methylene carbon appeared in the range 19 – 23 ppm. There are no experimental values for <sup>13</sup>C but <sup>1</sup>H N.m.r values agrees with available experimental values. The aromatic carbon to which the methylene carbon is attached is downfield due to the electron releasing ability of the methyl substituent.

**Table 4.39:**  $^1\text{H}$  and  $^{13}\text{C}$  N.m.r. Chemical shifts (in ppm) of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Proton/Carbon	H	2-Me	3-Me	4-Me
$_1\text{H}$	2.84	2.76	2.83	2.81
$_2\text{H}$	-	-	-	-
$_3\text{H}$	5.16	5.34	5.17	5.15
$_4\text{H}$	2.08	1.92	2.05	2.04
$_5\text{H}$	4.56	4.88	4.49	4.47
exo $_6\text{H}$	2.93	2.73	2.88	2.84
endo $_6\text{H}$	1.68	1.33	1.65	1.62
$\text{OCH}_3$	3.55-4.10	3.51-4.06	3.54-4.09	3.54-4.08
$_7\text{H}$	8.09	-	7.79	7.86
$_8\text{H}$	7.78	7.58	-	7.48
$_9\text{H}$	8.47	8.16	8.18	-
$_{10}\text{H}$	7.90	7.73	7.78	7.62
$_{11}\text{H}$	8.57	8.85	8.33	8.35

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CH <sub>3</sub>	-	2.46-2.84	2.13-2.79	2.57-2.86
C <sub>1</sub>	139.23	139.51	139.21	139.13
C <sub>2</sub>	63.87	64.44	64.19	64.06
C <sub>3</sub>	37.88	36.94	38.00	37.82
C <sub>4</sub>	42.64	39.31	42.48	42.23
C <sub>5</sub>	79.04	71.33	78.40	77.54
C <sub>6</sub>	38.59	34.21	38.16	37.98
C <sub>7</sub>	136.19	148.85	135.79	135.15
C <sub>8</sub>	122.19	124.43	137.03	122.57
C <sub>9</sub>	139.35	138.48	140.01	156.80
C <sub>10</sub>	123.03	120.18	122.13	123.64
C <sub>11</sub>	133.99	134.52	131.11	132.59
OCH <sub>3</sub>	54.08	54.07	53.99	54.00
C <sub>13</sub>	214.46	214.93	214.80	214.52
C <sub>14</sub>	206.90	206.99	207.11	206.99
C <sub>15</sub>	209.60	209.80	209.81	209.81
C <sub>16</sub>	-	20.26	18.91	22.30

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#### 4.9.4 Tricarbonyl (1-4-η-5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

The  $^1\text{H}$ ,  $^{13}\text{C}$  and  $^{15}\text{N}$  N.m.r spectra of these complexes show well separated overlapping multiplets characteristics of the outer  $\text{H}^1$  and  $\text{H}^4$  and inner  $\text{H}^3$ -diene protons. The endo  $\text{H}^6$  and exo  $\text{H}^6$  all appeared as shown in Table 4.40 and displayed in Figure 4.18a. The  $\text{H}^5$  proton adjacent to the N-methylpyridino substituent is shifted downfield to 4.44ppm and 5.15ppm for all the protons in the dimethylpyridino-1-4-η-cyclohexa-1,3-diene complexes, while the Aromatic protons of the pyridine group appeared as triplets and doublets located at 7.28 and 8.63ppm. The methylene protons appeared as a singlet between 2.07-2.93ppm. These values are characteristic features of the 1,3-diene derivatives. The  $^{13}\text{C}$  chemical shifts calculated at the DFT B3LYP levels of theory with 6-31G(D) basis set are presented in Table 4.41 while the spectra are shown in Figure 4.28b. The  $\text{C}_1$ ,  $\text{C}_4$  and  $\text{C}_6$  appeared at 36.87, 40.66 and 33.97ppm respectively while the chemical shifts of  $\text{C}_2$  appeared at 139.27ppm due to its coordination to the methoxy  $\text{C}_{17}$ .  $\text{C}_3$  and  $\text{C}_5$  atoms appeared at 64.79 and 70.85ppm respectively. All the Aromatic carbons of the pyridine  $\text{C}_7 - \text{C}_{11}$  atoms appeared at 119.20 and 155.37ppm while carbonyl carbon due to the presence of oxygen and iron is deshielded and therefore has a higher chemical shift at 208-216ppm. However, the methylene carbon appeared in the range 16.34-25.70ppm. Our theoretical values agree with available experimental values for  $^1\text{H}$  NMR spectra. The aromatic carbon to which the methylene carbon is attached is deshielded due to the electron releasing ability of the methyl group.



**Table 4.40:**  $^1\text{H}$  N.m,r Chemical shifts (in ppm) of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Proton	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe	3,5-diMe
H <sup>1</sup>	2.76	2.74	2.78	2.92	2.78	2.80
OCH <sub>3</sub>	3.52-4.06	3.51-3.62	3.52-4.07	3.51-4.07	3.53-4.06	3.53-4.07
H <sup>3</sup>	5.25	5.23	5.25	5.26	5.15	5.16
H <sup>4</sup>	1.91	1.90	1.93	1.81	2.00	2.02
H <sup>5</sup>	4.88	4.79	4.82	5.15	4.44	4.44
H <sup>6exo</sup>	2.70	2.70	2.73	2.51	2.79	2.84
H <sup>6endo</sup>	1.38	1.30	1.38	1.67	1.59	1.64
CH <sub>3</sub> /H <sup>7</sup>	2.20-2.69	2.39-2.76	2.43-2.76	2.55-2.93	7.65	8.05
CH <sub>3</sub> /H <sup>8</sup>	2.38-2.62	7.28	7.46	7.43	2.22-2.44	2.18-2.77
H <sup>9</sup> /CH <sub>3</sub>	8.00	2.27-2.80	7.98	7.96	2.46-2.57	7.98
H <sup>10</sup> /CH <sub>3</sub>	7.58	7.48	2.19-2.76	7.41	7.55	2.07-2.77
H <sup>11</sup> /CH <sub>3</sub>	8.63	8.58	8.41	2.29-2.62	8.23	7.58

**Table 4.41:**  $^{13}\text{C}$  N.m.r Chemical shifts (in ppm) of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Carbon	2,3-diMe	2,4-diMe	2,5-diMe	2,6-diMe	3,4-diMe	3,5-diMe
C <sub>1</sub>	36.87	36.89	37.33	37.12	37.65	38.02
C <sub>2</sub>	139.27	139.48	139.26	136.73	139.12	139.29
C <sub>3</sub>	64.79	64.76	64.79	69.05	64.34	64.16
C <sub>4</sub>	40.66	39.70	40.69	39.04	42.04	42.39
C <sub>5</sub>	70.85	69.69	69.98	70.38	76.98	77.85
C <sub>6</sub>	33.97	34.35	35.17	28.81	37.63	37.39
C <sub>7</sub>	148.21	147.60	145.67	151.07	134.76	133.37
C <sub>8</sub>	135.97	125.07	123.71	123.17	135.35	135.67
C <sub>9</sub>	139.74	155.23	139.28	137.34	155.37	140.74
C <sub>10</sub>	119.20	120.83	134.58	124.73	123.49	136.53
C <sub>11</sub>	132.23	132.95	134.08	151.26	130.42	130.69
C <sub>12</sub>	16.34	20.12	19.18	22.81	18.35	18.68
C <sub>13</sub>	21.21	21.93	18.80	25.70	27.42	19.04

C <sub>14</sub>	215.16	214.99	215.07	215.45	214.82	214.60
C <sub>15</sub>	207.08	207.09	207.11	207.02	207.22	207.16
C <sub>16</sub>	209.83	209.95	209.92	210.39	209.92	209.81
C <sub>17</sub>	54.06	53.98	54.04	54.02	53.94	53.95

#### 4.9.5 Tricarbonyl (1-4-η-5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes

The <sup>1</sup>H and <sup>13</sup>C spectra of these complexes were analysed using Spartan 10 programme software. These complexes show well separated overlapping multiplets characteristic of the outer H1 and H2 and inner H2 and H3 –diene protons. The endo and the exo H6 protons appeared as shown in the Table 4.42 and displayed in Figure 4.19a. The H<sup>5</sup> proton adjacent to the 4-aminopyridino substituent is shifted downfield. For instance, with the aminopyridino-1-4-η-cyclohexa-1,3-diene irontricarbonyl complex, the aromatic protons of the pyridine group appeared as triplets and doublets while the methylene protons appeared as singlet between 2.18-2.90 ppm. These values are characteristic features of the 1,3-diene derivatives. The <sup>13</sup>C chemical shifts calculated at the DFT b3LYP levels of theory with 6-31(D) basis set are presented in Table 4.43 while the spectra are shown in Figure 4.19b. The C1, C4, and C6 atoms appeared at ca: 47.65, 50.83 and 37.23ppm, while the chemical shifts of C2, C3, and C5 appeared at 85.91, 80.80 and 79.08ppm respectively. All the aromatic carbons of the pyridine ring C7 to C11 appeared at 120-157.20ppm while the carbonyl carbon due to the presence of oxygen and iron are deshielded and consequently have higher chemical shifts at 208-216ppm. However, the methylene carbon appeared in the range 19-23ppm. The aromatic carbon to which the amino substituent is attached is shifted downfield due to the electron releasing ability of the amino group. Our theoretical predictions agree with available experimental values.

**Table 4.42:**  $^1\text{H}$  N.m.r Chemical shifts (in ppm) of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes

Proton	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
H <sub>1</sub>	3.32	3.00	2.93	2.91	2.93
H <sub>2</sub>	5.49	5.47	5.44	5.45	5.34
H <sub>3</sub>	5.68	5.61	5.56	5.56	5.55
H <sub>4</sub>	2.25	2.24	2.08	2.11	2.15
H <sub>5</sub>	3.98	4.20	4.02	4.07	3.96
H <sub>6</sub> exo	2.35	1.86	1.81	1.75	1.80
H <sub>6</sub> endo	2.46	2.63	2.55	2.55	2.53
H <sub>8</sub>	-	7.94	7.39	8.27	8.32
H <sub>9</sub>	6.54	-	6.37	6.58	6.59
H <sub>10</sub>	7.80	7.14	-	-	-
H <sub>11</sub>	6.81	7.42	6.54	6.28	6.42
H <sub>12</sub>	7.25	7.20	8.34	7.28	7.33

N-H	4.92	3.89	4.48	4.48	-
N-H(CH <sub>3</sub> )	7.21	3.98	4.51	-	3.06-3.25
N(CH <sub>3</sub> ) <sub>2</sub>	-	-	-	2.99-3.17	3.06-3.30

**Table 4.43:** <sup>13</sup>C N.m.r Chemical shifts (in ppm) of Tricarbonyl (1-4-η-5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron complexes

Carbon	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
C <sub>1</sub>	58.72	55.16	54.59	54.12	54.74
C <sub>2</sub>	88.15	86.15	86.03	85.72	85.87
C <sub>3</sub>	78.54	78.98	78.98	79.29	78.92
C <sub>4</sub>	50.39	48.52	48.09	48.01	49.12
C <sub>5</sub>	70.77	70.16	66.96	65.91	65.98
C <sub>6</sub>	38.84	42.98	41.47	41.18	42.14
C <sub>7</sub>	143.55	118.31	133.97	133.59	131.90
C <sub>8</sub>	108.55	138.66	104.61	101.20	104.31
C <sub>9</sub>	138.20	120.72	146.14	146.91	146.64
C <sub>10</sub>	109.84	122.31	104.33	105.92	103.70
C <sub>11</sub>	135.30	124.11	135.96	134.18	134.14
C <sub>12</sub>	214.23	218.70	217.50	217.18	217.68
C <sub>13</sub>	209.99	209.33	209.45	209.75	209.91

C <sub>14</sub>	208.07	208.24	208.63	208.77	208.75
C <sub>15</sub>	-	-	-	29.46	40.69
C <sub>16</sub>				-	40.73

#### 4.9.6 Tricarbonyl (1-4-η-5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

The <sup>1</sup>H, <sup>13</sup>C and <sup>15</sup>N NMR spectra of the Tricarbonyl (1-4-η-5-exo-N-substituted aminopyridino-cyclohexa-1,3-diene) iron complexes show well separated overlapping multiplets characteristics of the outer H<sup>1</sup> and H<sup>4</sup> and inner H<sup>2</sup> and H<sup>3</sup> -diene protons. The endo H<sup>6</sup> and exo H<sup>6</sup> protons appeared as shown in the Table 4.44 and displayed in Figure 4.20a. The H<sup>5</sup> proton adjacent to the N-methylpyridino substituent is shifted downfield. For instance, with the pyridino-1-4-η-cyclohexa-1,3-diene complex, the Aromatic protons of the pyridine group appear as triplets and doublets while the methylene protons appeared as singlet between 2.18-2.90. These values are characteristic features of the 1,3-diene derivatives. The <sup>13</sup>C chemical shifts calculated at the DFT B3LYP levels of theory with 6-31G(D) basis set are presented in Table 4.45 while the spectra are shown in Figure 4.20b. The C<sub>1</sub>, C<sub>4</sub> and C<sub>6</sub> atoms appeared at 47.65, 50.83 and 37.23 ppm, while the chemical shifts of C<sub>2</sub>, C<sub>3</sub> and C<sub>5</sub> appeared at 85.91, 80.80 and 79.08 ppm respectively. All the Aromatic carbons of the pyridine C<sub>7</sub> – C<sub>11</sub> appeared at 120 – 157.20 ppm while carbonyl carbon due to the presence of oxygen and iron are deshielded and consequently have higher chemical shifts at 208-216 ppm. However, the methylene carbon appeared in the range 19-23 ppm. There are no experimental values for <sup>13</sup>C but <sup>1</sup>H NMR values agrees with available experimental values. The aromatic carbon to which the methylene carbon is attached is deshielded due to the electron releasing ability of the methyl group.

**Table 4.44:**  $^1\text{H}$  N.m.r Chemical shifts (in ppm) of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Proton	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
H <sub>1</sub>	3.30	3.05	3.03	2.99	2.98
H <sub>2</sub>	-	-	-	-	-
H <sub>3</sub>	5.38	5.21	5.17	5.18	5.17
H <sub>4</sub>	1.98	1.83	1.74	1.75	1.76
H <sub>5</sub>	3.91	4.25	3.91	4.03	4.06
H <sub>6endo</sub>	2.17	1.65	1.67	1.61	1.60
H <sub>6exo</sub>	2.32	2.56	2.47	2.49	2.50
H <sub>7</sub>	-	7.77	8.33	8.19	8.14
H <sub>8</sub>	6.49	-	6.50	6.51	6.52
H <sub>9</sub>	7.76	7.09	-	-	-
H <sub>10</sub>	6.77	7.40	6.33	6.24	6.43

H <sub>11</sub>	7.22	7.15	7.35	7.25	7.31
OCH <sub>3</sub>	3.44-4.04	3.45-4.05	3.44-4.00	3.44-4.06	3.44-4.01
N-H	4.85	3.86	4.44	4.49	-
N-H	7.11	3.91	4.47	-	-
CH <sub>3</sub>	-	-	-	2.97-3.15	3.04-3.29

**Table 4.45:** <sup>13</sup>C N.m.r Chemical shifts (in ppm) of Tricarbonyl (1-4-η-5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

Carbon	2-NH <sub>2</sub>	3-NH <sub>2</sub>	4-NH <sub>2</sub>	4-NH(CH <sub>3</sub> )	4-N(CH <sub>3</sub> ) <sub>2</sub>
C <sub>1</sub>	47.15	44.22	44.50	43.61	43.69
C <sub>2</sub>	140.92	139.29	139.04	139.11	139.19
C <sub>3</sub>	63.98	62.69	62.94	62.97	62.96
C <sub>4</sub>	42.93	39.63	40.84	39.84	40.26
C <sub>5</sub>	71.52	69.94	67.45	66.11	65.71
C <sub>6</sub>	38.32	41.77	41.52	40.45	40.28
C <sub>7</sub>	143.37	118.39	134.19	133.85	131.96
C <sub>8</sub>	108.46	138.21	104.61	100.91	103.47
C <sub>9</sub>	137.89	120.13	146.08	146.91	146.41
C <sub>10</sub>	109.60	122.39	104.12	105.87	104.10



C <sub>11</sub>	135.23	123.74	135.99	134.16	133.84
C <sub>12</sub>	54.74	54.38	54.27	54.21	54.21
C <sub>13</sub>	214.20	217.46	217.23	216.76	216.74
C <sub>14</sub>	210.66	210.66	210.84	210.97	211.11
C <sub>15</sub>	206.16	206.61	206.72	207.11	207.02
C <sub>16</sub>	-	-	-	29.40	40.55

#### 4.10 Electronic states of the complexes using Hartree-Fock

According to Koopman in a closed-shell Hartree-Fock theory, the first ionization energy of a molecular system is equal to the negative of the orbital energy of the highest occupied molecular orbital (HOMO) while the negative of LUMO is the electron affinity. The HOMO and LUMO energies were calculated using Hartree-Fock with basis set 6-31G(D) for one complex within a group. The calculated values of Total energy, dipole moment, HOMO, LUMO energies, polarization and band gaps are reported in Table 4.46a. These values are calculated for the purpose of comparison with the calculated values using Density Functional Theory (DFT).

#### Reactivity parameters

By means of Koopman's theorem, the hardness is related to the HOMO-LUMO energy difference. A "hard" molecule has a large HOMO-LUMO gap, and is expected to be chemically unreactive; i.e hardness is related to chemical stability. A small HOMO-LUMO gap indicates a "soft" molecule and from second order perturbation theory, it follows that a small gap between occupied and unoccupied orbital will give a large contribution to the polarizability. i.e softness is a measure of how easily the electron density can be distorted by external fields.

The reactivity parameters were obtained using finite difference and frozen orbital approximation which yields them in terms of the highest occupied molecular orbital energy,  $E_H$ , and lowest unoccupied molecular orbital energy,  $E_L$ . According to Koopman, the first ionization energy of a molecular system for a closed shell molecules is the negative of highest occupied molecular orbital and that of electron affinity is the negative of lowest unoccupied molecular orbital. The ionization energies and electron affinities for these complexes were obtained from Ab initio Hartree-Fock procedure with basis set 6-31G (D), and the values are presented in Table 4.46b.

**Table 4.46: Electronic and Reactivity parameters of complexes using Hartree-Fock 6-31G(D)**

**Table 4.46a:** Electronic properties of Tricarbonyl (1-4- $\eta$ -5-exo-N-substituted pyridino-cyclohexa-1,3-diene) iron complexes

Substituent	Total energy/ a.u	Dipole moment/D	$E_{HOMO}$ /eV	$E_{LUMO}$ /eV	$E_{GAP}$ / eV	Polarisation
$[(MePyC_6H_7)Fe(CO)_3]^+$	-2117.17	5.41	-10.44	-1.26	9.18	62.93
$[(Me_2PyC_6H_7)Fe(CO)_3]^+$	-2156.19	4.69	-10.42	-1.27	9.15	64.21
$[(MePyC_6H_6OMe)Fe(CO)_3]^+$	-2231.00	8.88	-10.71	-1.66	9.05	64.49
$[(Me_2PyC_6H_6OMe)Fe(CO)_3]^+$	-2270.01	7.97	-10.71	-1.66	9.05	65.79
$[(MeHNPyC_6H_7)Fe(CO)_3]^+$	-2172.19	7.77	-10.37	-0.66	9.71	63.45
$[(Me_2NPyC_6H_6OMe)Fe(CO)_3]^+$	-2325.05	9.51	-10.64	-0.92	9.72	66.82

**Table 4.46b:** Reactivity global indexes of Tricarbonyl (1-4- $\eta$ -5-exo-N-substituted pyridino-cyclohexa-1,3-diene) iron complexes

Substituent	I/eV	A/eV	$\mu$ /eV	$\eta$ /eV	$\chi$ /eV	$\omega$ /eV
$[(\text{MePyC}_6\text{H}_7)\text{Fe}(\text{CO})_3]^+$	10.44	1.26	-5.85	4.59	5.85	16.30
$[(\text{Me}_2\text{PyC}_6\text{H}_7)\text{Fe}(\text{CO})_3]^+$	10.42	1.27	-5.85	4.58	5.85	15.69
$[(\text{MePyC}_6\text{H}_6\text{OMe})\text{Fe}(\text{CO})_3]^+$	10.71	1.66	-5.62	4.53	5.62	16.40
$[(\text{Me}_2\text{PyC}_6\text{H}_6\text{OMe})\text{Fe}(\text{CO})_3]^+$	10.71	1.66	-5.62	4.53	5.62	15.99
$[(\text{MeHNPyc}_6\text{H}_7)\text{Fe}(\text{CO})_3]^+$	10.37	0.66	-5.52	4.86	5.52	11.63
$[(\text{Me}_2\text{NPyC}_6\text{H}_6\text{OMe})\text{Fe}(\text{CO})_3]^+$	10.64	0.92	-5.78	4.86	5.78	11.61

#### 4.11 Time-dependent density functional theory(TDDFT) of the complexes

Time-dependent Density Functional Theory is presently enjoying enormous popularity in quantum Chemistry, as an important instrument for calculating and elucidating the electronic excited state energies. The theory applies ground state DFT philosophy to time dependent problems by replacing the complicated many-body time-dependent Schrodinger equation by a set of time-dependent single-particle equation whose orbitals yield the same time-dependent density. According to Runge and Gross, 1984, for a given initial wavefunction, particle statistics and interaction, a given time-dependent density can arise from at most one time-dependent external potential. This implies that the time dependent potential (all other properties inclusive) is a function of the time-dependent density. Considering the ground state Kohn-Sham theorem, the time-dependent Kohn-Sham (TDKS) (Bauernschmitt and Ahlrichs,1996, equations which describe the non-interacting electrons that evolves in a time-dependent Kohn-Sham potential, but yielded the same density as that of the interesting system of interest. The more difficult interacting time-dependent Schrodinger equation is replaced by a much simpler set of equations which easier to solve. The price of this simplification is that the exchange-correlation piece of the Kohn-Sham potential is to be approximated. The commonest time-dependent perturbation is a long wavelength electric field, oscillating with frequency. In this regard, the field is a weak perturbation of the molecule, and a linear response analysis can be performed, from which the optical absorption spectrum of the molecule due to the electronic excitations can be extracted. The linear response TDDFT predicts the transition frequencies to electronic excited states and many other properties (Stratmann *et al.*, 1998; Casida *et al.*, 1998;

Dominique and Shinichiro, 2000; Appel *et al.*, 2003; Brewer *et al.*, 2005; Forslund *et al.*, 2002; Ren *et al.*, 2010; Bertsch *et al.*, 2001; Liu, 2008; Morell *et al.*, 2005; Shigeyoshi *et al.*, 2010; Marques and Gross, 2004; Cave *et al.*, 2002; Bernasconi *et al.*, 2004; Roy and Hughbanks, 2006; Besley and Blundy, 2006; Hirose *et al.*, 2004; Maitra *et al.*, 2002; Petersilka *et al.*, 1996; Della and Gorling, 2003; Grabo *et al.*, 2000; Petersilka *et al.*, 2000; Tao and Vignale, 2006).

#### **4.11.1 TDDFT of Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complexes**

Electronic transitions were investigated to confirm the configuration for ground state geometry optimized with DFT B3LYP with basis set 6-31G(D). The transitions are from the ground state to excited states, and are all spin-allowed. Excitation energies and oscillation strengths for the transition from ground state ( $S_0$ ) singlet, to first,  $S_1$ , second,  $S_2$ , third,  $S_3$ , fourth,  $S_4$  and fifth,  $S_5$  of each complex were calculated using TDDFT calculation in gas phase at the level B3LYP/6-31G(D) level. The calculated total time-dependent density functional theory (TDDFT) energy was -2084.46a.u. and the first excited state is a triplet with excitation energy of 2.7908eV i.e  $S_0 \rightarrow T_1$ . However, it is found that the  $S_0 \rightarrow S_1$  transition corresponding to the excitation from the HOMO to the LUMO with absorption wavelength of 430.19nm is dominant. The calculated transition energies (eV), wavelengths (nm) and oscillator strengths showing its multiplicities are shown in Table 4.47 and UV-visible spectrum is shown figure 4.21, (appendix 6).

**Table 4.47: Transition energies (eV), wavelengths (nm) and oscillator strengths for Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron complex**

Excited states No.	Excitation Energy/eV	Multiplicity	Strength	Wavelength/nm
1	2.7908	Triplet	0.0000	444.25
2	2.8821	Singlet	0.0251	430.19
3	3.2187	Triplet	0.0000	385.20
4	3.2986	Triplet	0.0000	325.87
5	3.4262	Triplet	0.0000	361.87
6	3.4411	Triplet	0.0000	360.30
7	3.4965	Singlet	0.0004	354.60
8	3.5704	Singlet	0.0180	347.26
9	3.7591	Singlet	0.0113	330.61
10	3.7916	Singlet	0.0078	327.00

#### 4.11.2 TDDFT of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complex

The electronic transitions of dimethylpyridino-1-4- $\eta$ -cyclohexa-1,3-diene iron tricarbonyl complexes were investigated to confirm the configuration for ground state geometry optimized with DFT B3LYP with basis set 6-31G(D). The transitions are from the ground state to excited states, and are all spin-allowed. Excitation energies and oscillation strengths for the transition from ground state ( $S_0$ ) singlet, to first,  $S_1$ , second,  $S_2$ , third,  $S_3$ , fourth,  $S_4$ , fifth,  $S_5$  and sixth  $S_6$  of each complex were calculated using TDDFT calculation in gas phase at the level B3LYP/6-31G(D) level. The calculated total time-dependent density functional theory energy was -2163.0824 a.u. and the first excited state is a triplet with excitation energy of 3.0019 eV meaning first transition from ground state singlet to first excited state triplet, i.e.  $S_0 \rightarrow T_1$ . It is found that the  $S_0 \rightarrow S_1$  transition corresponding to the excitation from the HOMO to the LUMO with absorption wavelength of 396.25 nm and  $S_0 \rightarrow T_4$  with the absorption wavelength of 358.38 nm is dominant. However, a spin-forbidden transition exists because a singlet mixes to some extent with a triplet state due to a very similar molecular geometry of the excited state singlet and triplet states, and a strong spin-orbit coupling which allows the spin flip associated with a singlet-triplet transition to occur, although the degree of mixing is small, hence the oscillator strength associated with these transitions are very weak. These transitions are listed in Table 4.48 while the spectrum is shown Figure 4.22, (appendix 6).

**Table 4.48: Transition energies (eV), wavelengths (nm) and oscillator strengths for Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complex**

Excited states No.	Excitation Energy/eV	Multiplicity	Strength	Wavelength/nm
1	3.0019	Triplet	0.0000	413.02
2	3.1289	Singlet	0.0219	396.25
3	3.2534	Triplet	0.0000	381.09
4	3.2689	Triplet	0.0000	379.28
5	3.4596	Triplet	0.0219	358.38
6	3.4737	Triplet	0.0000	356.93
7	3.6847	Triplet	0.0000	336.49
8	3.7320	Singlet	0.0149	332.21
9	3.7748	Singlet	0.0009	328.45
10	3.7965	Singlet	0.0066	326.58



11	3.9749	Singlet	0.0014	311.84
12	4.0618	Singlet	0.0250	305.24

#### 4.11.3 TDDFT of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-methylpyridino-cyclohexa-1,3-diene) iron complex

The electronic transitions of 4-methylpyridino-1-4- $\eta$ -cyclohexa-1,3-diene iron tricarbonyl were investigated to confirm the configuration for ground state geometry optimized with DFT B3LYP with basis set 6-31G(D). The transitions are from the ground state to excited states, Singlet states and are all spin-allowed. However spin-forbidden transitions occur due to some singlet state mixing to some extent with the triplet state as a result of spin-orbit coupling though with extremely weak bands. Excitation energies and oscillation strengths for the transition from ground state ( $S_0$ ) singlet, to first,  $S_1$ , second,  $S_2$ , third,  $S_3$ , fourth,  $S_4$ , fifth,  $S_5$  and sixth  $S_6$  of each complex were calculated using TDDFT calculation in gas phase at the level B3LYP/6-31G(D) level. The calculated total time-dependent density functional theory energy was -2238.3086a.u. and the first excited state is a triplet with excitation energy of 3.2872eV meaning first transition from ground state singlet to first excited state triplet. i.e  $S_0 \rightarrow T_1$ . It is found that the  $S_0 \rightarrow S_1$  transition corresponding to the excitation from the HOMO to the LUMO with absorption wavelength of 429.18nm and  $S_0 \rightarrow T_4$  with the absorption wavelength of 362.20nm is dominant. Although, intersystem crossing between singlet-triplet electronic states is forbidden in Born Oppenheimer approximation, for these molecules the probability of this happening is high. This is as a result of similar molecular geometry of the excited state singlet and triplet states, and a strong spin-orbit coupling which allows the spin flip

associated with a singlet-triplet transition to occur. These transitions are listed in Table 4.49 while the spectrum is shown figure 4.23, (appendix 6).

**Table 4.49: Transition energies (eV), wavelengths (nm) and oscillator strengths for Tricarbonyl (1-4-η-5-exo-N-4-methylpyridino-cyclohexa-1,3-diene) iron complex**

Excited states No.	Excitation Energy/eV	Multiplicity	Strength	Wavelength/nm
1	2.7844	Triplet	0.0000	445.28
2	2.8888	Singlet	0.0389	429.18
3	3.2872	Triplet	0.0000	377.18
4	3.3683	Triplet	0.0000	368.09
5	3.4231	Triplet	0.0389	362.20
6	3.5053	Triplet	0.0000	353.70
7	3.5301	Triplet	0.0000	351.22
8	3.5873	Singlet	0.0134	345.62
9	3.6879	Singlet	0.0001	336.19

10	3.7151	Singlet	0.0136	333.73
11	3.7905	Singlet	0.0057	327.09
12	3.8532	Singlet	0.0011	321.77

#### 4.11.4 TDDFT of Tricarbonyl (1-4- $\eta$ -5-exo-N-2,3-dimethylpyridino-cyclohexa-1,3-diene) iron complex

The electronic transitions of dimethylpyridino-1-4- $\eta$ -cyclohexa-1,3-diene irontricarbonyl complexes were investigated to confirm the configuration for ground state geometry optimized with DFT B3LYP with basis set 6-31G(D). The transitions are from the ground state to excited states, and are all spin-allowed. Excitation energies and oscillation strengths for the transition from ground state ( $S_0$ ) singlet, to first,  $S_1$ , second,  $S_2$ , third,  $S_3$ , fourth,  $S_4$ , fifth,  $S_5$  and sixth  $S_6$  of each complex were calculated using TDDFT calculation in gas phase at the level B3LYP/6-31G(D) level. The calculated total time-dependent density functional theory energy was -2163.0824a.u, and the first excited state is a triplet with excitation energy of 2.9952eV meaning first transition from ground state singlet to first excited state triplet. i.e  $S_0 \rightarrow T_1$ . It is found that the  $S_0 \rightarrow S_1$  transition corresponding to the excitation from the HOMO to the LUMO with absorption wavelength of 413.94nm and  $S_0 \rightarrow T_4$  with the absorption wavelength of 364.44nm are dominant. Although, intersystem crossing between singlet-triplet electronic states is forbidden in Born Oppenheimer approximation, for many molecules the probability of this happening is high. The probability of intersystem crossing transitions is enhanced by two factors: a very similar molecular geometry in the excited state singlet and triplet states, and a strong spin-orbit coupling which allows the spin flip associated with a singlet-triplet transition to occur. In these complexes, intersystem crossing from excited state singlet to

triplet excited state occurs with same oscillator strength but at different energy bands. Although the degree of mixing may be small, but the bands associated with these transitions are very weak. These transitions are listed in Table 4.50 while the spectrum is shown Figure 4.24, (appendix 6).

**Table 4.50: Transition energies (eV), wavelengths (nm) and oscillator strengths for Tricarbonyl (1-4- $\eta$ -5-exo-N-2,3-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complex**

Excited states No.	Excitation Energy/eV	Multiplicity	Strength	Wavelength/nm
1	2.9273	Triplet	0.0000	423.54
2	2.9952	Singlet	0.0187	413.94
3	3.2864	Triplet	0.0000	377.26
4	3.3766	Triplet	0.0000	357.18
5	3.4021	Triplet	0.0187	364.44
6	3.5323	Triplet	0.0000	351.00
7	3.6125	Triplet	0.0000	342.95
8	3.7278	Singlet	0.0037	332.59

9	3.8320	Singlet	0.0020	323.54
10	3.8742	Singlet	0.0152	320.02
11	3.9053	Singlet	0.0043	317.47
12	3.9428	Singlet	0.0055	314.03

#### 4.11.5 TDDFT of Tricarbonyl (1-4-η-5-exo-N-4-aminopyridino-cyclohexa-1,3-diene) iron complex

Electronic transitions were investigated to confirm the configuration for ground state geometry optimized with DFT B3LYP with basis set 6-31G(D). The transitions are from the ground state to excited states, and are all spin-allowed. Excitation energies and oscillation strengths for the transition from ground state ( $S_0$ ) singlet, to first,  $S_1$ , second,  $S_2$ , third,  $S_3$ , fourth,  $S_4$  and fifth,  $S_5$  of each complex were calculated using TDDFT calculation in gas phase at the level B3LYP/6-31G(D) level. The calculated total time-dependent density functional theory energy was -2139.8138a.u and the first excited state is a triplet with excitation energy of 3.2855eV i.e  $S_0 \rightarrow T_1$ . However, it is found that the  $S_0 \rightarrow S_1$  transition corresponding to the excitation from the HOMO to the LUMO with absorption wavelength of 285.91nm is dominant and this can be attributed to  $n \rightarrow \pi^*$  transition. The calculated transition energies (eV), wavelengths (nm) and oscillator strengths showing its multiplicities are shown in Table 4.51 and UV-visible spectrum is shown Figure 4.25, (appendix 6).

**Table 4.51: Transition energies (eV), wavelengths (nm) and oscillator strengths for Tricarbonyl (1-4- $\eta$ -5-exo-N-4-aminopyridino-cyclohexa-1,3-diene) iron complex**

Excited states No.	Excitation Energy/eV	Multiplicity	Strength	Wavelength/nm
1	3.2855	Triplet	0.0000	377.37
2	3.3863	Triplet	0.0000	366.13
3	3.5424	Triplet	0.0000	350.00
4	3.6186	Triplet	0.0000	342.63
5	3.6864	Triplet	0.0000	336.32
6	3.7302	Singlet	0.0007	332.38
7	3.7464	Singlet	0.0000	330.94
8	3.8913	Singlet	0.0011	318.62
9	3.9715	Singlet	0.0003	312.18

10	4.1052	Singlet	0.0022	302.01
11	4.1547	Singlet	0.0036	298.42
12	4.3365	Singlet	0.0176	285.91

#### 4.11.6 TDDFT of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylaminopyridino-cyclohexa-1,3-diene) iron complex

The electronic transitions of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylaminopyridino-cyclohexa-1,3-diene) iron complexes were investigated to confirm the configuration for ground state geometry optimized with DFT B3LYP with basis set 6-31G(D). The transitions are from the ground state to excited states, and are all spin-allowed. Excitation energies and oscillation strengths for the transition from ground state ( $S_0$ ) singlet, to first,  $S_1$ , second,  $S_2$ , third,  $S_3$ , fourth,  $S_4$ , fifth,  $S_5$  and sixth  $S_6$  of each complex were calculated using TDDFT calculation in gas phase at the level B3LYP/6-31G(D) level. The calculated total time-dependent density functional theory energy was -2218.4340a.u, and the first excited state is a triplet with excitation energy of 3.2847eV meaning first transition from ground state singlet to first excited state triplet. i.e  $S_0 \rightarrow T_1$ , but with a very weak band. It is found that the  $S_0 \rightarrow S_1$  transition corresponding to the excitation from the HOMO to the LUMO with absorption wavelength of 285.57nm, is dominant and this is attributed to the transition from  $n \rightarrow \pi^*$ . However, a spin-forbidden transition exists because the singlets mix to some extent with the triplets state due to spin-orbit coupling, although the degree of mixing is small, hence the band associated with these transitions are very weak. The calculated transition energies (eV), wavelengths (nm) and oscillator strengths

showing its multiplicities are shown in Table 4.52 and UV-visible spectrum is shown Figure 4.25 in appendix 6.

**Table 4.52: Transition energies (eV), wavelengths (nm) and oscillator strengths for Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylaminopyridino-cyclohexa-1,3-**

Excited states No.	Excitation Energy/eV	Multiplicity	Strength	Wavelength/nm
1	3.2841	Triplet	0.0000	377.46
2	3.3757	Triplet	0.0000	367.28
3	3.3839	Triplet	0.0000	366.40
4	3.5469	Triplet	0.0000	349.55
5	3.6102	Triplet	0.0000	343.42
6	3.7845	Triplet	0.0000	327.61
7	3.8452	Singlet	0.0015	322.44



8	3.8973	Singlet	0.0007	318.13
9	3.9704	Singlet	0.0002	312.27
10	4.1411	Singlet	0.0042	299.40
11	4.2785	Singlet	0.0001	289.78
12	4.3416	Singlet	0.0236	285.57

#### 4.11.7 TDDFT of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylaminopyridino-2-methoxycyclohexa-1,3-diene) iron complex

The electronic transitions of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylaminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes were investigated to confirm the configuration for ground state geometry optimized with DFT B3LYP with basis set 6-31G(D). The transitions are from the ground state to excited states, and are all spin-allowed. Excitation energies and oscillation strengths for the transition from ground state ( $S_0$ ) singlet, to first,  $S_1$ , second,  $S_2$ , third,  $S_3$ , fourth,  $S_4$ , fifth,  $S_5$  and sixth  $S_6$  of each complex were calculated using TDDFT calculation in gas phase at the level B3LYP/6-31G(D) level. The calculated total time-dependent density functional theory energy was -2332.9574a.u, and the first excited state is a triplet with excitation energy of 3.3092eV meaning first transition from ground state singlet to first excited state triplet. i.e  $S_0 \rightarrow T_1$ .but with a very weak band. It is found that the  $S_0 \rightarrow S_1$  transition corresponding to the excitation from the HOMO to the LUMO with absorption wavelength of 288.62nm.is dominant and this is attributed to the transition from  $n \rightarrow \pi^*$ . However, a spin-forbidden transition exist because the singlets mix to some extent with the triplets state due to spin-orbit coupling, although the degree of mixing is small, hence the band associated with

these transitions are very weak. The calculated transition energies (eV), wavelengths (nm) and oscillator strengths showing its multiplicities are shown in Table 4.53 and UV-visible spectrum is shown Figure 4.26, (appendix 6).

**Table 4.53: Transition energies (eV), wavelengths (nm) and oscillator strengths for Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylaminopyridino-2-methoxy-cyclohexa-1,3-diene) iron complex**

Excited states No.	Excitation Energy/eV	Multiplicity	Strength	Wavelength/nm
1	3.3092	Triplet	0.0000	374.66
2	3.3795	Triplet	0.0000	366.87
3	3.4358	Triplet	0.0000	360.86
4	3.4967	Triplet	0.0000	354.57
5	3.6030	Triplet	0.0000	344.11
6	3.6707	Singlet	0.0008	337.76
7	3.6738	Triplet	0.0000	337.48
8	3.9079	Singlet	0.0049	317.27

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9	3.9801	Singlet	0.0014	311.51
10	4.0856	Singlet	0.0042	303.47
11	4.1435	Singlet	0.0044	299.23
12	4.2957	Singlet	0.0131	288.62

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## CHAPTER FIVE

### SUMMARY AND CONCLUSION

#### 5.1 Summary

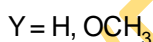
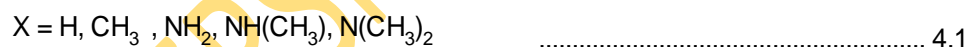
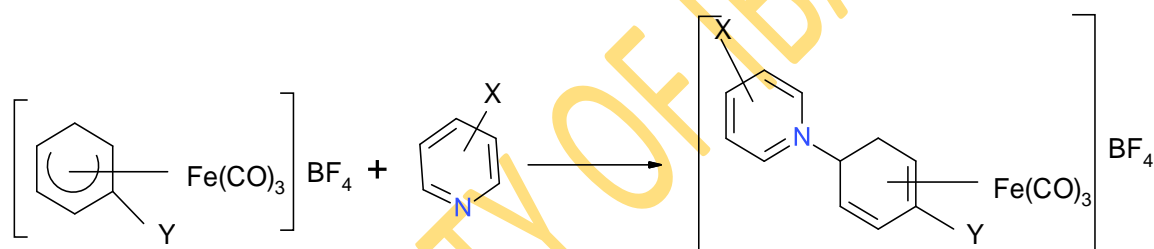
The complexes 1-4- $\eta$ -cyclohexa-1,3-diene derivatives of irontricarbonyl were synthesised and characterised using microanalytical method, infra-red, proton-neutron magnetic resonance and ultra-violet light spectroscopy.

The geometry, thermodynamic and electronic properties were studied using Spartan '10 molecular software package. The electronic excited state were investigated in order to determine the light emitting ability of the complexes.

The geometries of the complexes are functions of the various substituents on the rings while the formations were entirely spontaneous. The electronic parameters obtained are indicative of the reactivities as well as light emitting ability of the complexes.

## 5.2 Conclusion

The organometallic complex Tricarbonyl (1-4- $\eta$ -5-exo-N-pyridino-cyclohexa-1,3-diene) iron and its derivatives were synthesized via addition of pyridines to the complexes  $[\text{Fe}(\text{CO})_3(1-5-\eta\text{-dienyl})]\text{BF}_4$  dienyl =  $\text{C}_6\text{H}_7$  or  $2\text{-OMeC}_6\text{H}_6$  in acetonitrile. This synthesis has clearly demonstrated the application of organometallics to the synthesis of coordinated organics and the ease (room temperature and pressure) with which product I-XII (equation 4.1) could be prepared.



The  $C_1$  symmetry of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-Substitutedpyridino-Y-substituted cyclohexa-1,3-diene) iron complex and its derivatives have been calculated using, quantum mechanical method which include Semi-empirical PM3, Hartree-Fock, Density functional theory and Time-dependent density functional theory. The properties investigated for the stable structures include, the optimized structures, electronic properties, dipole moments, thermodynamic properties and vibrational frequencies. Semi empirical PM3 model revealed that, all the complexes are stable. The HOMO-LUMO energy band gaps of these complexes are calculated using all the three models, Band gaps calculated using Hartree-Fock model had the highest value followed by Semi empirical PM3 model while the least value of band gap was obtained from density functional theory.

Semi empirical studies revealed that all the complexes are thermodynamically stable as indicated by the values of free energy, enthalpy and entropy. However, the formation of these complexes is spontaneous from the calculated free energy values.

Infra-red vibrational analyses were carried out using both semi empirical and density functional theory for molecular characterization of these complexes. It was discovered that, these complexes have very sharp peaks between  $2103\text{cm}^{-1}$ –  $2171\text{cm}^{-1}$  corresponding to the stretching vibrations of the  $\text{C}\equiv\text{O}$  bond. It is clearly seen that these groups have corresponding IR signatures which are expected to provide useful information for further studies.

$^1\text{H}$  N.m.r spectroscopic studies on these groups of complexes show well separated overlapping multiplets characteristics of the outer  $\text{H}^1$  and  $\text{H}^4$  and inner  $\text{H}^2$  and  $\text{H}^3$  –diene protons (Odiaka and Okogun, 1985; Odiaka, 1988a, 1988b; Odiaka *et al.*, 2007). The density functional theory and Time-dependent density functional theory were used to characterize the complexes while ultra-violet visible studies allowed the prediction of the electronic transition from ground state singlet to excited state mixtures of singlets (strong bands) and triplets (weak bands). The  $\text{S}_0 \rightarrow \text{S}_1$  transition corresponds to the excitation from the HOMO to the LUMO with the absorption wavelength ranging from of 430.19nm–368nm attributed to the transition from  $\pi \rightarrow \pi^*$  while the absorption wavelength of less than 300nm is attributed to the electronic transition from  $n \rightarrow \pi^*$  as observed for aminopyridino 1-4- $\eta$ -cyclohexa-1,3-diene iron tricarbonyl complexes.

The calculated IR  $\nu_{\text{CO}}$  bands and  $^1\text{H}$  NMR studies are in good agreement with the observed experimental values.

The HOMO and LUMO energies calculated using both Hartree-Fock and Density functional theory showed that the DFT significantly underestimated the LUMO energies in comparison to HF. Ionization energies obtained from HOMO are of the order 1.58eV greater in HF in comparison to DFT while the DFT underestimated the LUMO energies and hence predicted higher values of electron affinities.

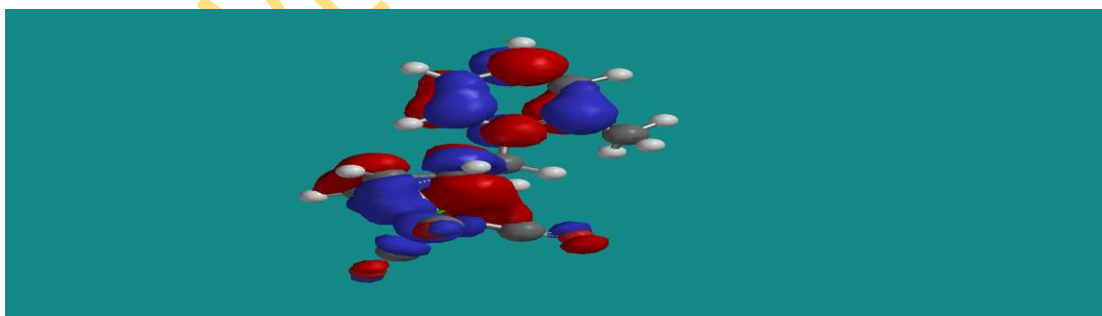
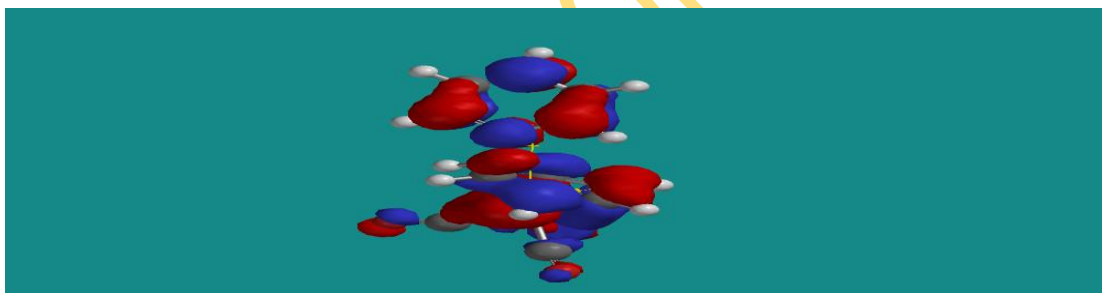
The values of ionization energies and electron affinities obtained from HOMO and LUMO were used in calculating the chemical potential, global chemical hardness, electronegativity and electrophilicity index, all commonly referred to as reactivity parameters. The predicted value of reactivity parameters showed that under suitable conditions, these complexes will react with nucleophiles to give new products.

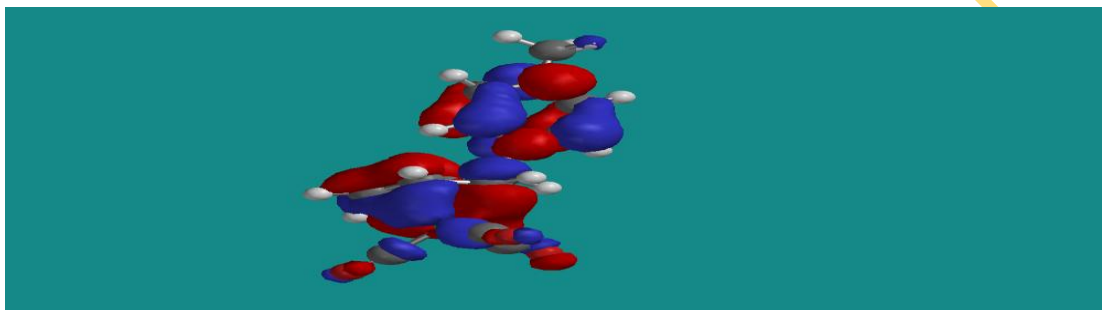
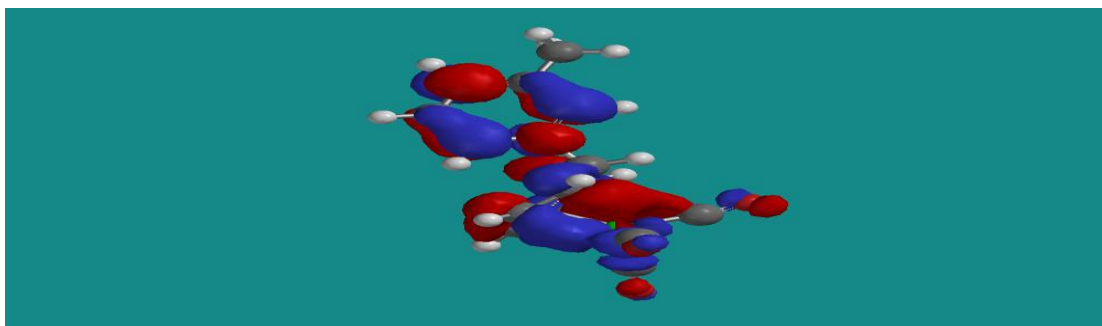
Finally, our results revealed that the excited state is a mixture of singlet and triplet. The relaxation from the excited state singlet ( $S_1 \rightarrow S_0$ ) gives fluorescence while the relaxation from the excited state triplet to ground state singlet ( $T_1 \rightarrow S_0$ ) results to phosphorescence. The aminopyridino complexes are purely fluorescent emitters while the methyl and dimethyl substituted pyridino complexes are phosphorescent emitters.

The electronic-excited state of synthesised methyl, dimethyl, and amino substituted Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-dimethylaminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes are capable of emitting light via phosphorescence and fluorescence, and may be useful in light emitting diodes.

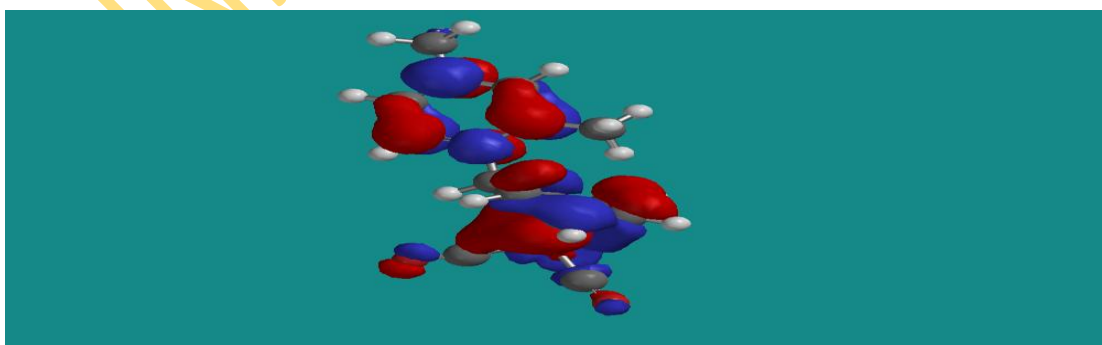
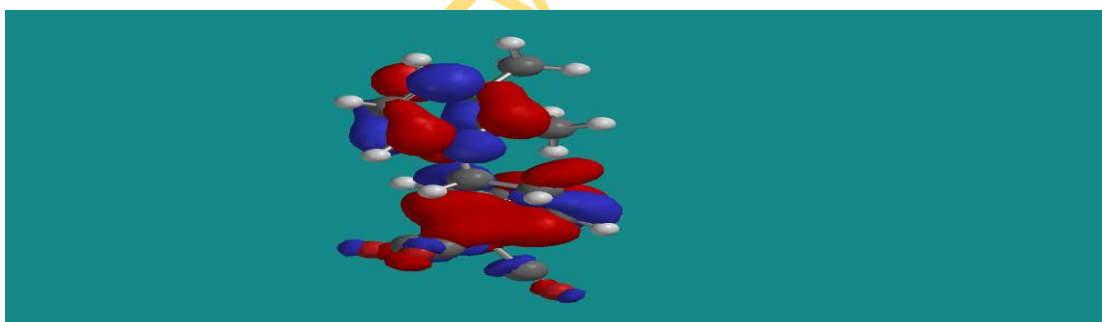
## APPENDICES

### Appendix 1 HOMO-LUMO Energy diagrams

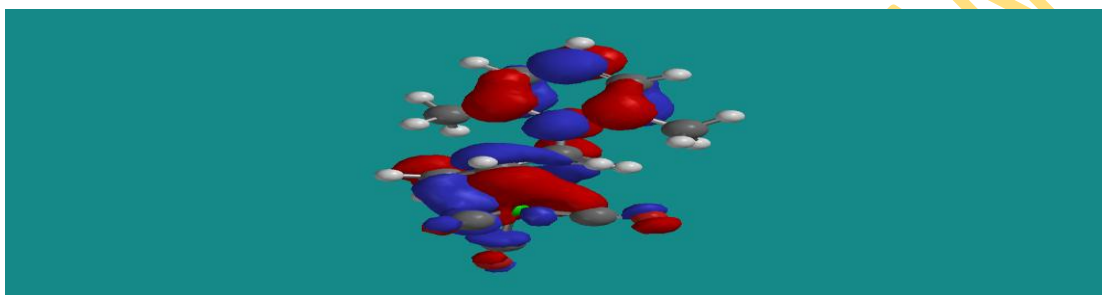
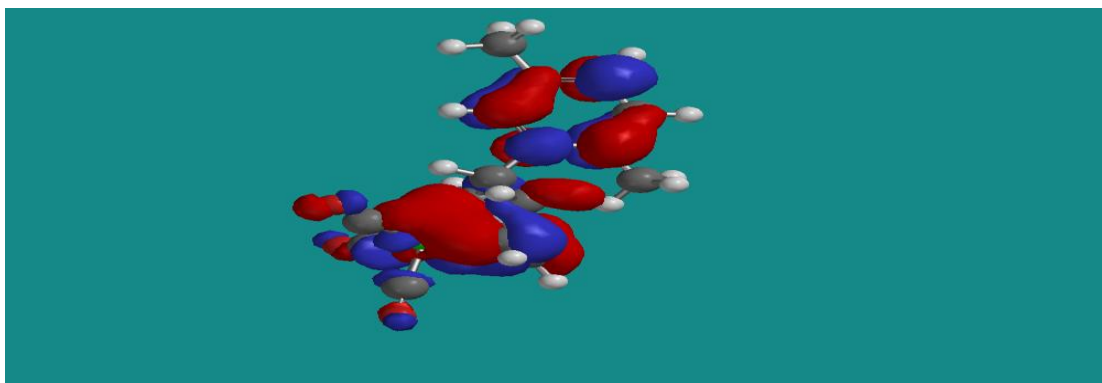




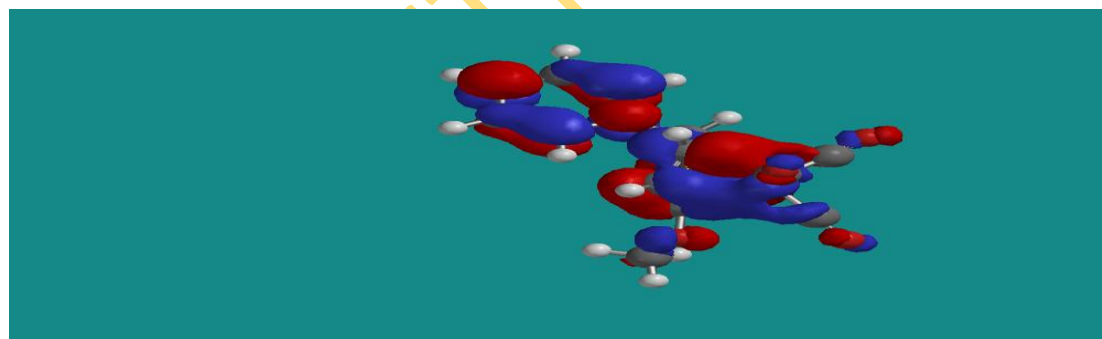
**Plate 4.1:** HOMO-LUMO Energy diagrams of Tricarbonyl (1-4-η-5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron

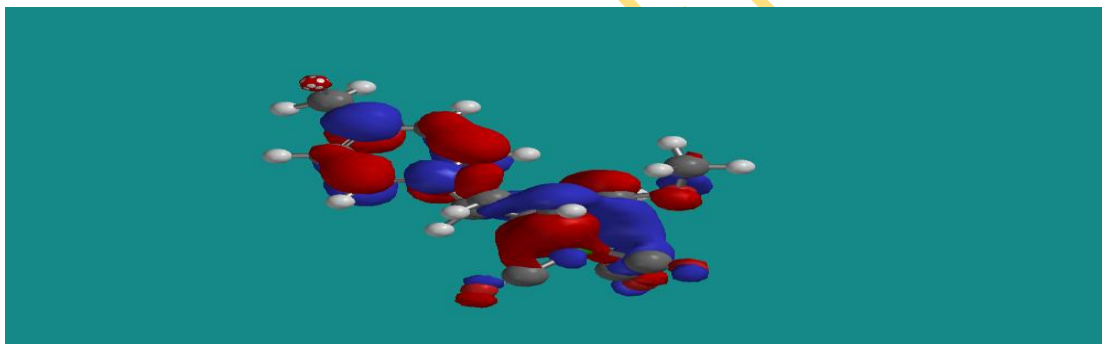
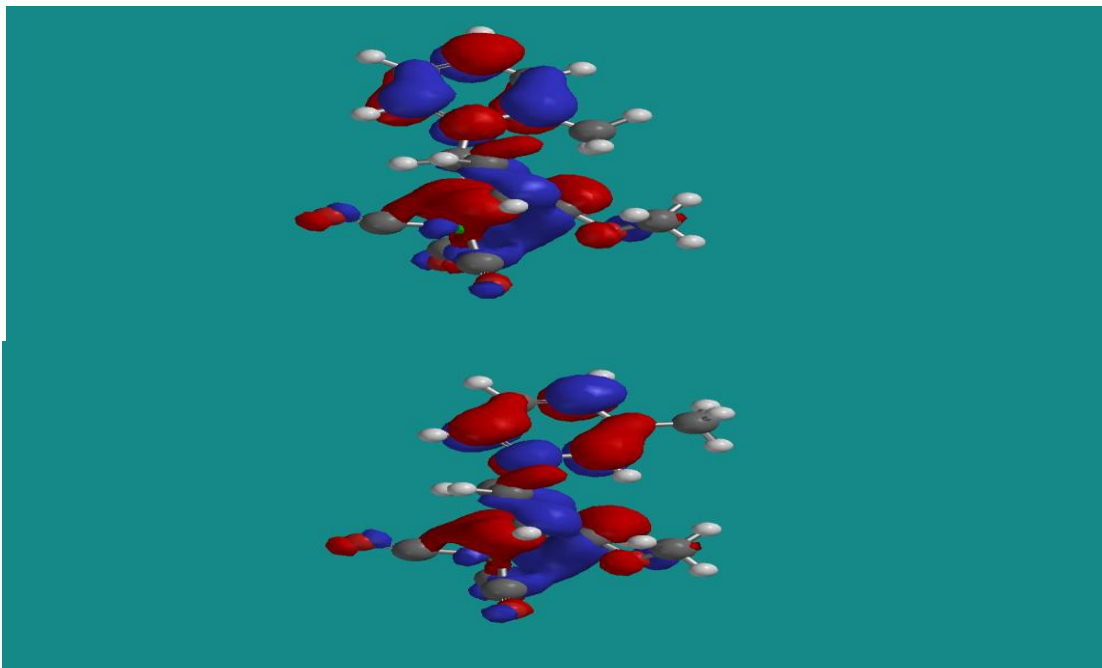




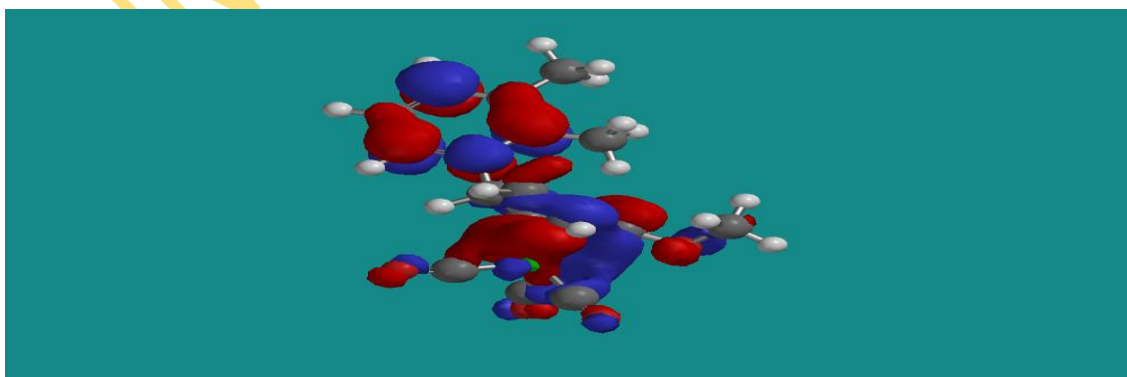


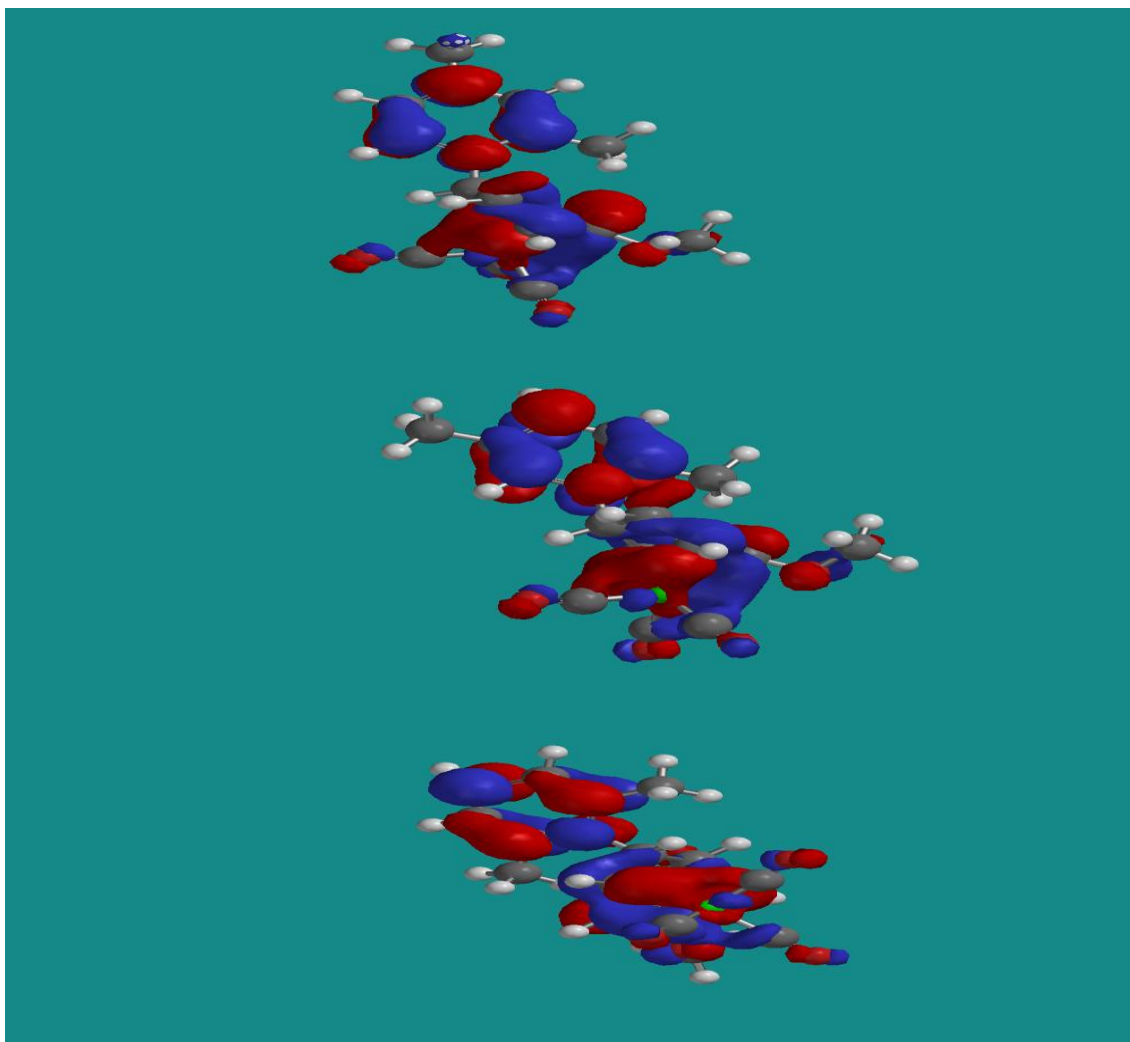
**Plate 4.2:** HOMO-LUMO diagrams of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron



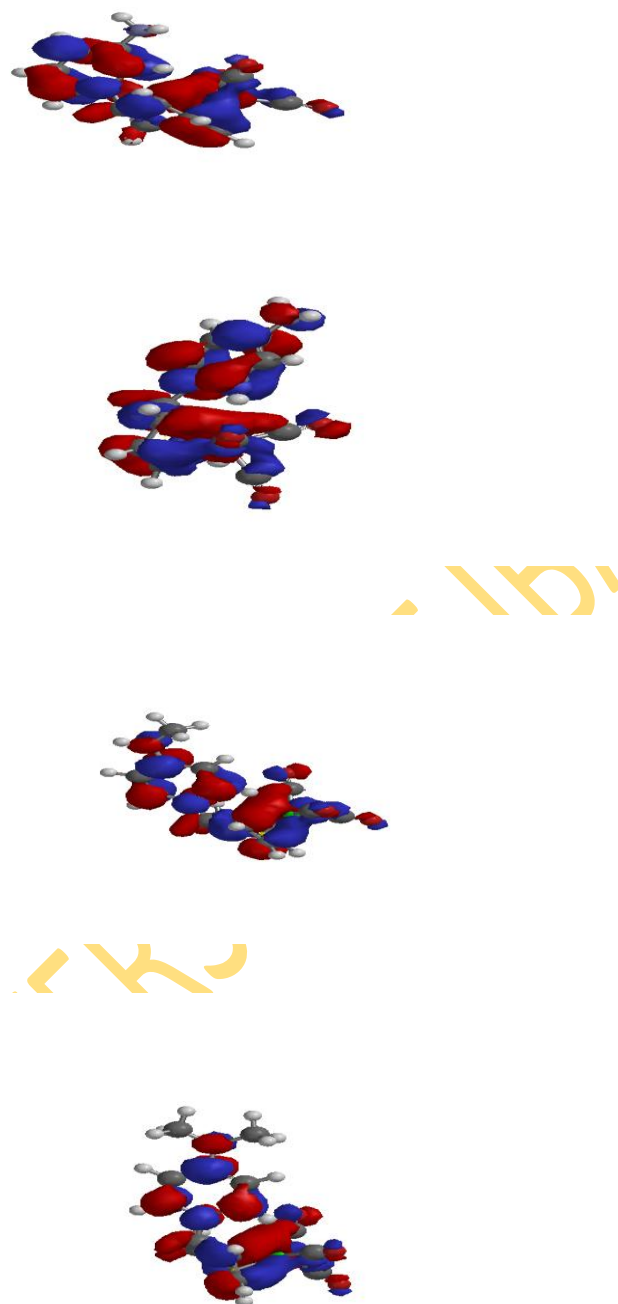


**Plate 4.3:** HOMO-LUMO Energy diagram of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron





**Plate 4.4:** HOMO and LUMO energy diagrams of Tricarbonyl (1-4- $\eta$ -5-exo- N-X, X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron

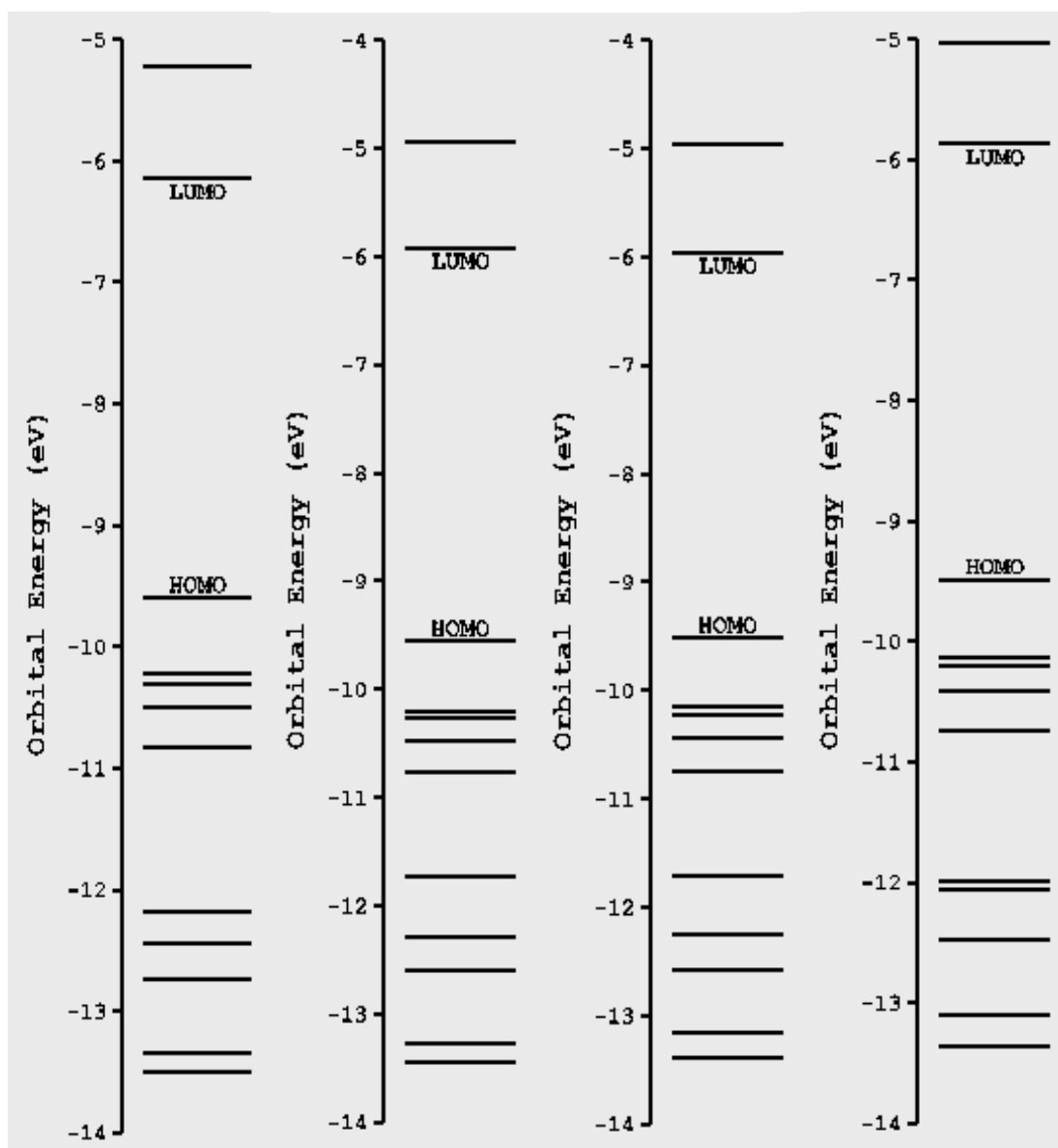


**Plate 4.5:** HOMO – LUMO Energy diagrams of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron

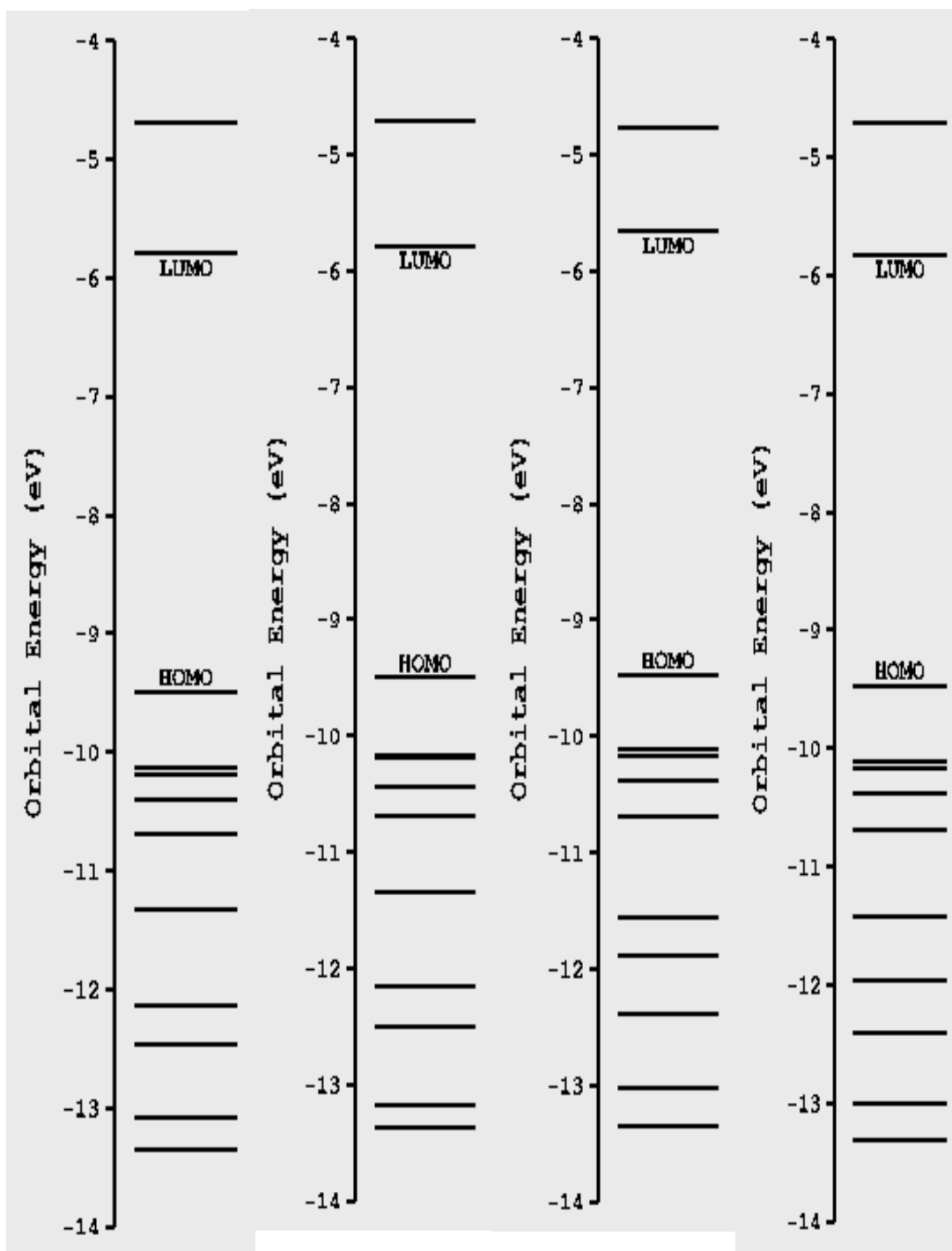


**Plate 4.6:** HOMO – LUMO Energy diagrams of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron

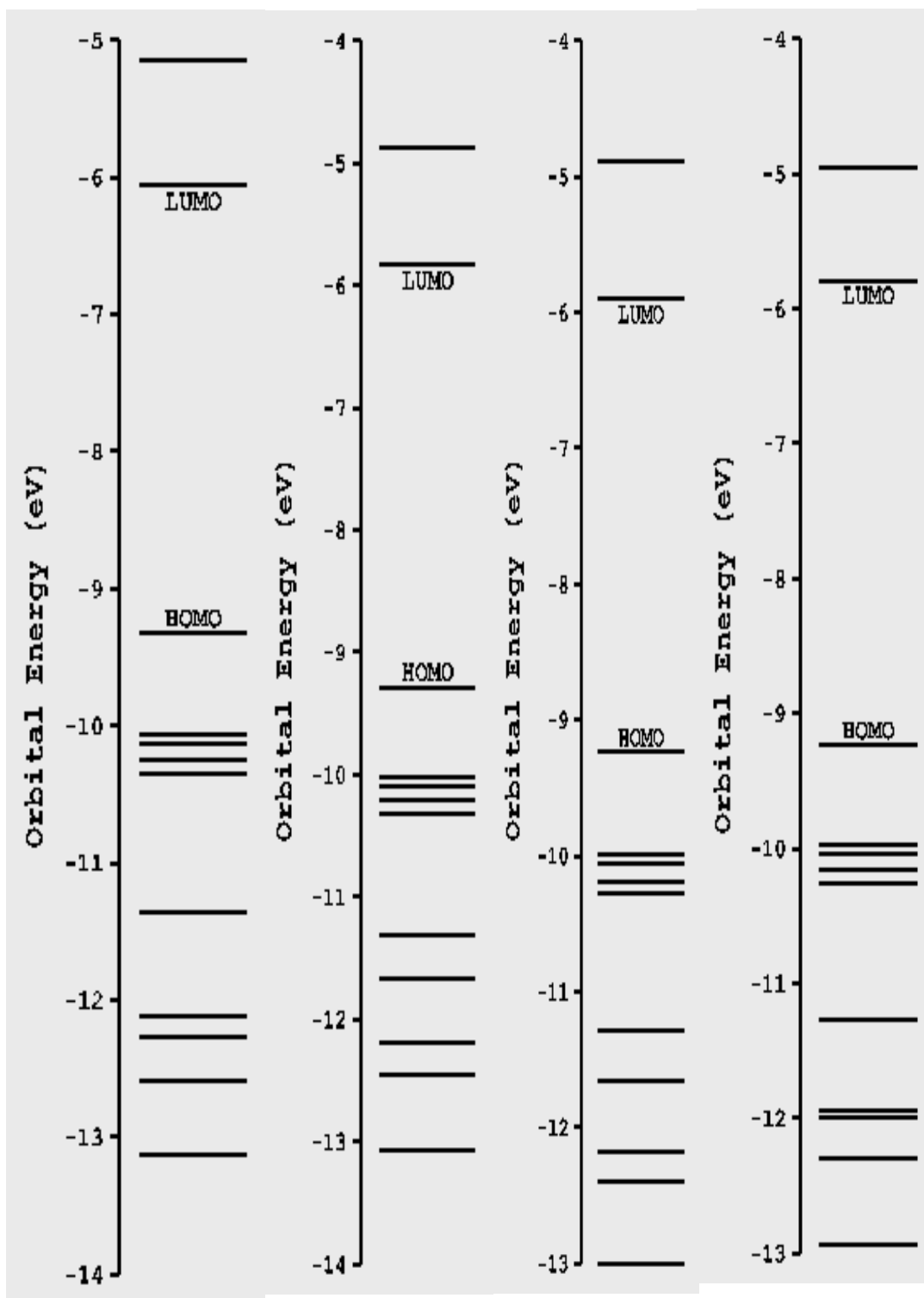
## Appendix 2: Orbital energy diagrams



**Figure 4.1:** Orbital energy diagrams of Tricarbonyl (1-4-η-5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron

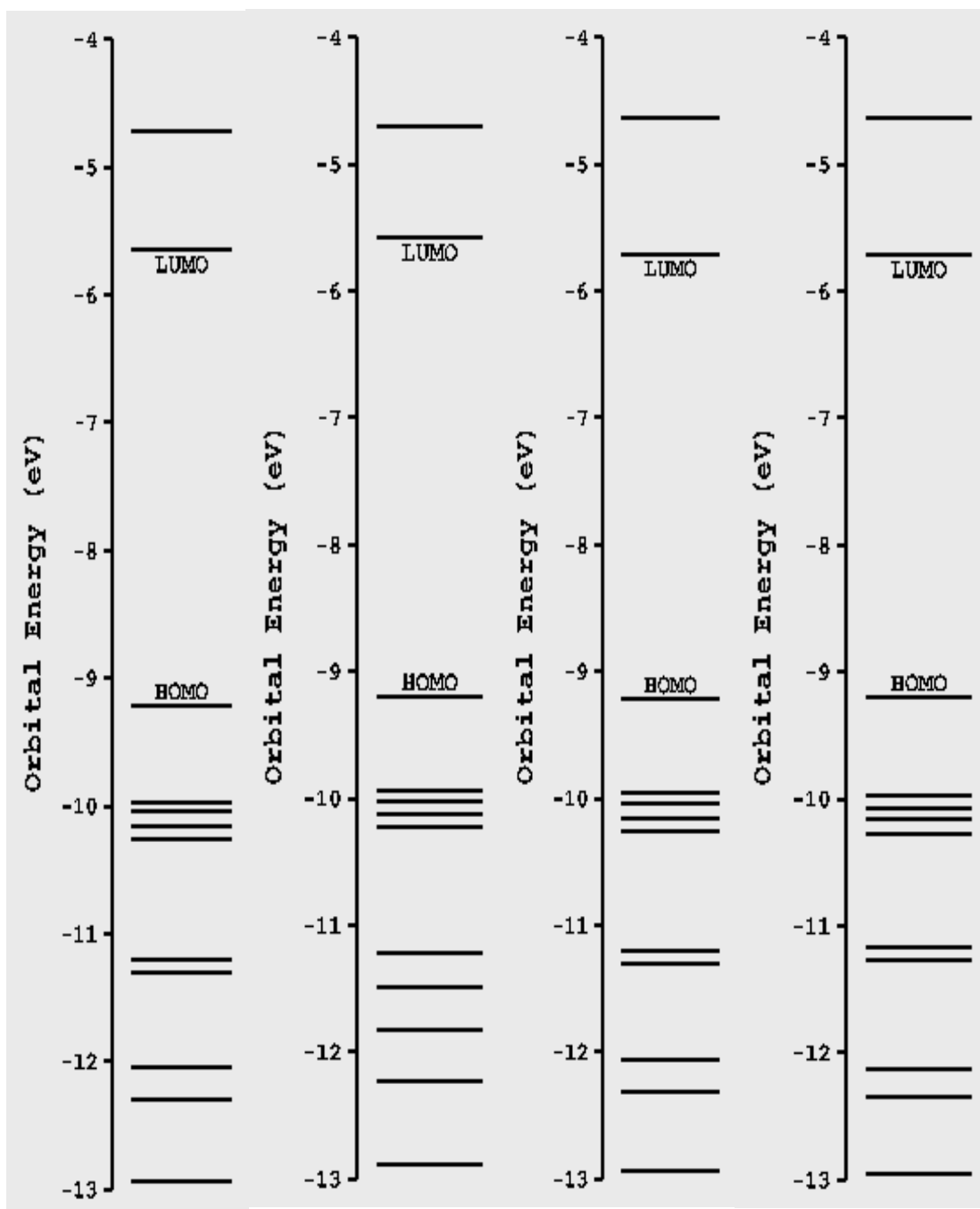


**Figure 4.2:** Orbital energy diagrams of Tricarbonyl (1-4-η-5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron

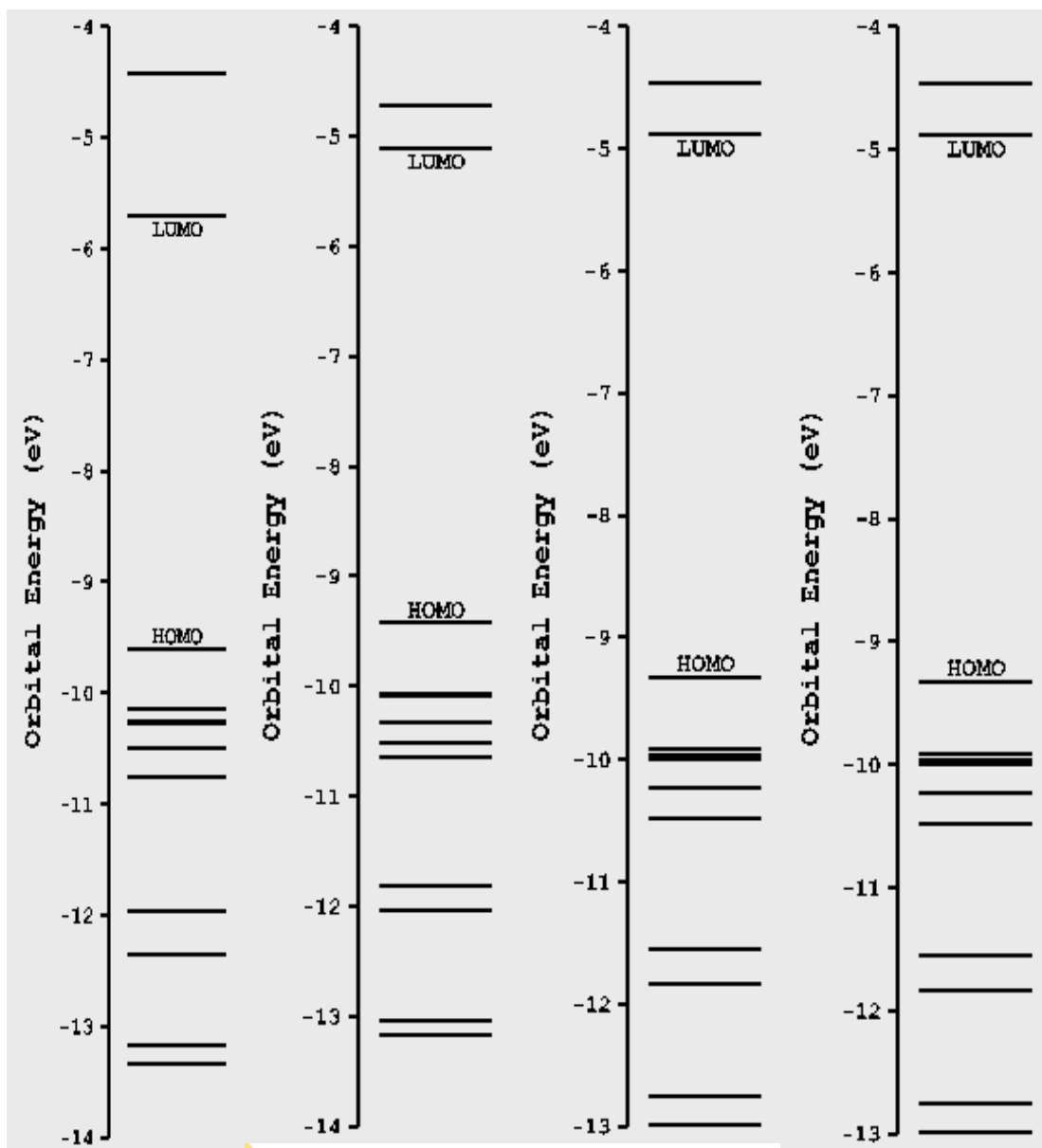


**Figure 4.3:** Orbital energy diagrams of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-2-methoxycyclohexa-1,3-diene) iron

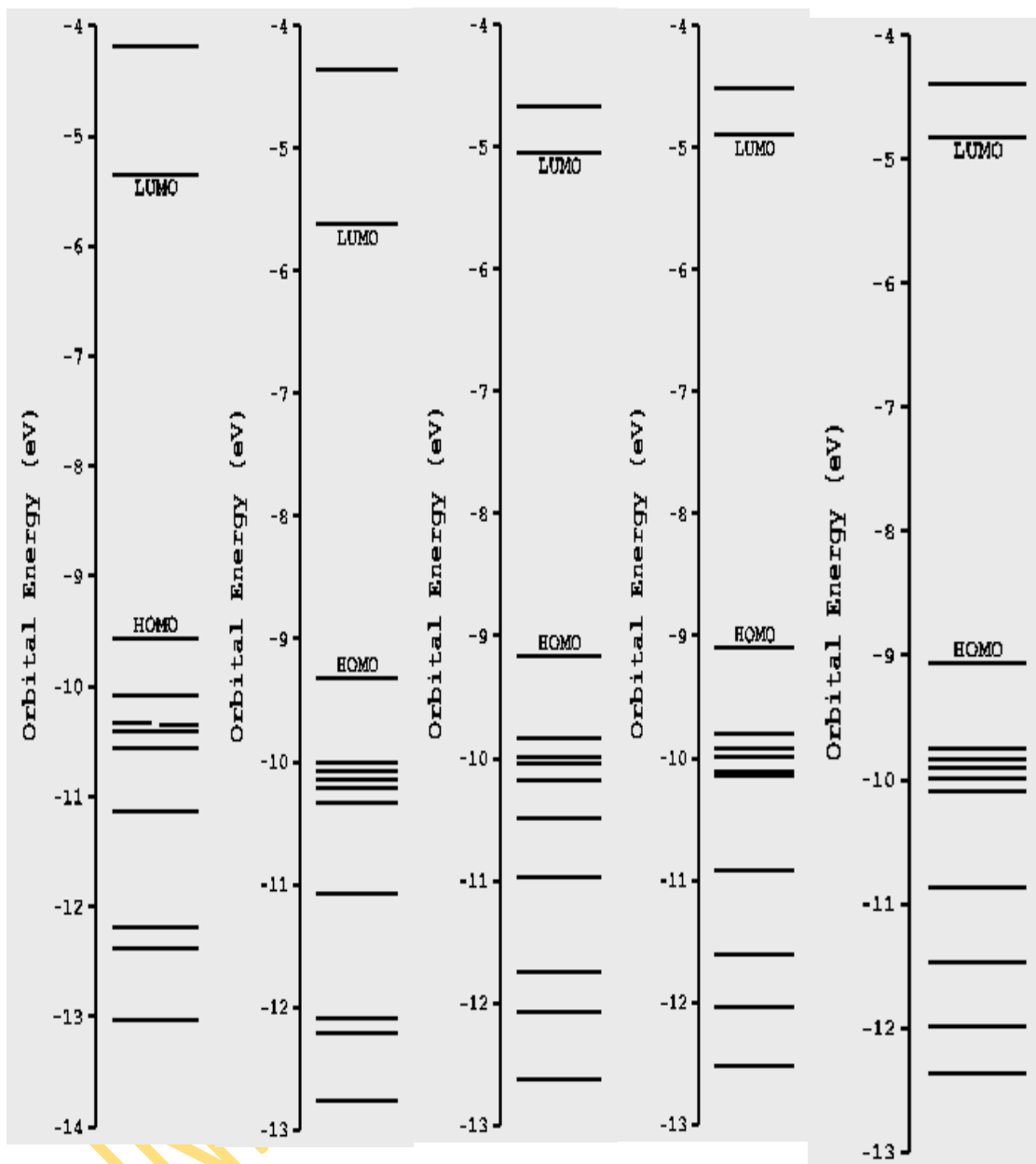




**Figure 4.4:** Orbital energy diagrams of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron

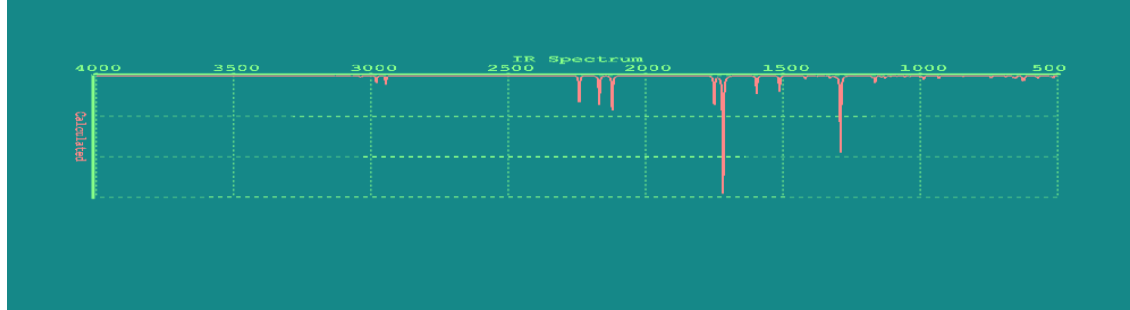
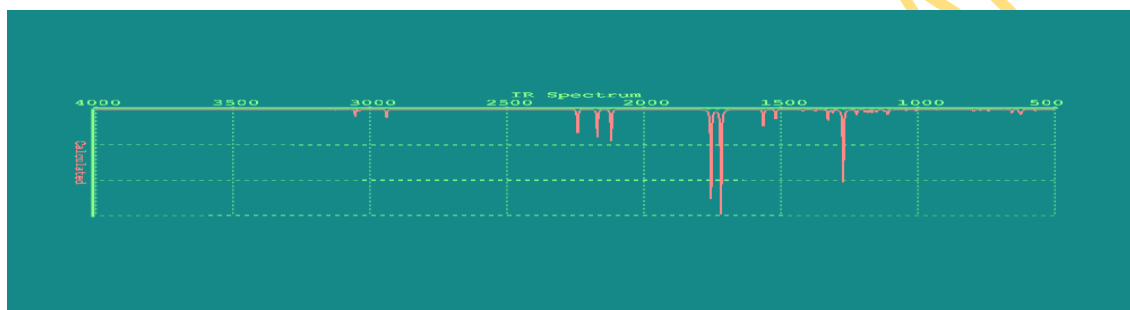
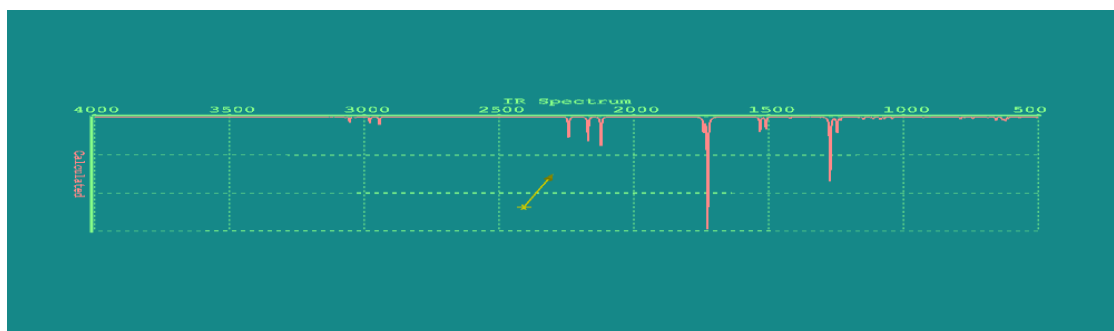


**Figure 4.5:** Orbital energy diagrams of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-cyclohexa-1,3-diene) iron

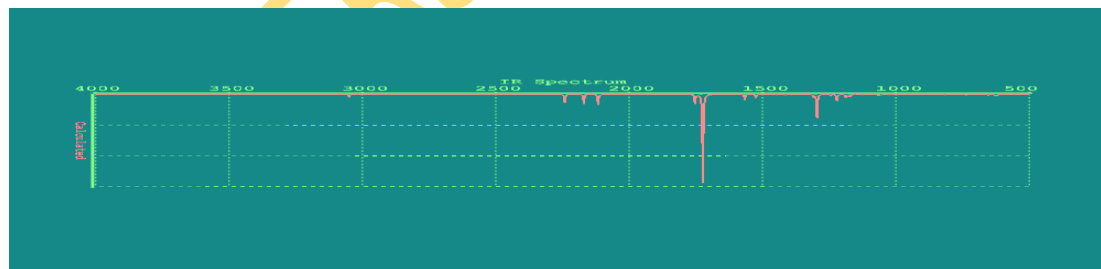
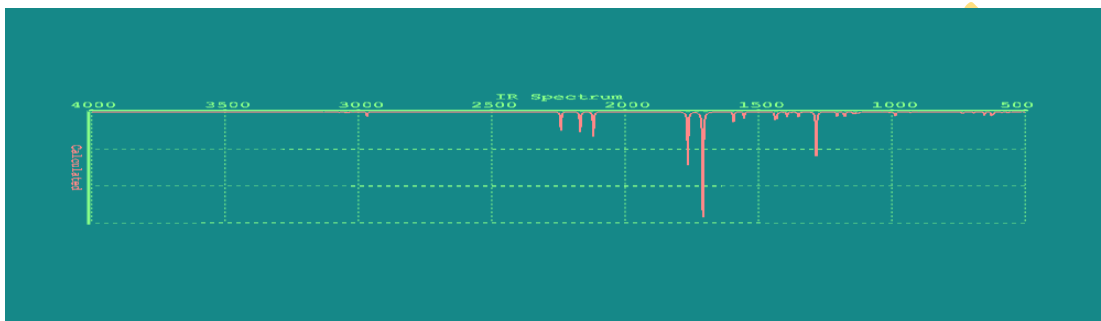
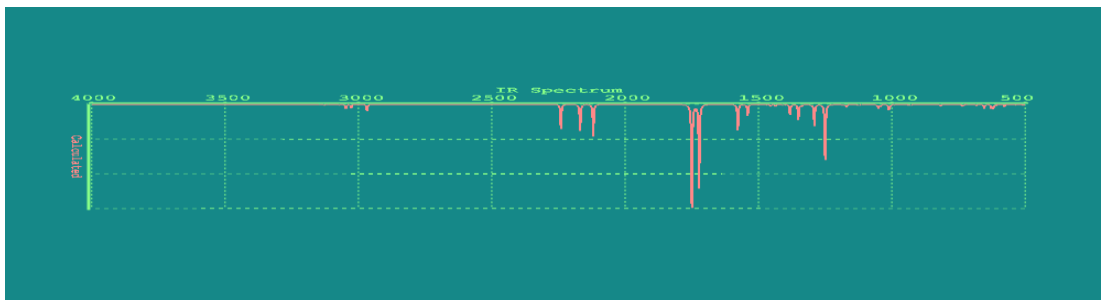


**Figure 4.6:** Orbital energy diagrams of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-aminopyridino-2-methoxycyclohexa-1,3-diene) iron

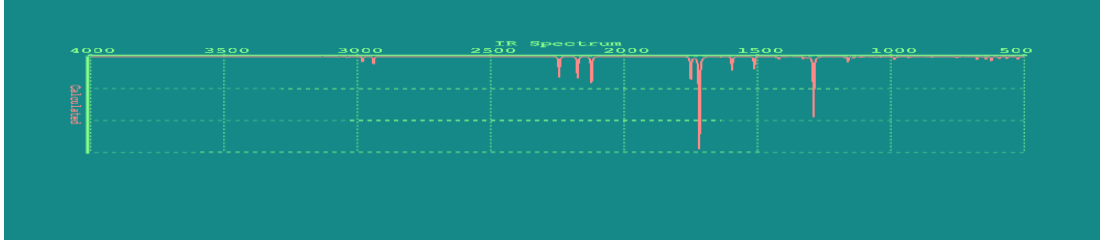
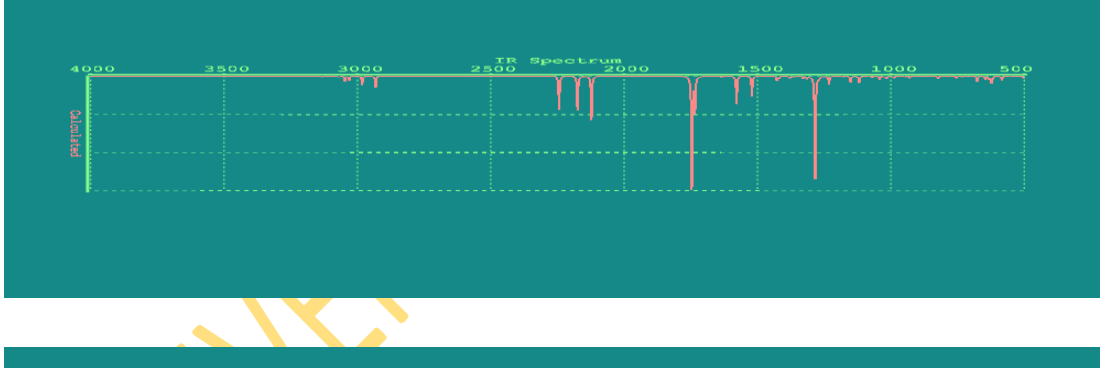
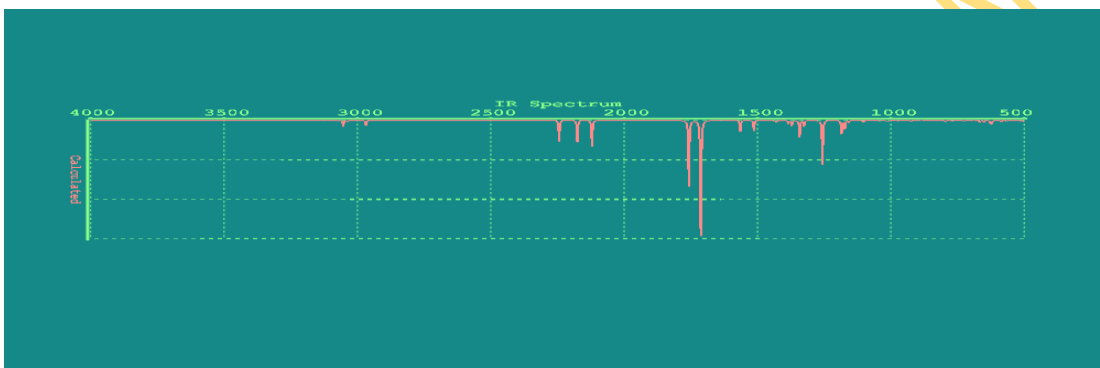
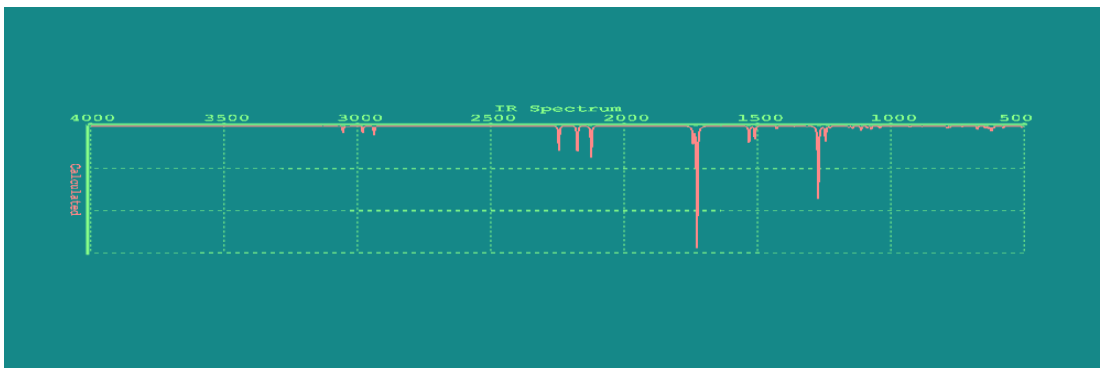
### Appendix 3: Infra-red Spectra showing vibrational frequencies using PM3



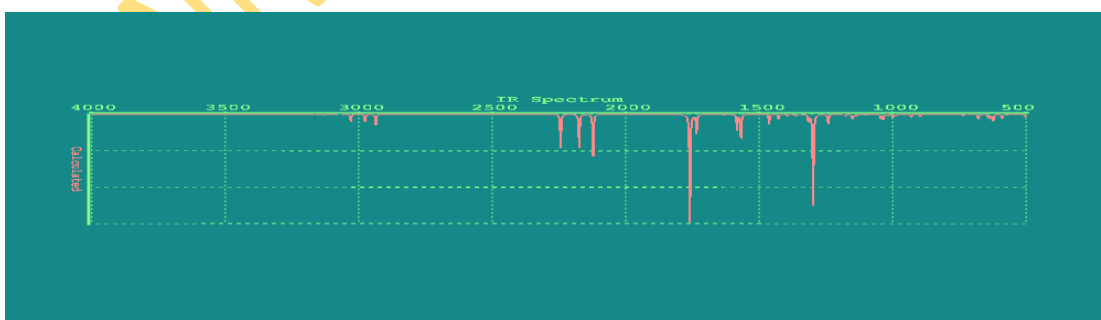
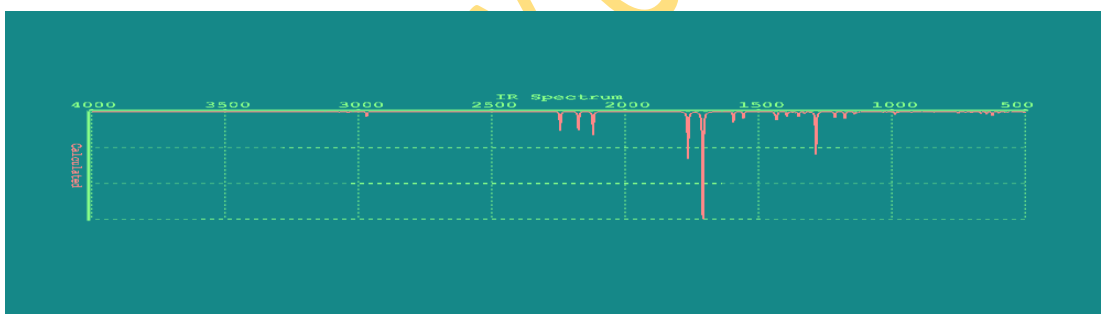
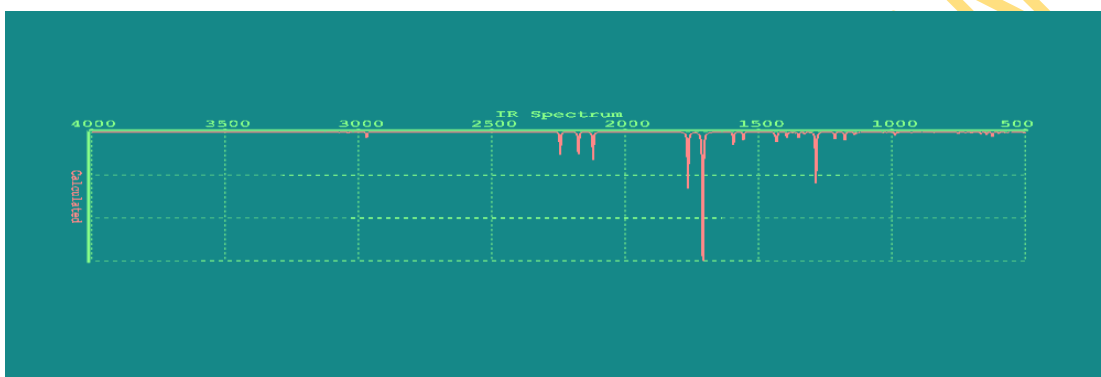
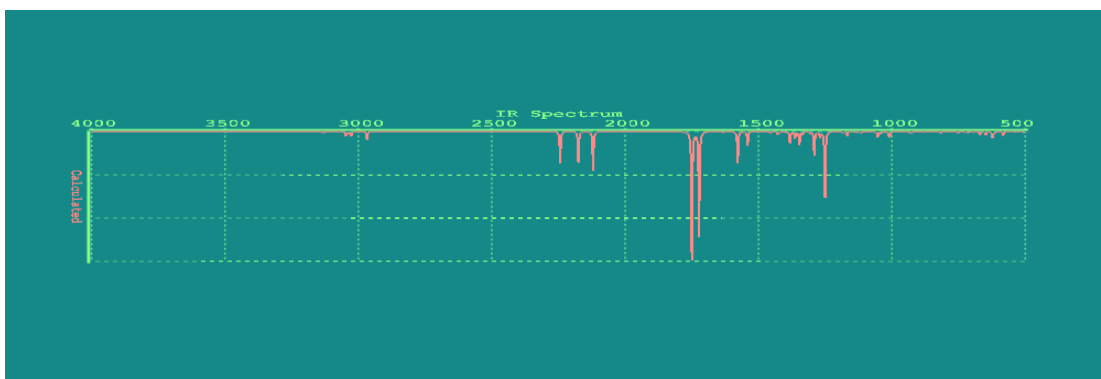
**Plate 4.7:** Infra-red spectra of Tricarbonyl (1-4-η-5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron



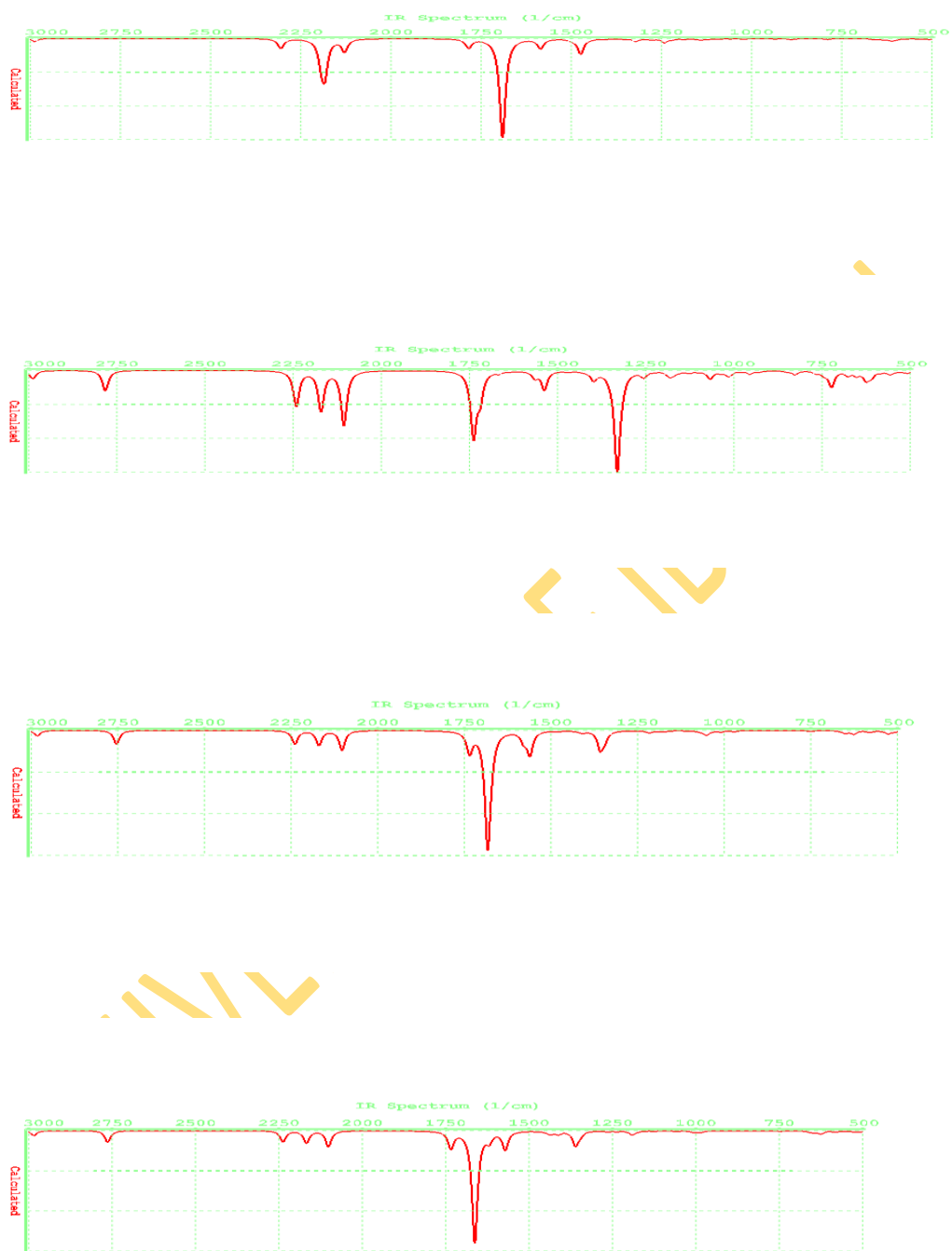
**Plate4.8:** Infra-red spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron



**Plate 4.9:** Infra-red spectra of Tricarbonyl (1-4-η-5-exo-N-X-pyridino-2-methoxy cyclohexa-1,3-diene) iron

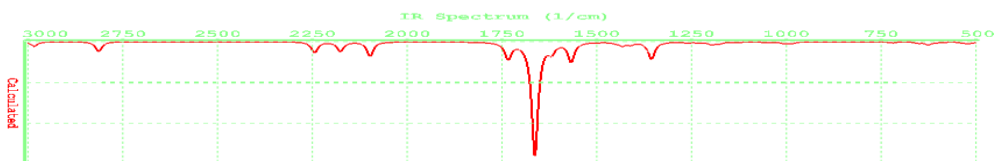
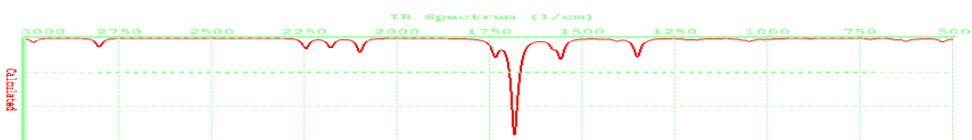
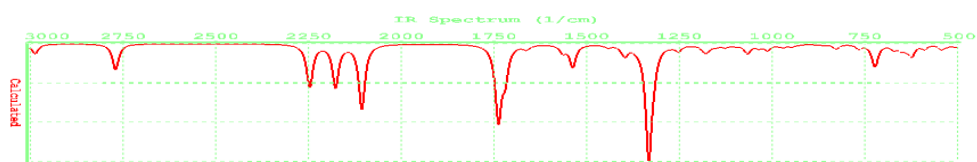
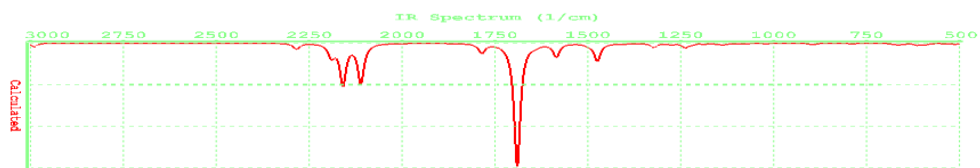


**Plate 4.10:** Infra-red spectra of Tricarbonyl (1-4-η-5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron



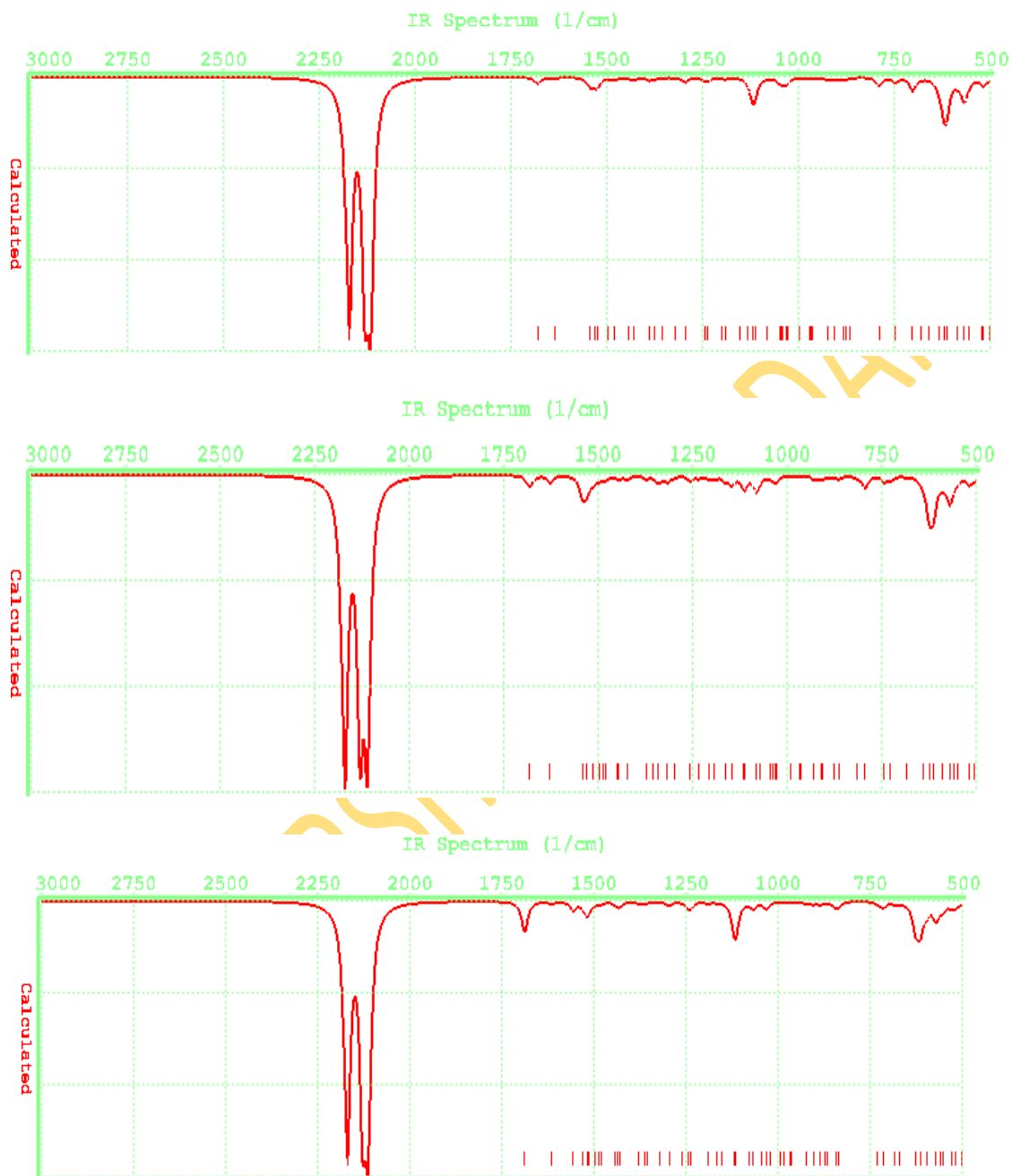
**Figure 4.7:** Infra-red spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-Substitued amino pyridino-cyclohexa-1,3-diene) iron complexes



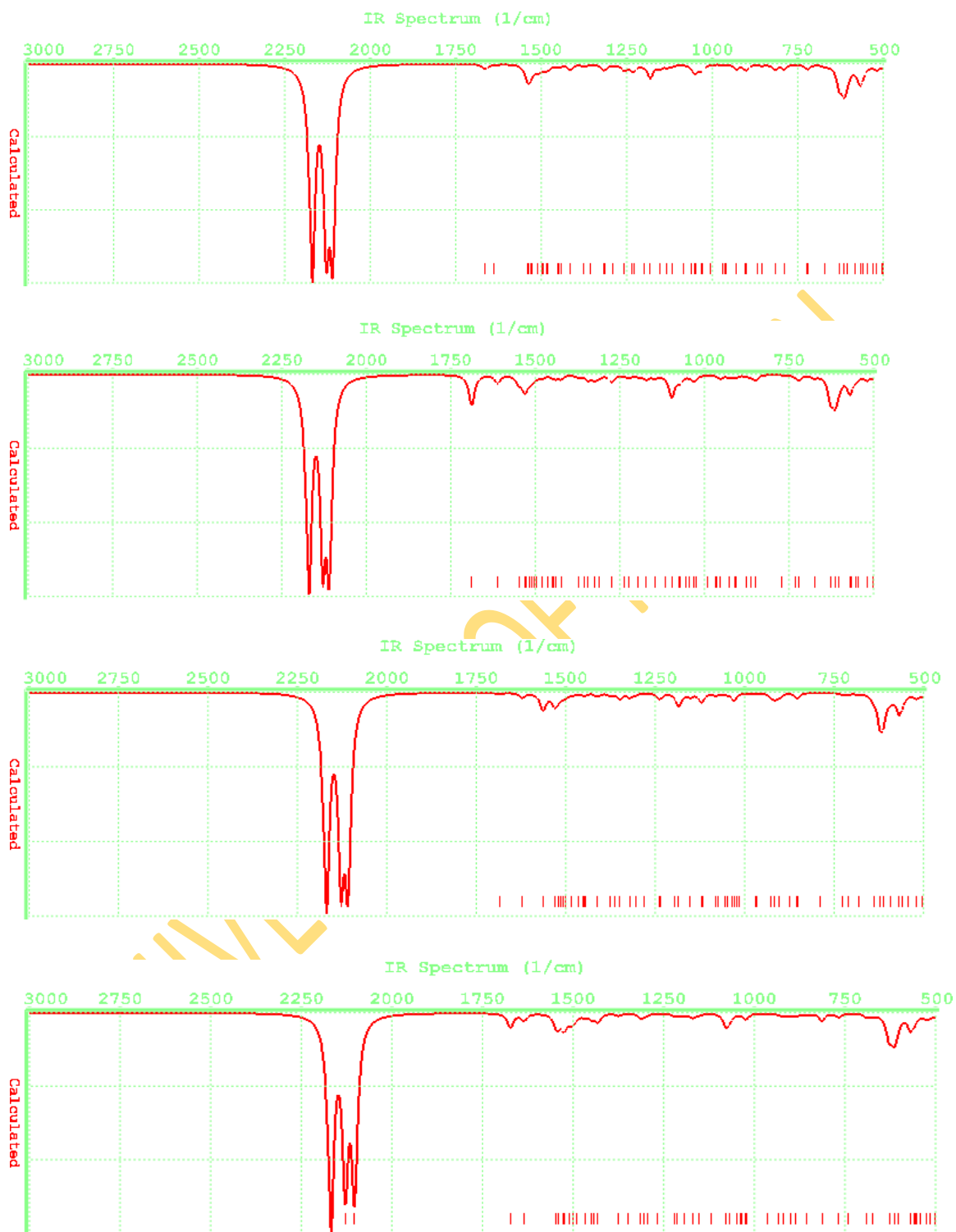


**Figure 4.8:** Infra-red spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-4-N-substituted aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

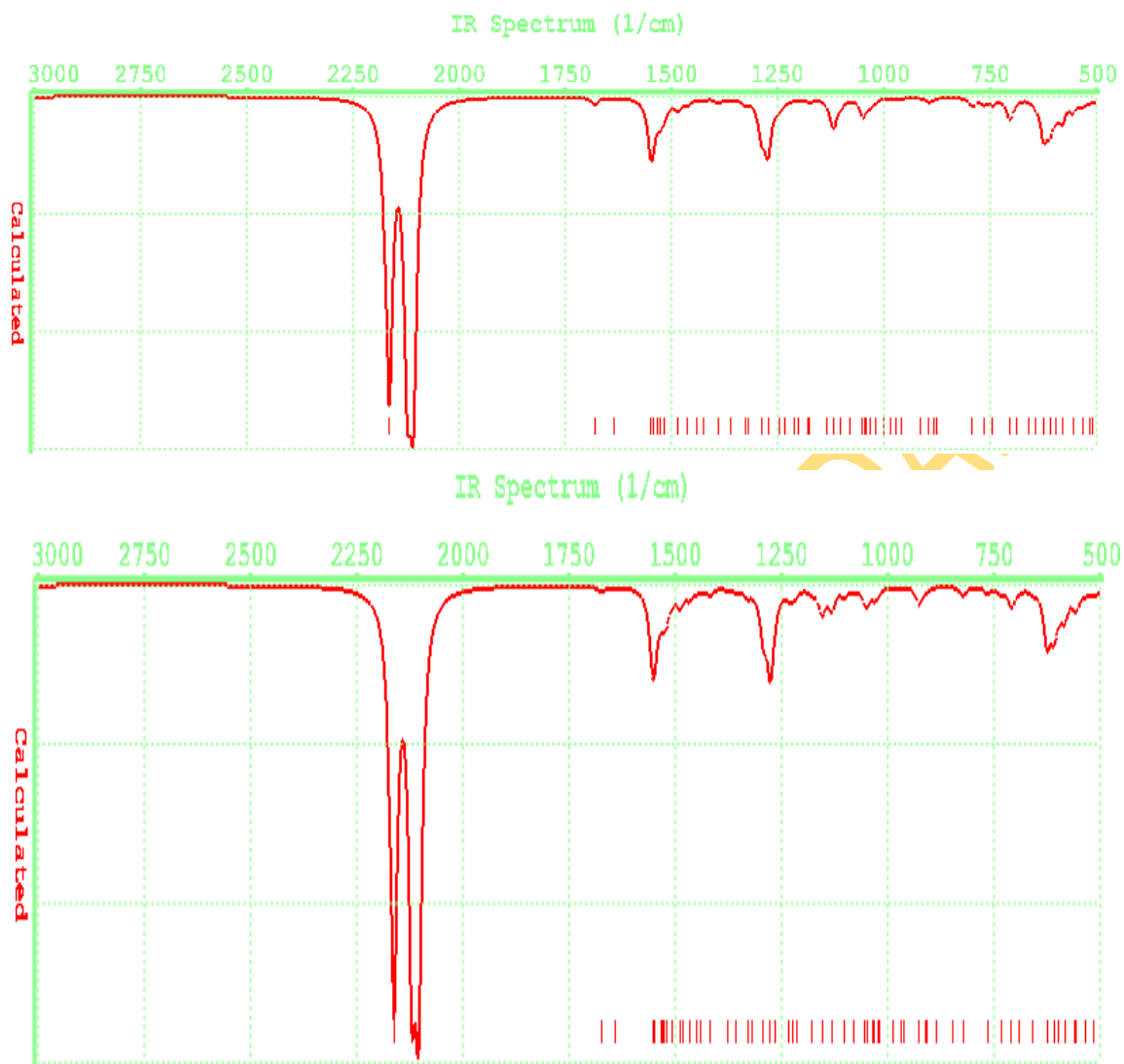
#### Appendix 4 Infra-red Spectra showing vibrational frequencies using DFT



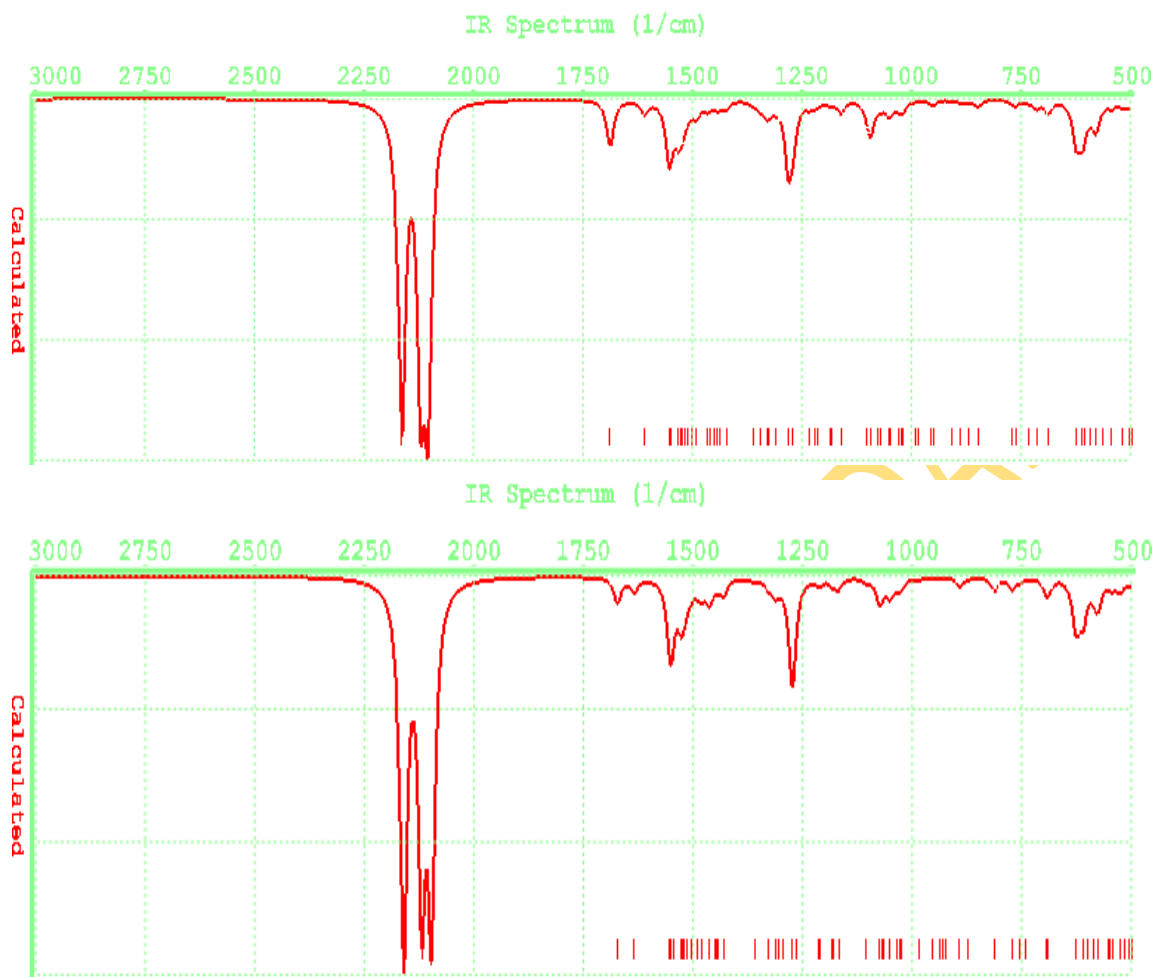
**Figure 4.9:** Infra-red spectra of Tricarbonyl (1-4-η-5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes



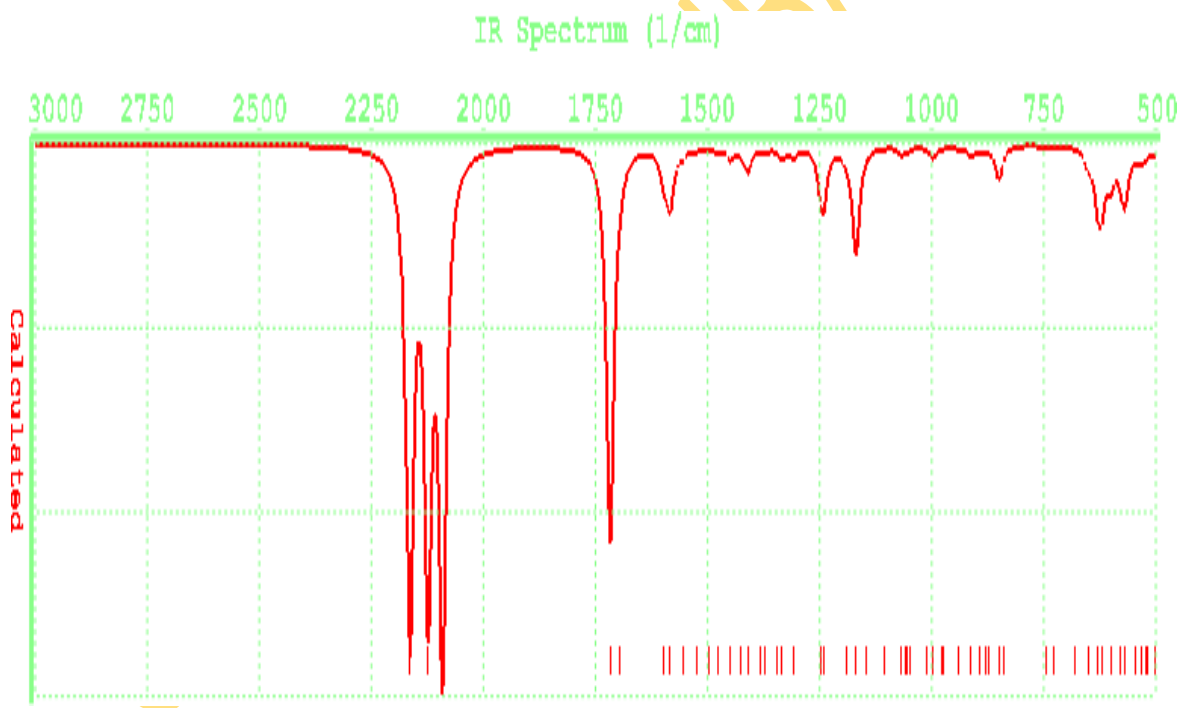
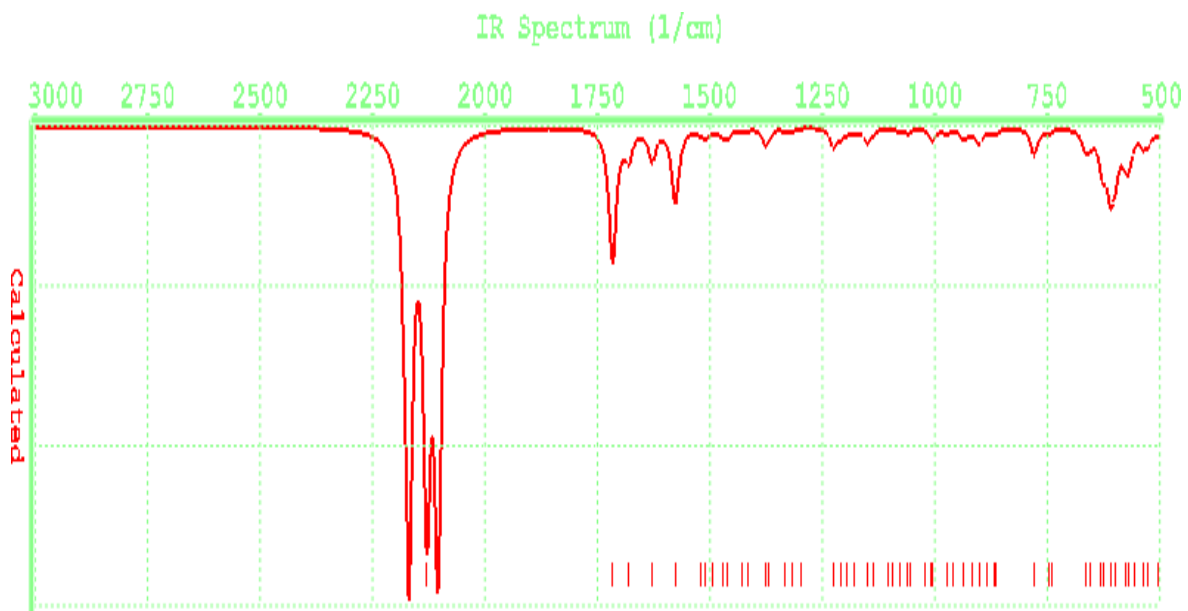
**Figure 4.10:** Infra-red spectra of Tricarbonyl (1-4-η-5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes

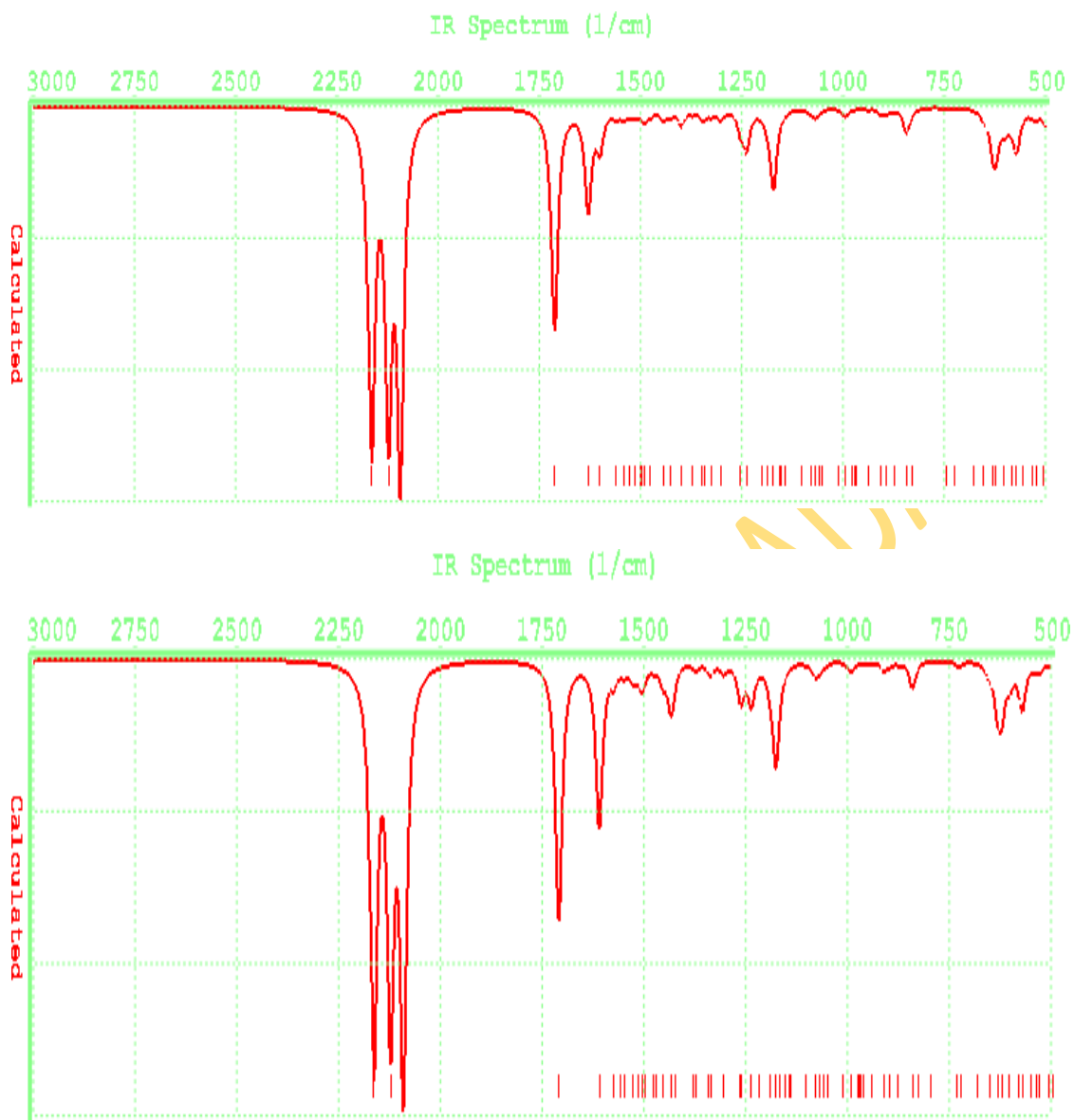


**Figure 4.11:** Infra-red spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-Substituted pyridino-2-methoxy-cyclohexa-1,3-diene) iron complexes

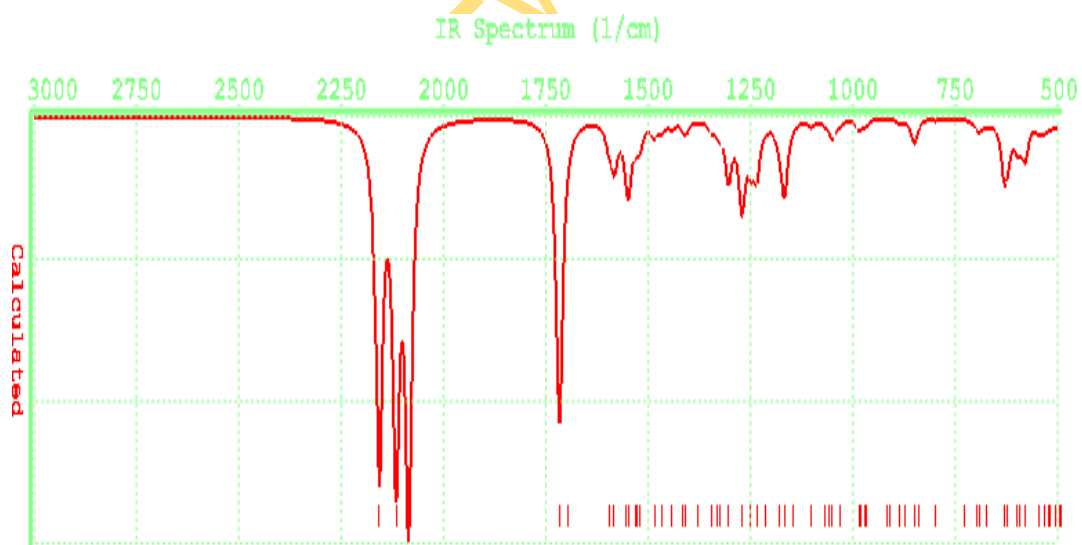
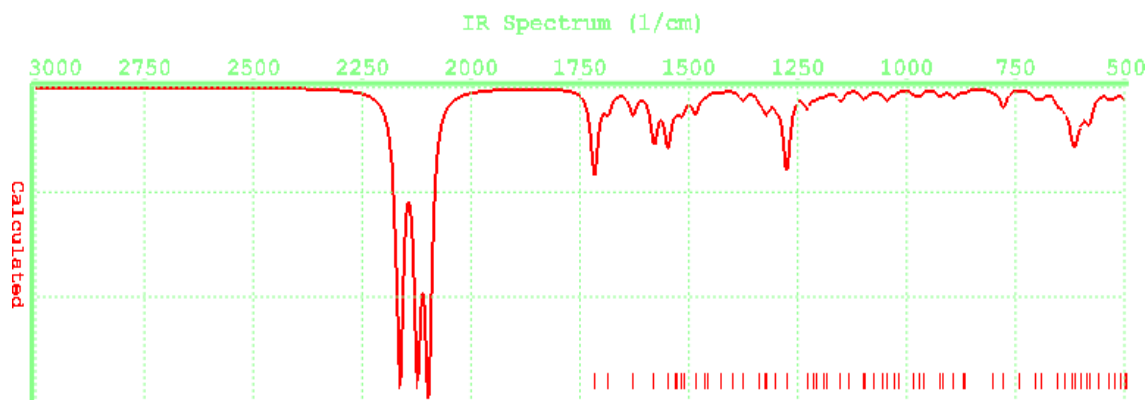


**Figure 4.12:** Infra-red spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

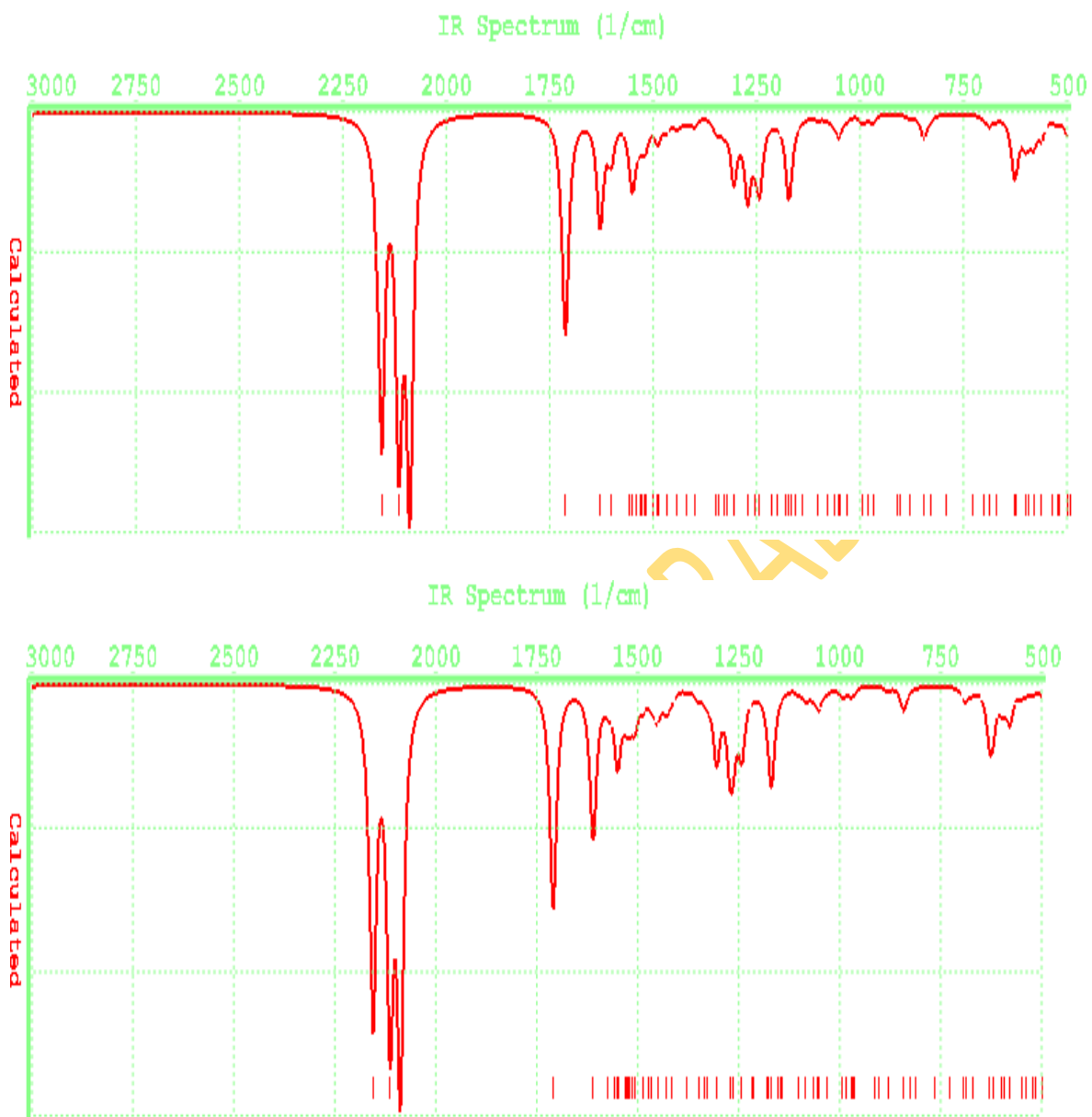




**Figure 4.13:** Infra-red Spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-Substituted aminopyridino-cyclohexa-1,3-diene) iron complexes

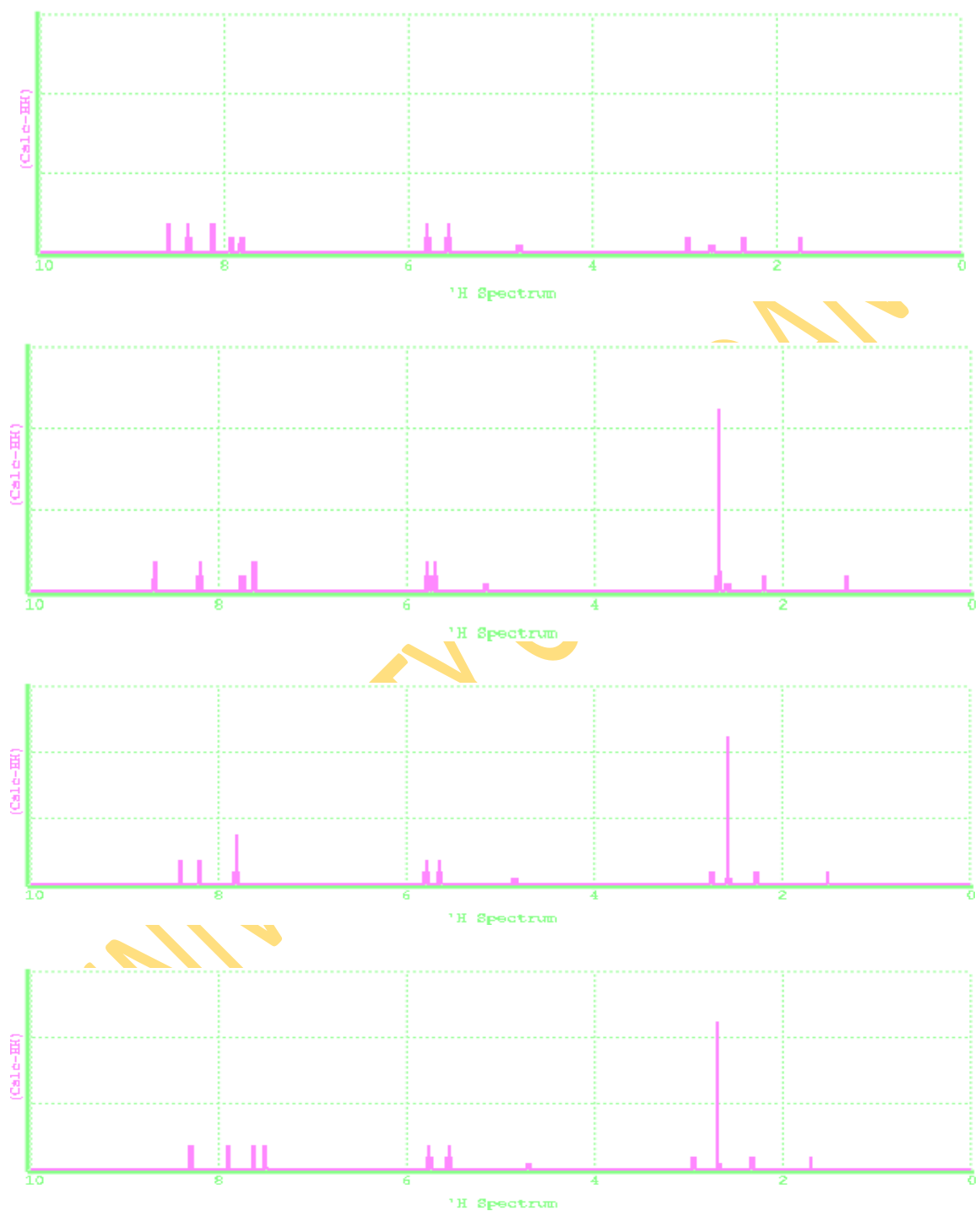




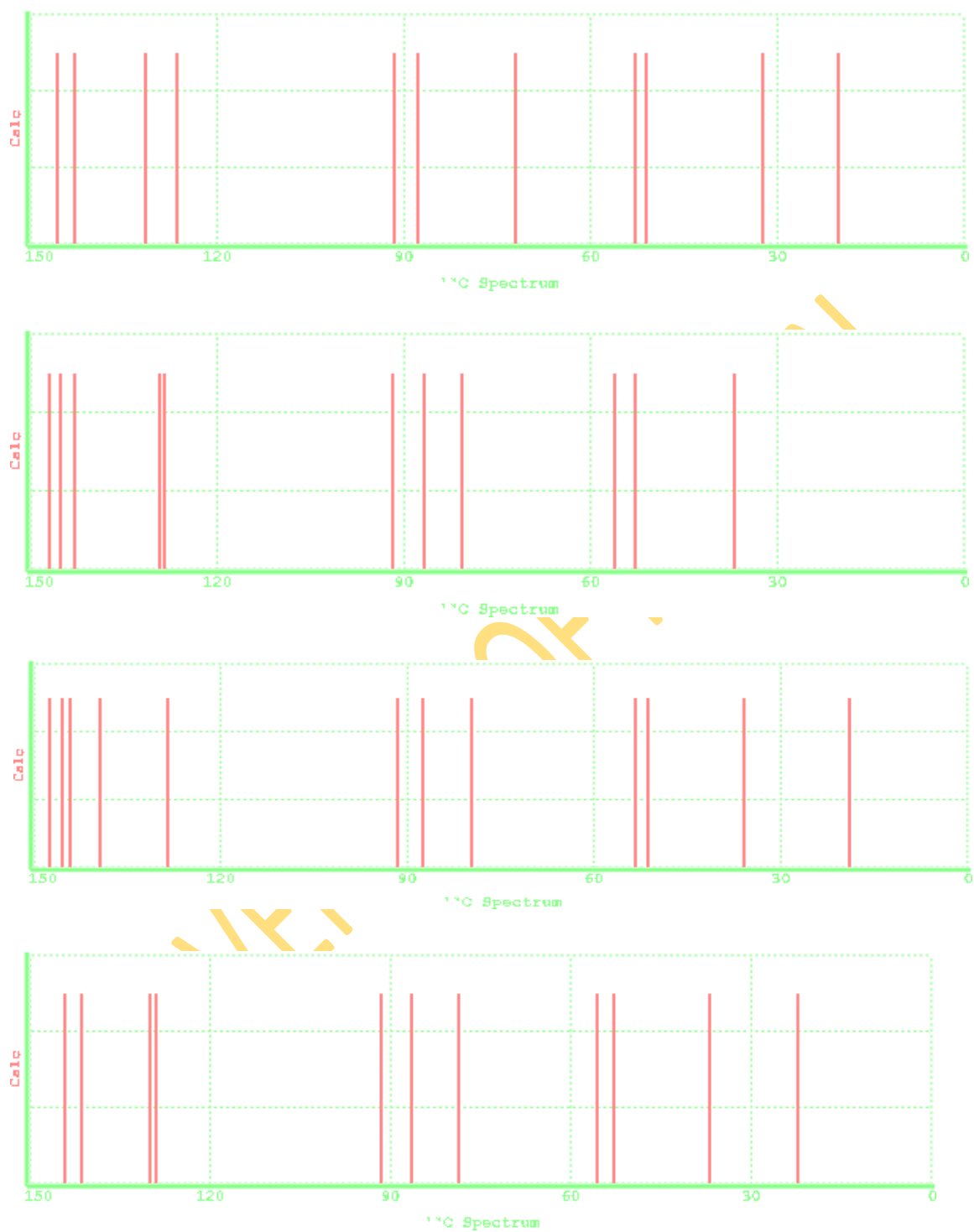


**Figure 4.14:** Infra-red spectra of Tricarbonyl (1-4-η-5-exo-N-Substituted amino-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

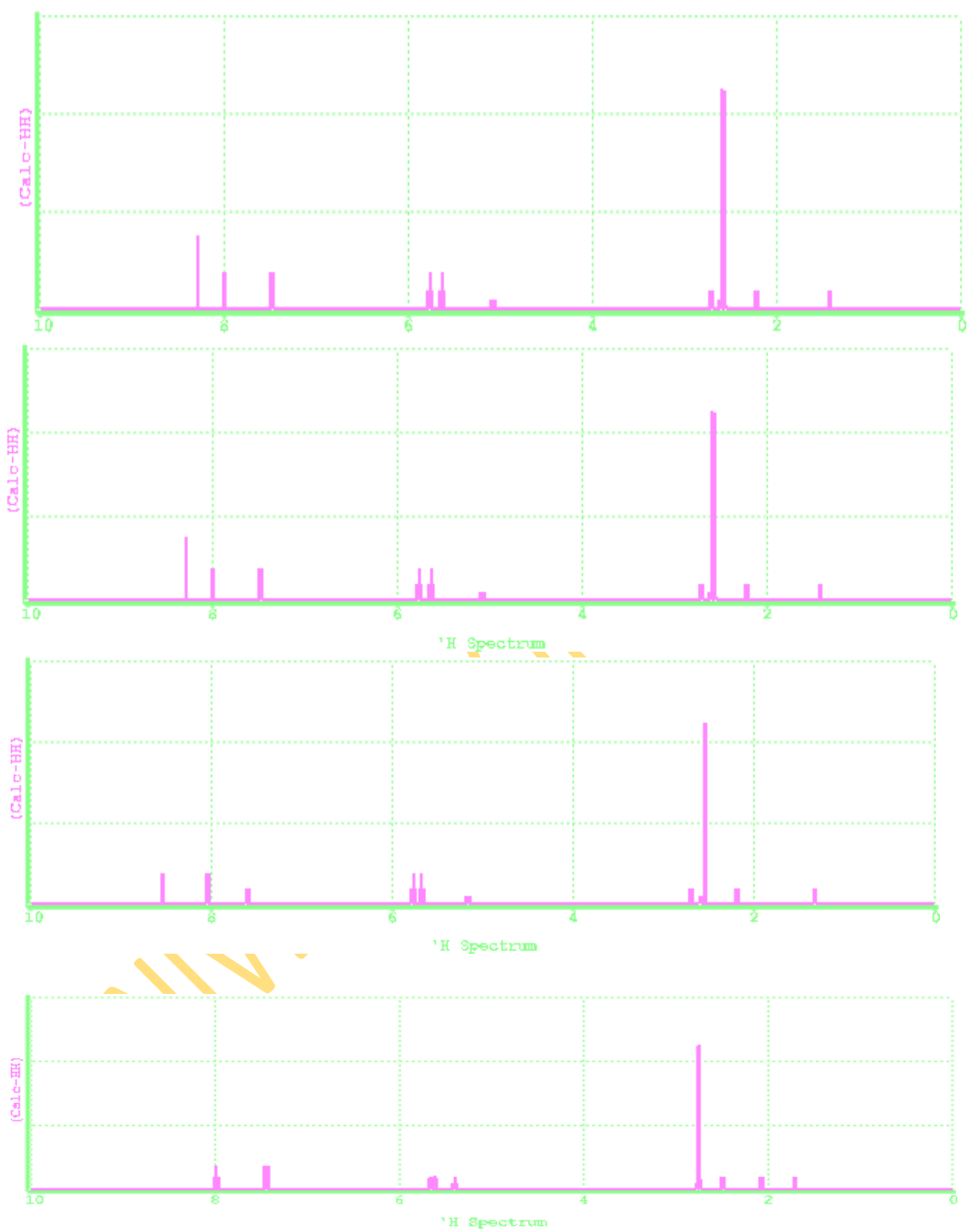
## Appendix 5 Neutron Magnetic Resonance Spectra (NMR) of complexes



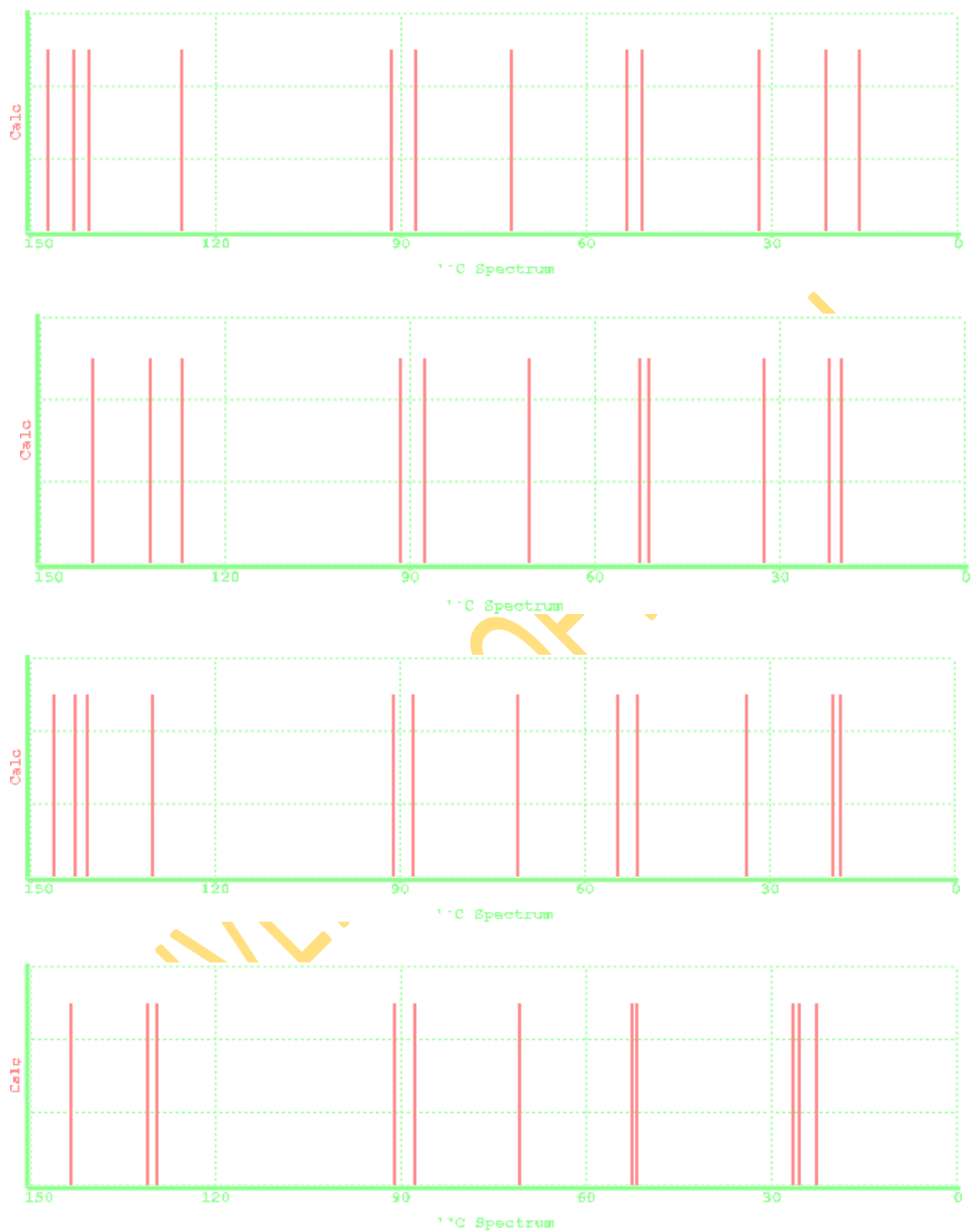
**Figure 4.15a:**  $^1\text{H}$  n.m.r spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes



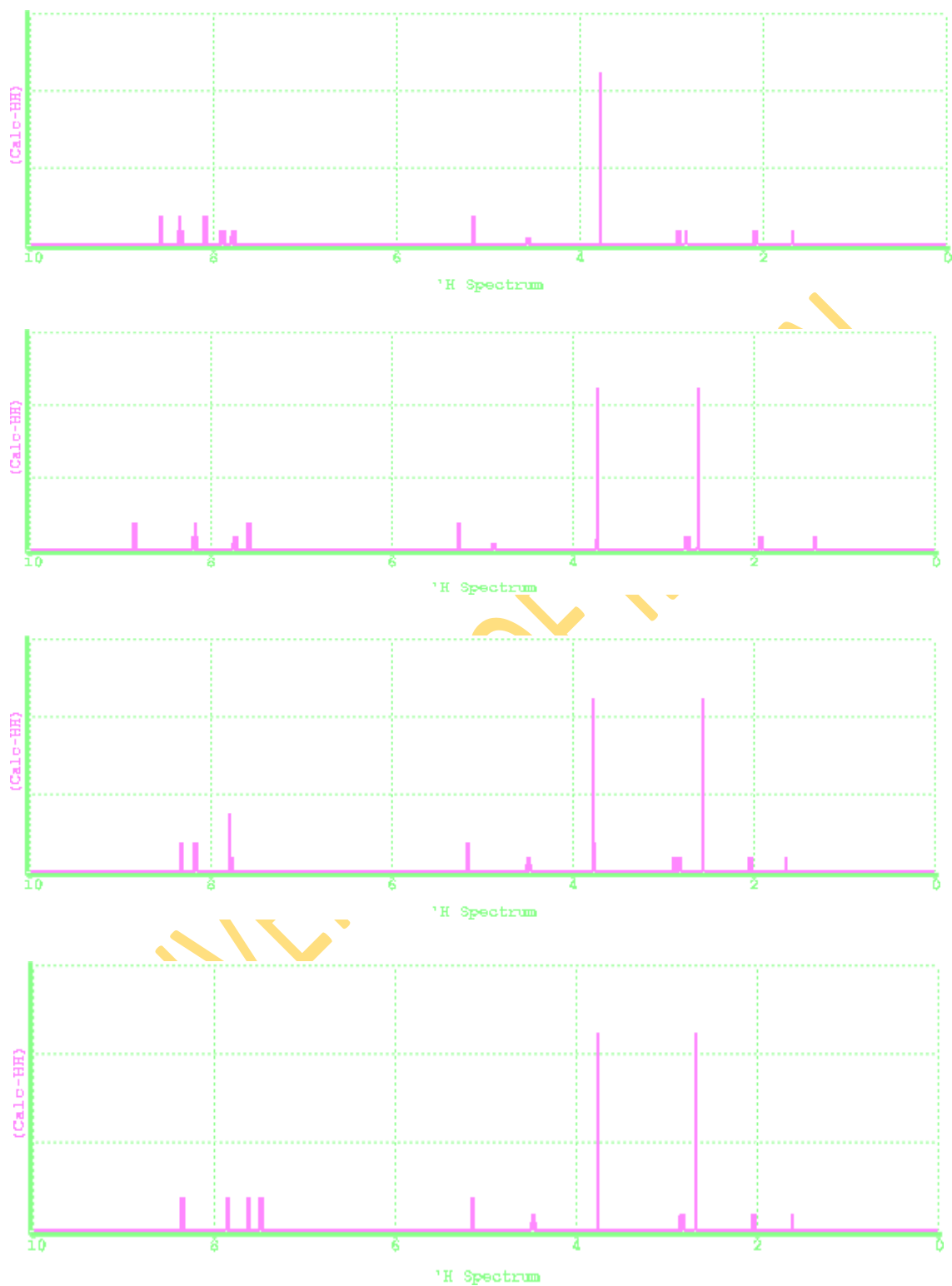
**Figure 4.15b:**  $^{13}\text{C}$  N.m.r spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-X-pyridino-cyclohexa-1,3-diene) iron complexes



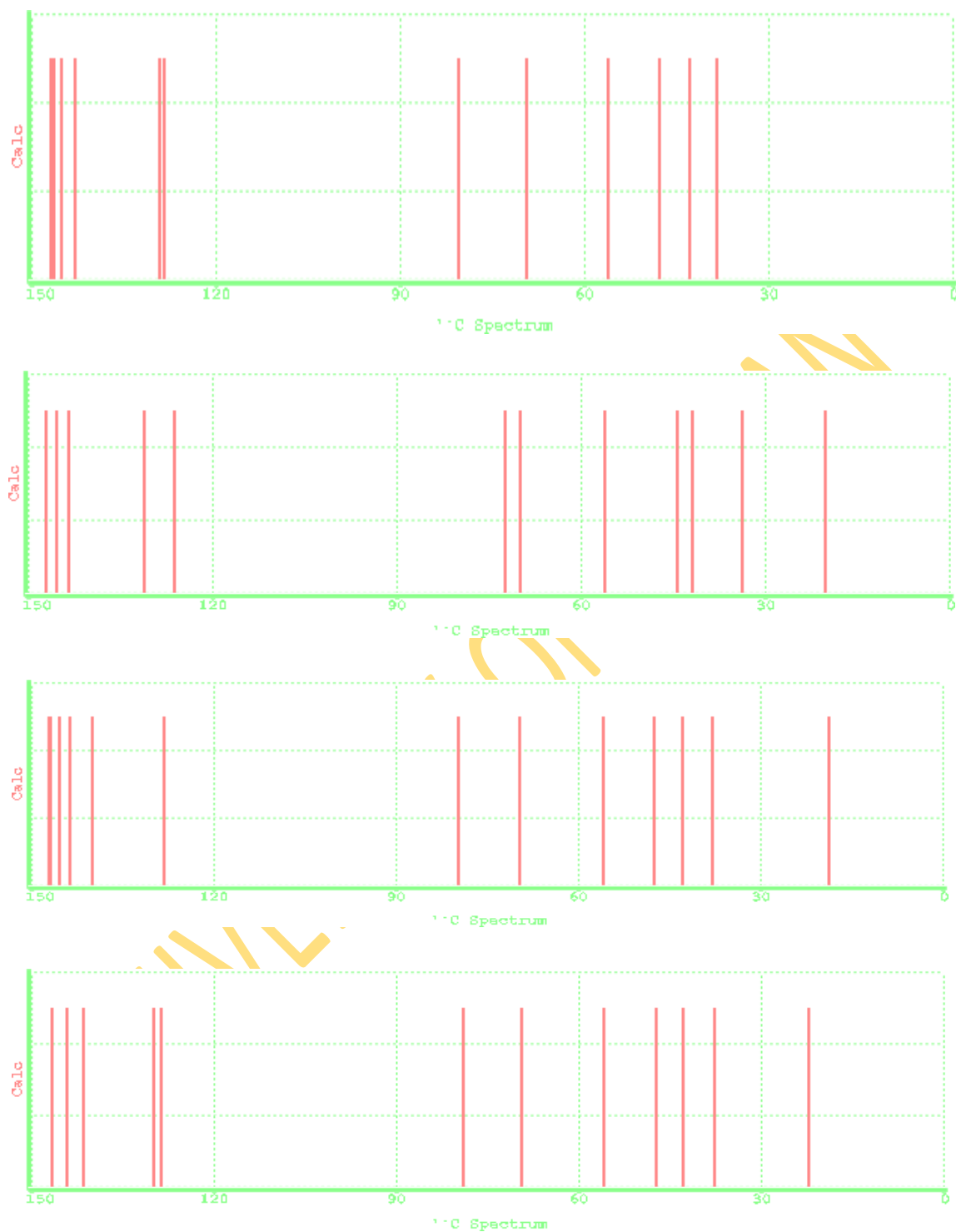
**Figure 4.16a:** <sup>1</sup>H n.m.r spectra of Tricarbonyl (1-4-η-5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes



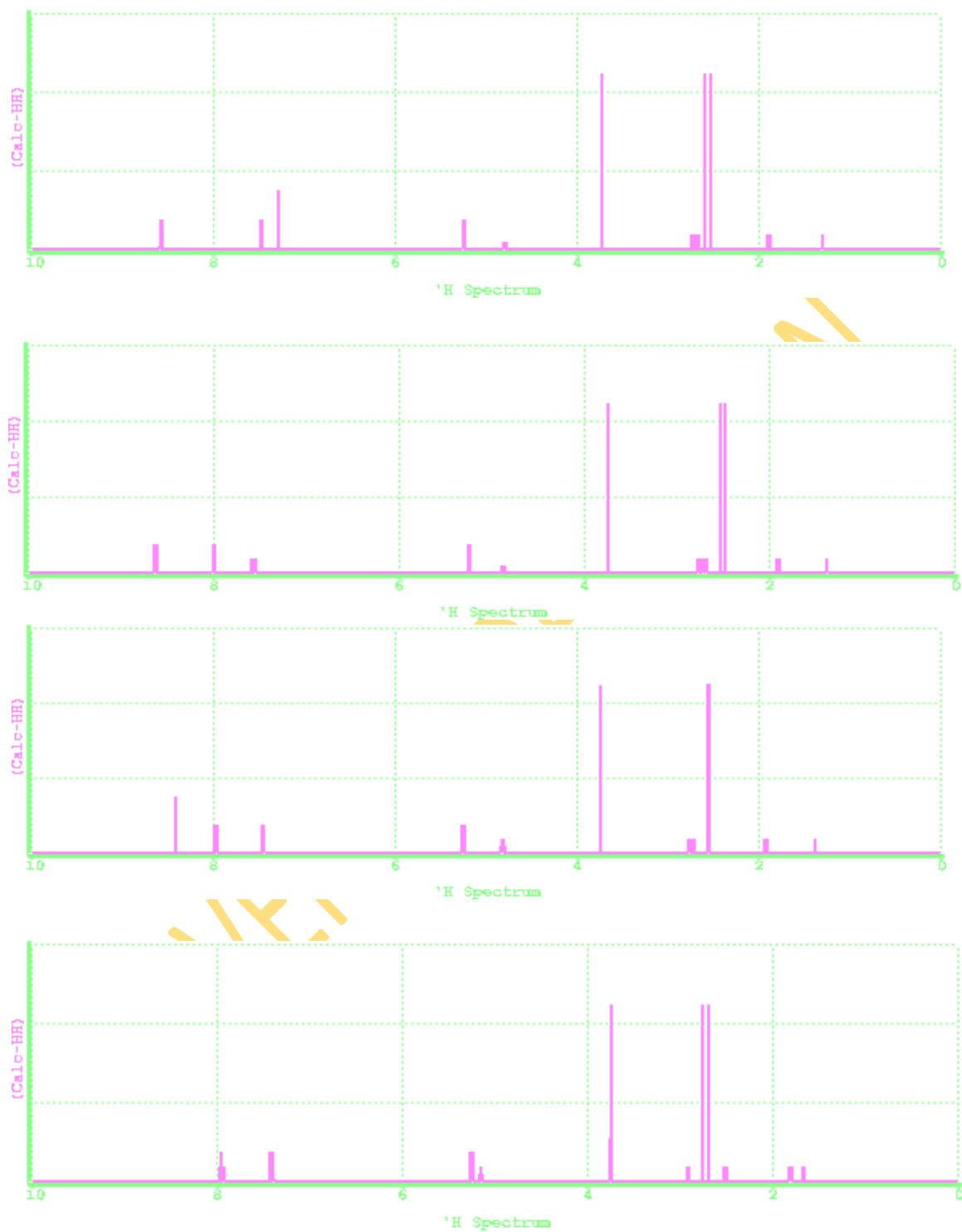
**Figure 4.16b:**  $^{13}\text{C}$ N.m.r spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-cyclohexa-1,3-diene) iron complexes



**Figure 4.17a:**  $^1\text{H}$ n.m.r. spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-substituted pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

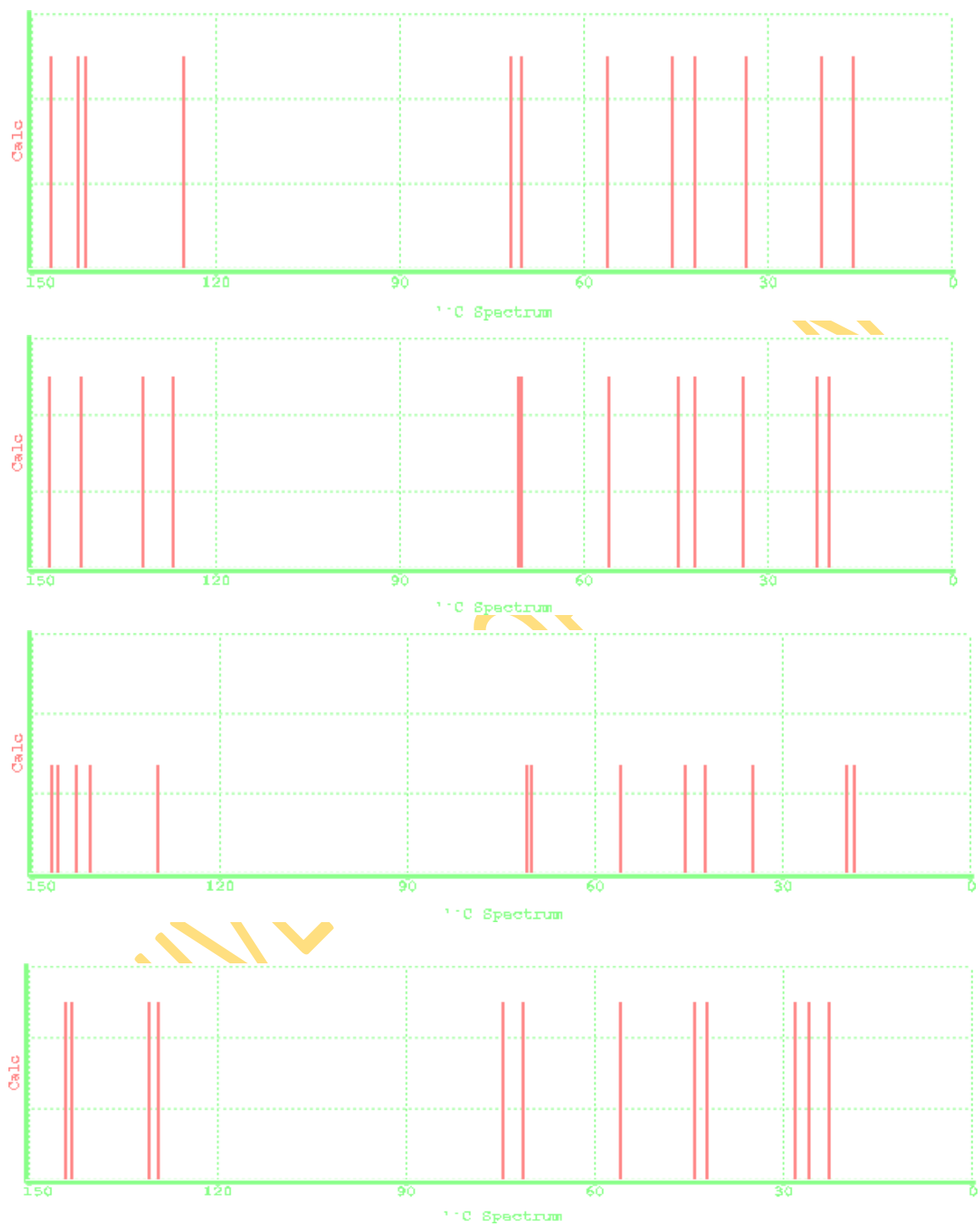


**Figure 4.17b:**  $^{13}\text{C}$  N.m.r spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N- substituted pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

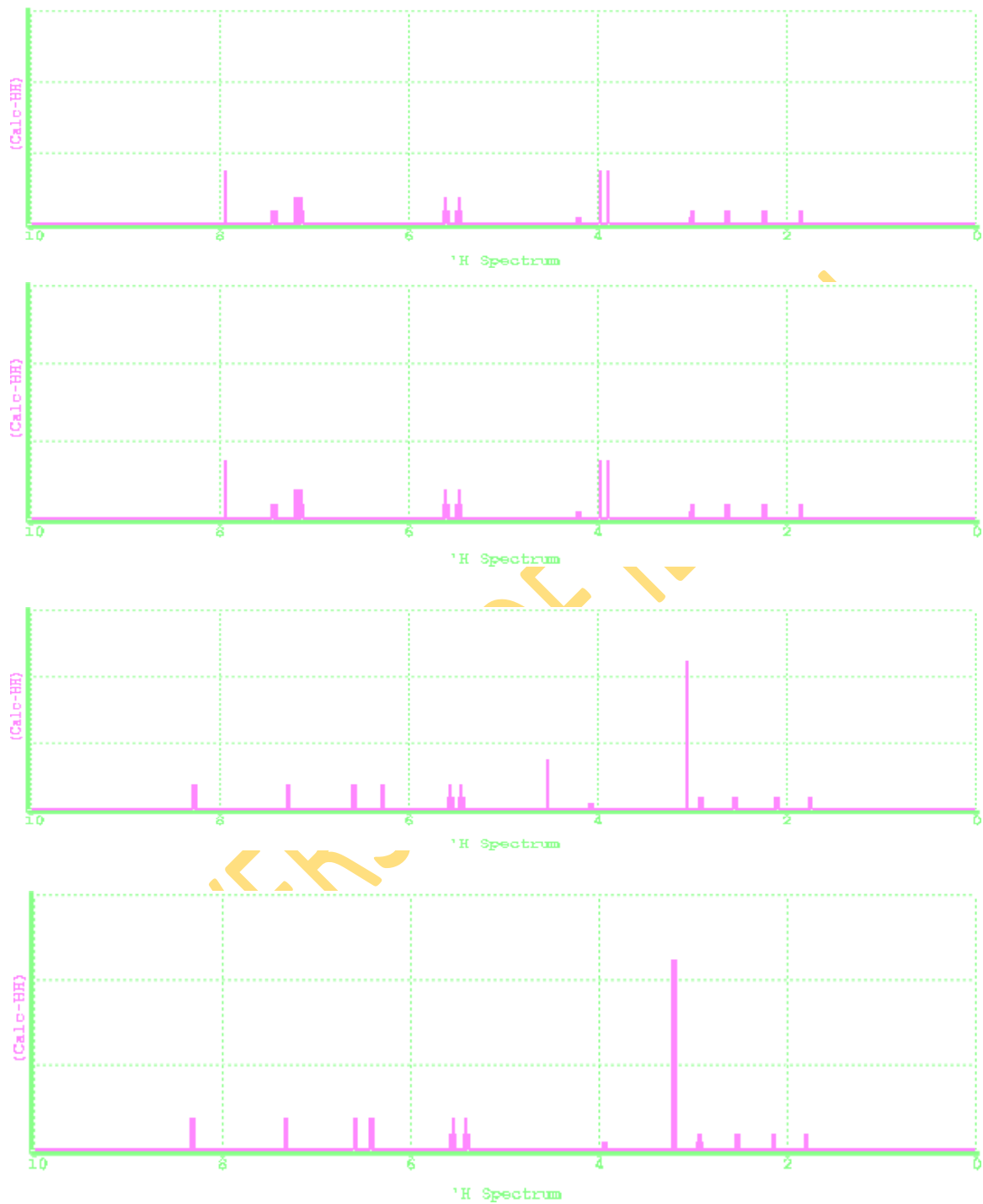


**Figure 4.18a:**  $^1\text{H}$ n.m.r spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene) iron complexes

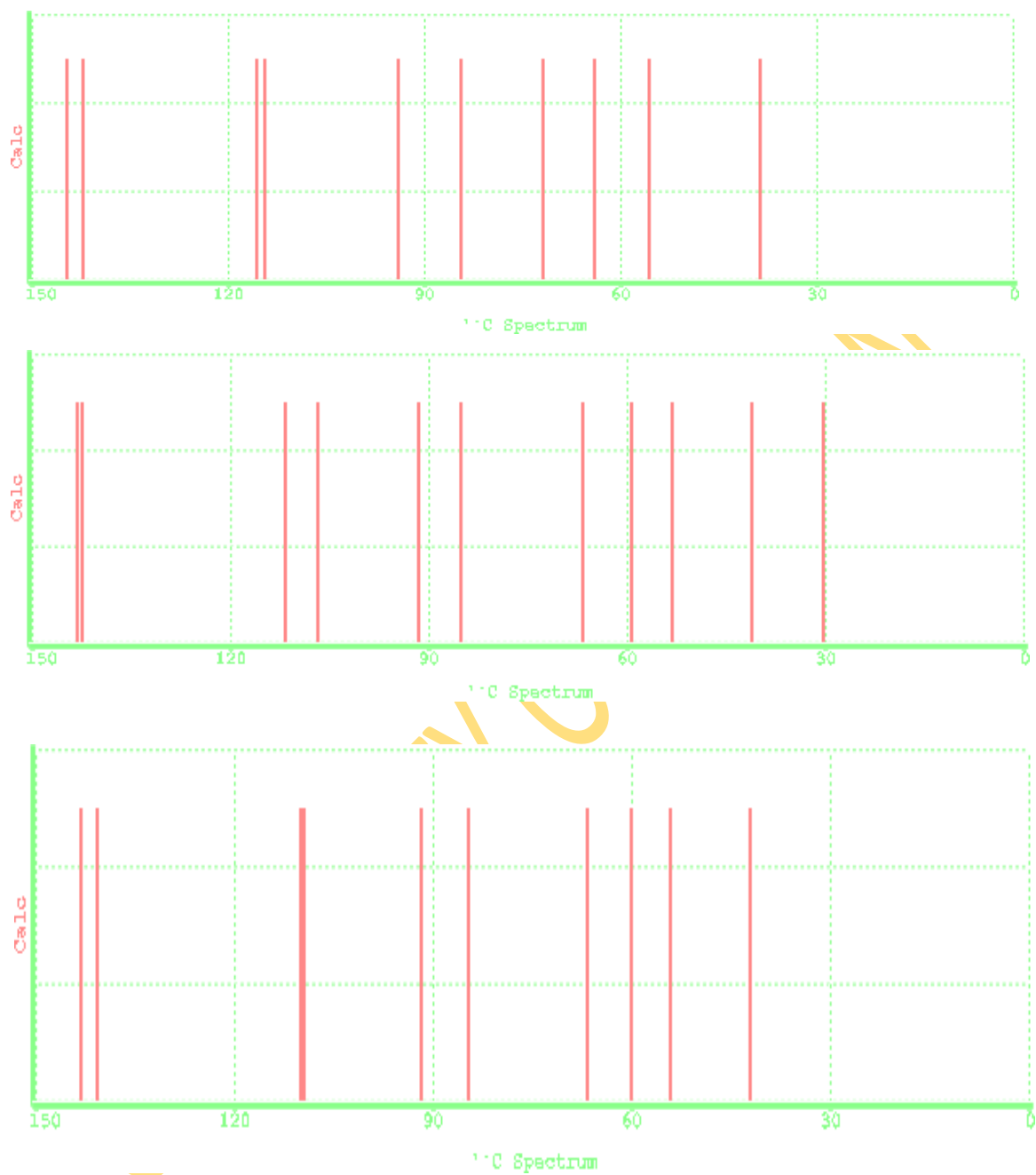




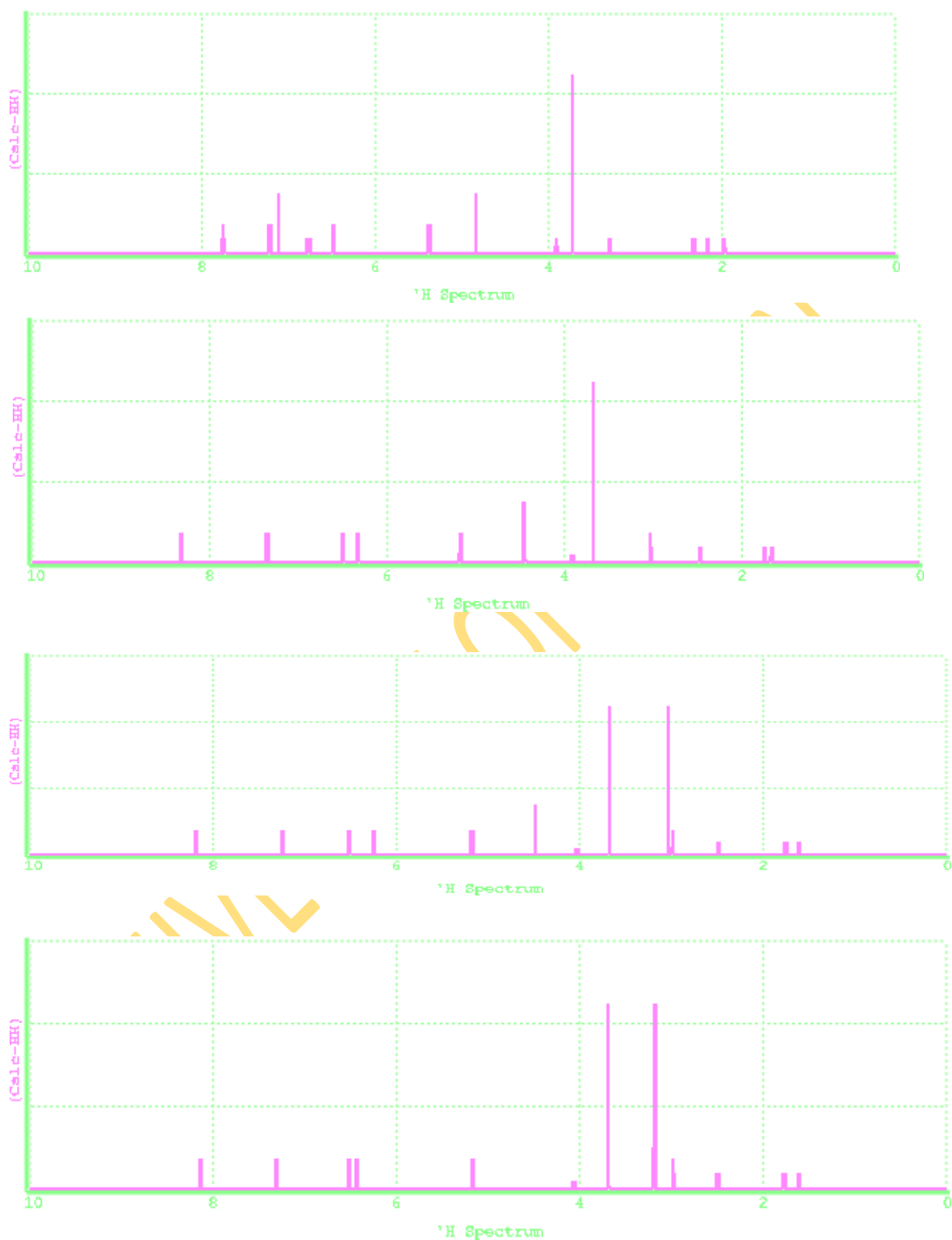
**Figure 4.18b:**  $^{13}\text{C}$  NMR spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N- X,X-dimethyl-pyridino-2-methoxycyclohexa-1,3-diene) iron complexes



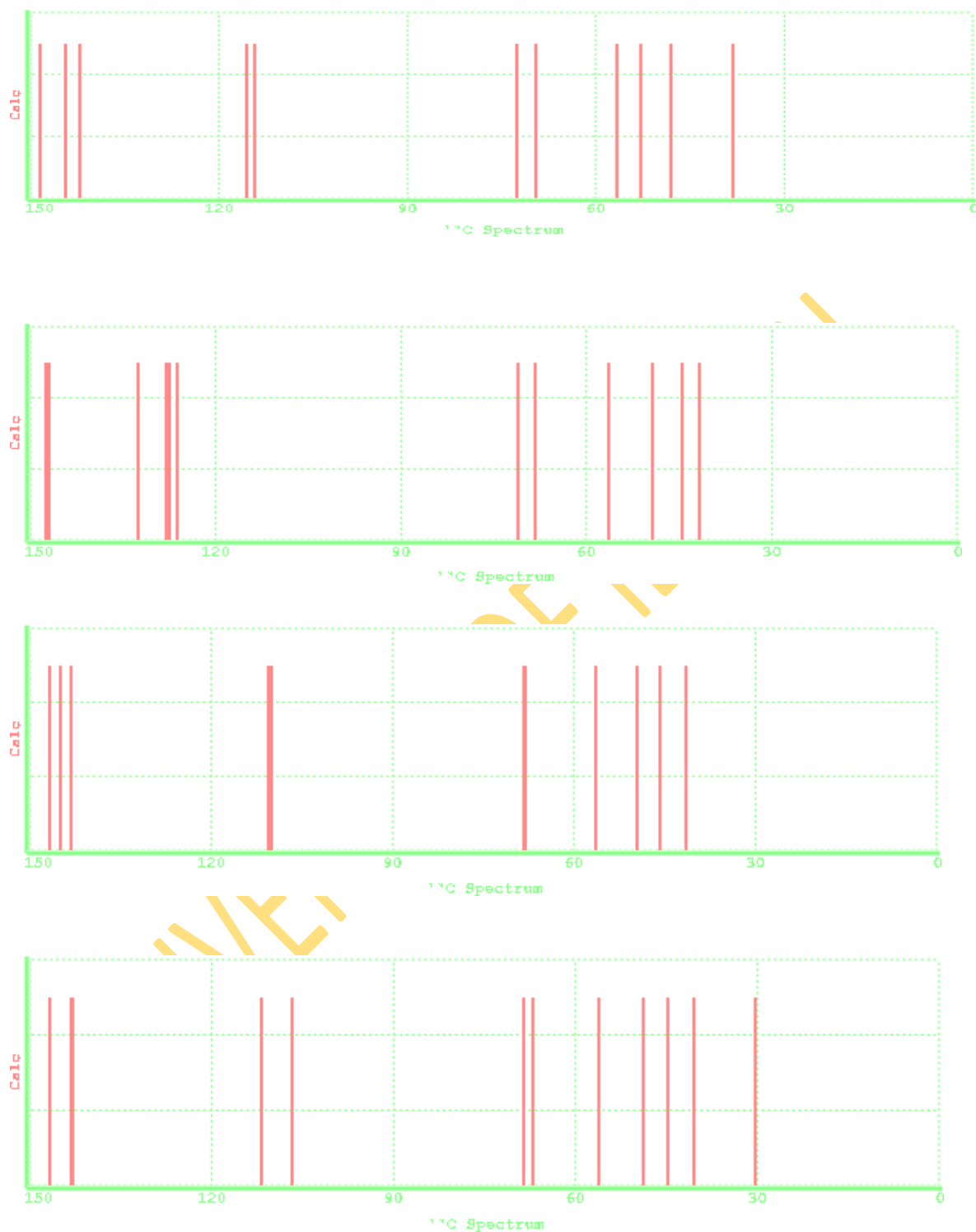
**Figure 4.19a:**  $^1\text{H}$ n.m.r spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-Substituted methylamino-pyridino-cyclohexa-1,3-diene) iron complexes



**Figure 4.19b:**  $^{13}\text{C}$ N.m.r Spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N- substituted amino pyridino-cyclohexa-1,3-diene) iron complexes

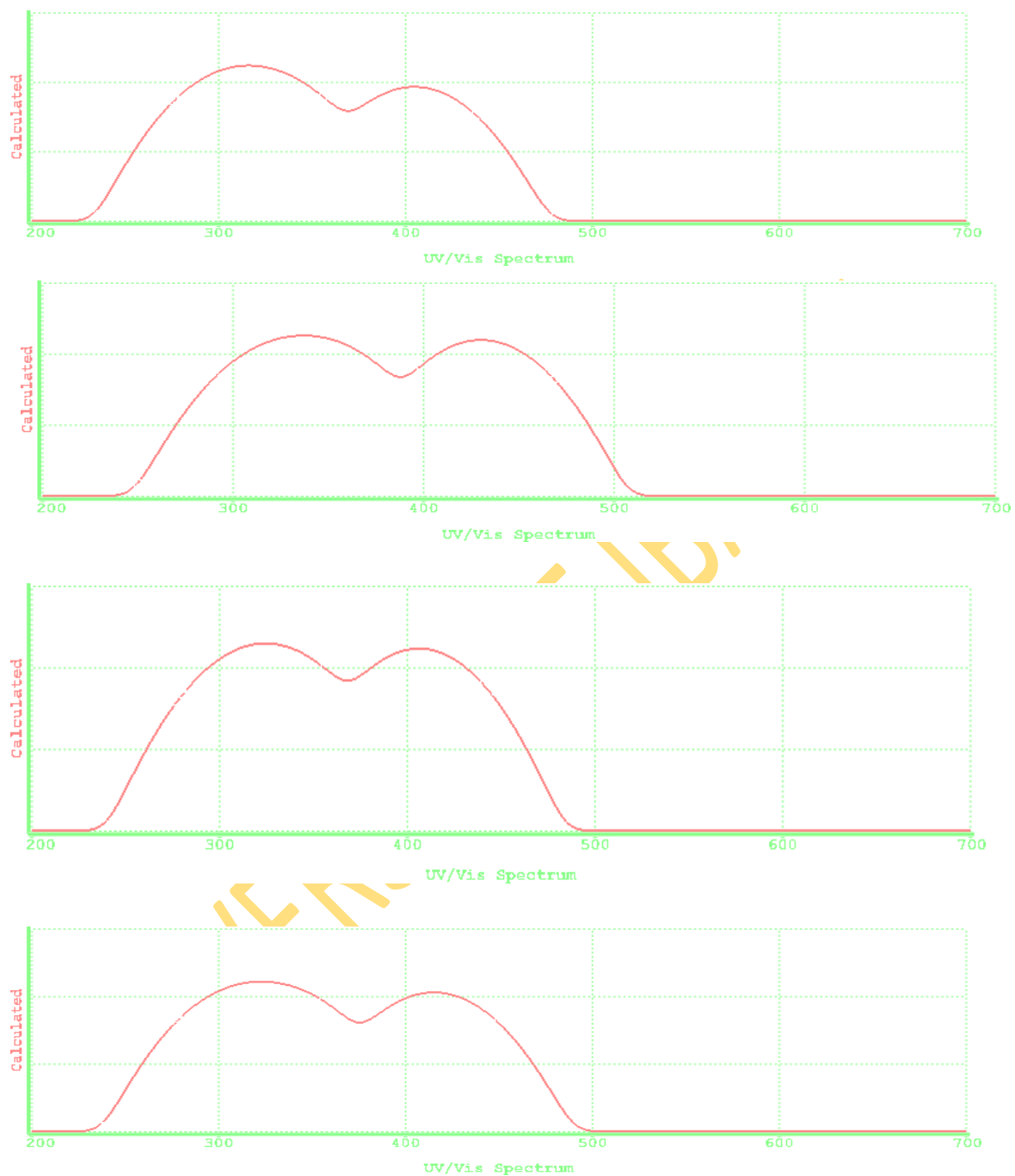


**Figure 4.20a:**  $^1\text{H}$ n.m.r spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-substituted aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

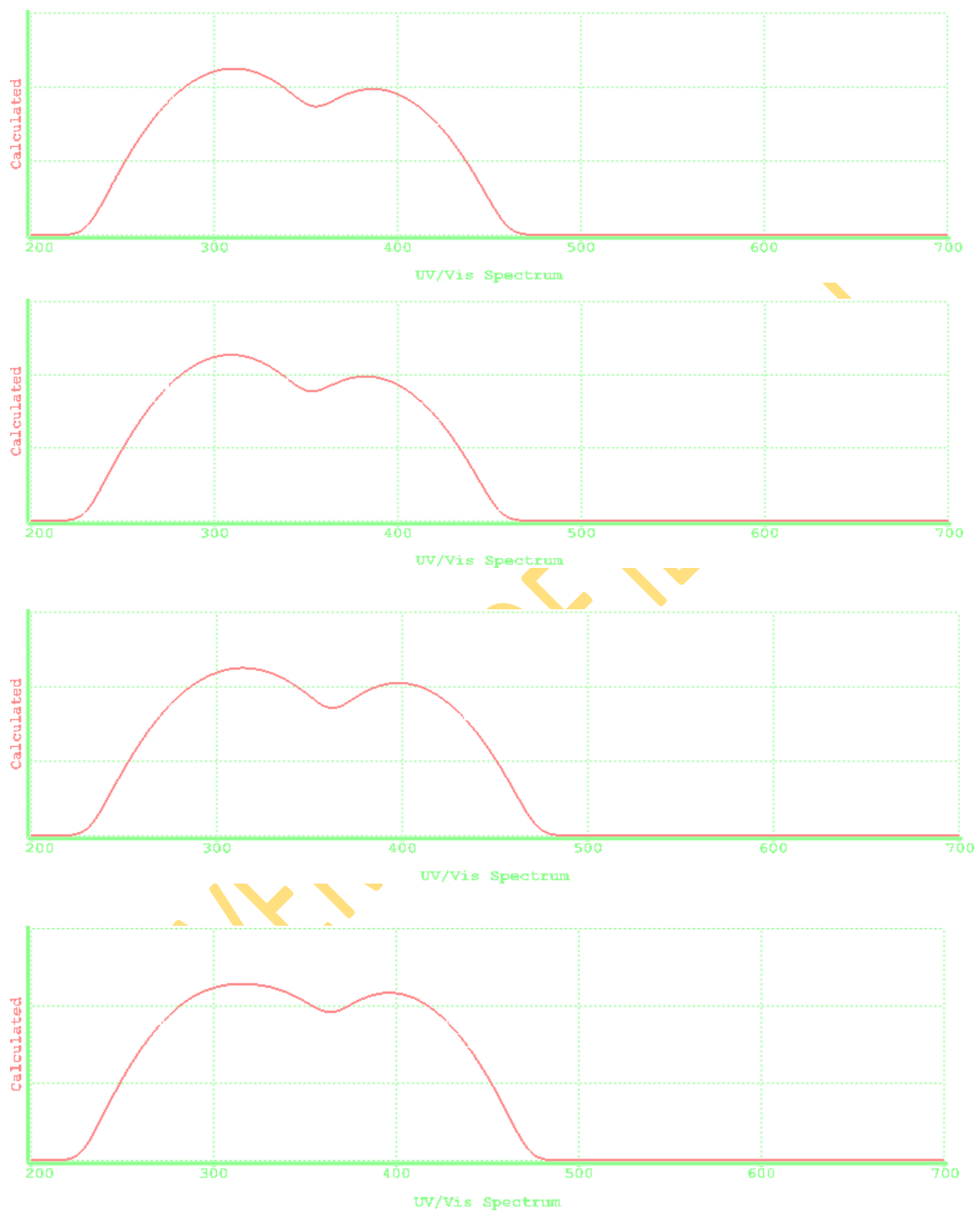


**Figure 4.20b:**  $^{13}\text{C}$ N.m.spectra of Tricarbonyl (1-4- $\eta$ -5-exo-X-substituted aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

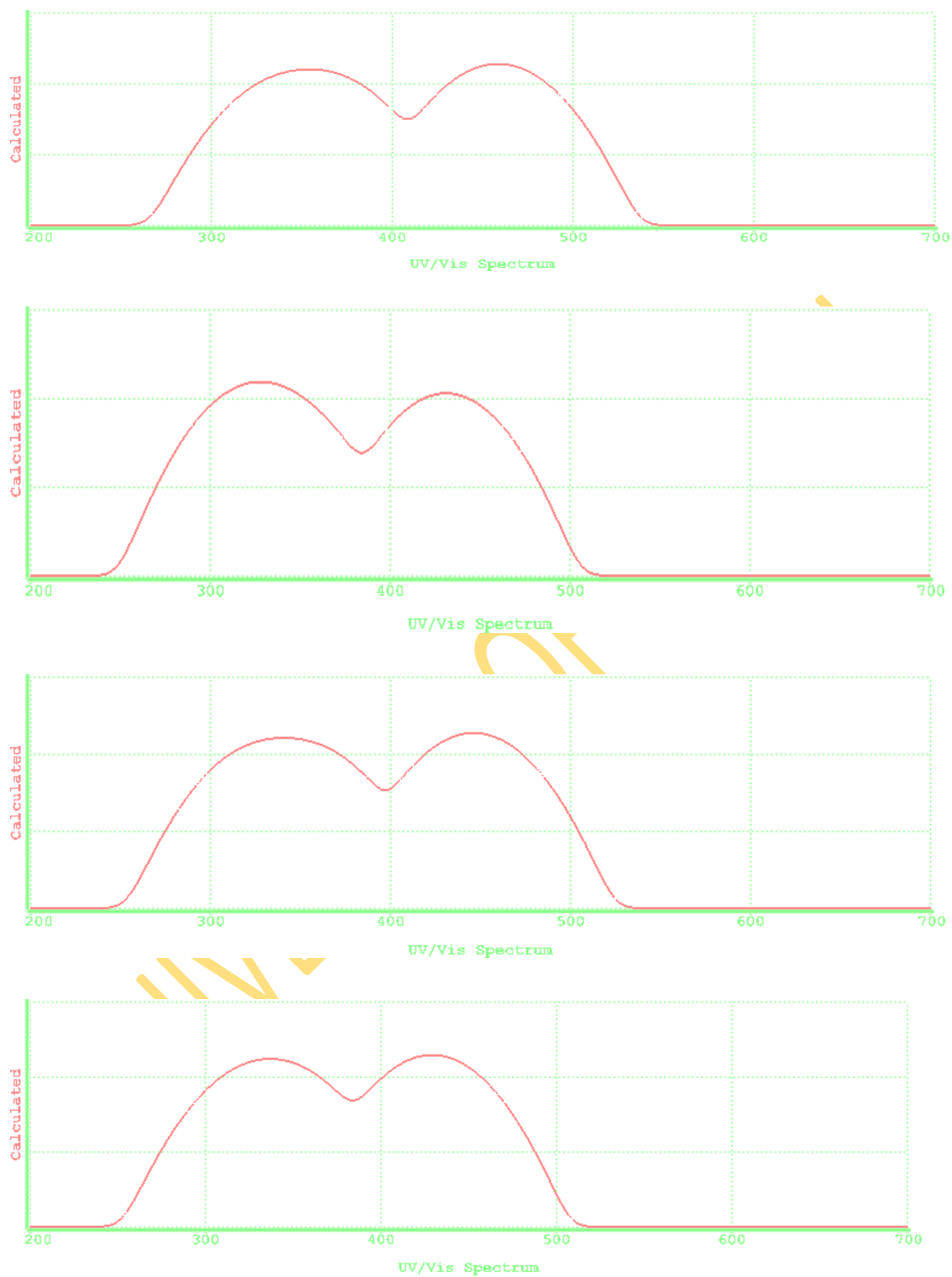
**Appendix 6 Uv-visible Spectra of complexes**



**Figure 4.21:** Uv-visible spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-substituted pyridino-cyclohexa-1,3-diene) iron complexes

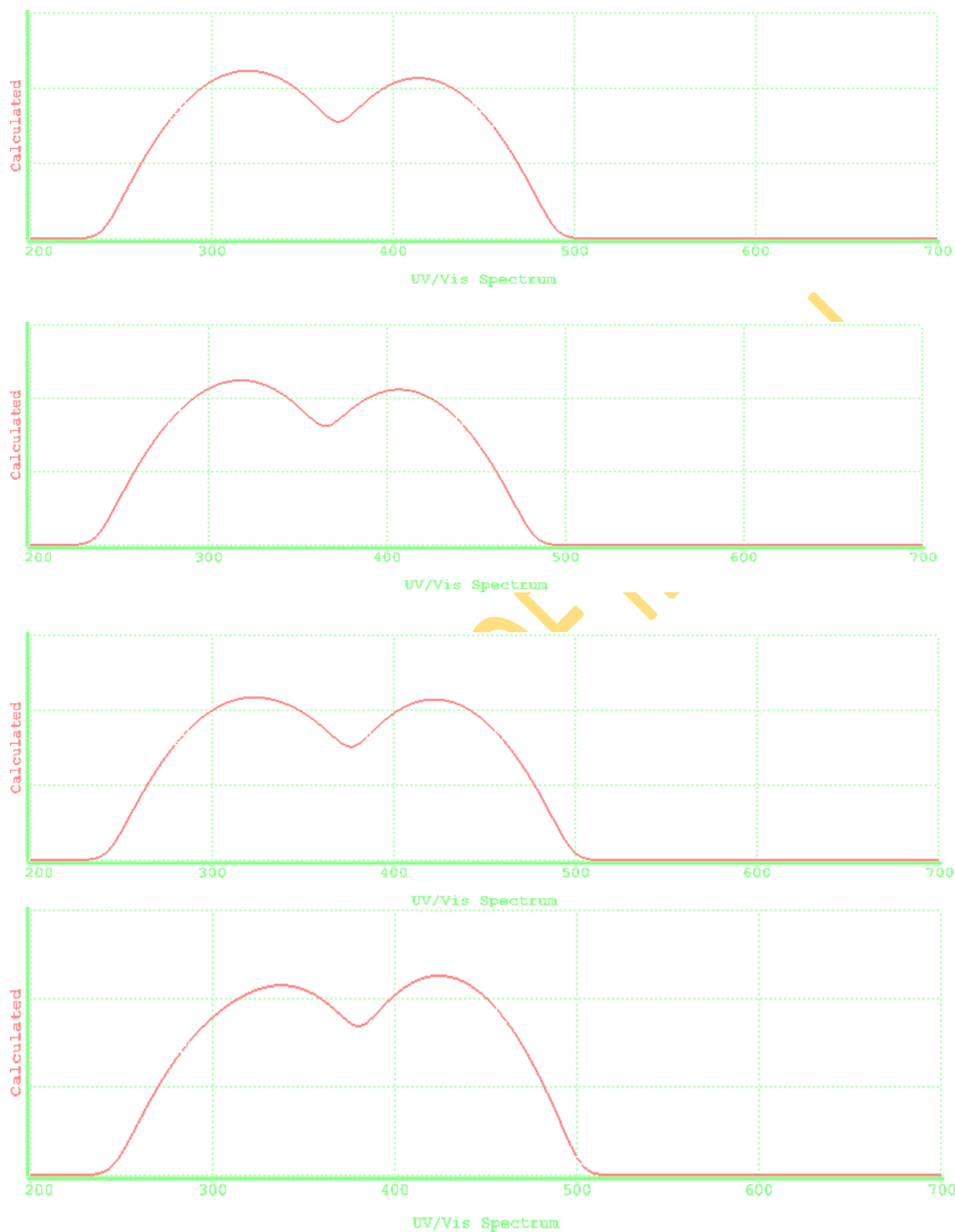


**Figure 4.22:** Uv-visible Spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-X,X-dimethyl pyridino-cyclohexa-1,3-diene) iron complexes

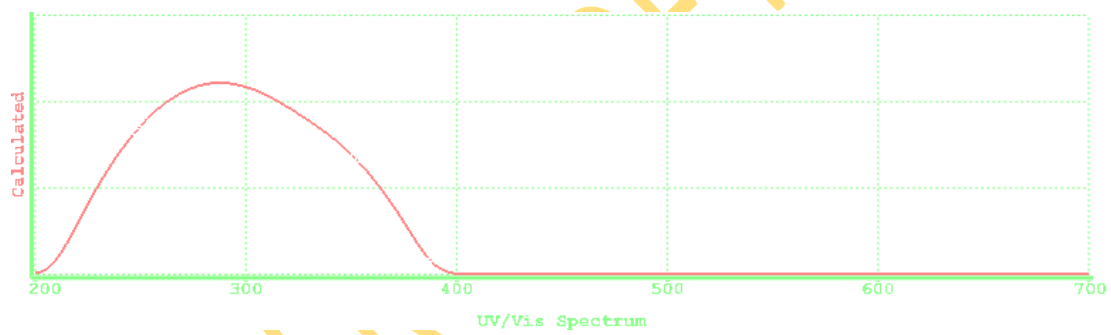
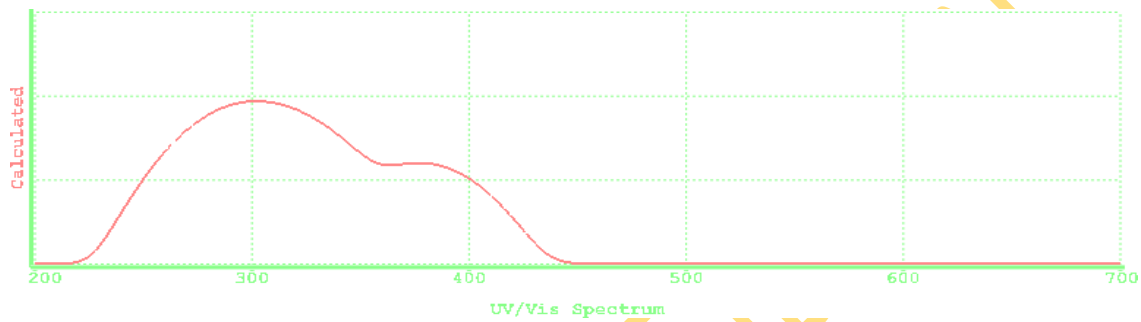
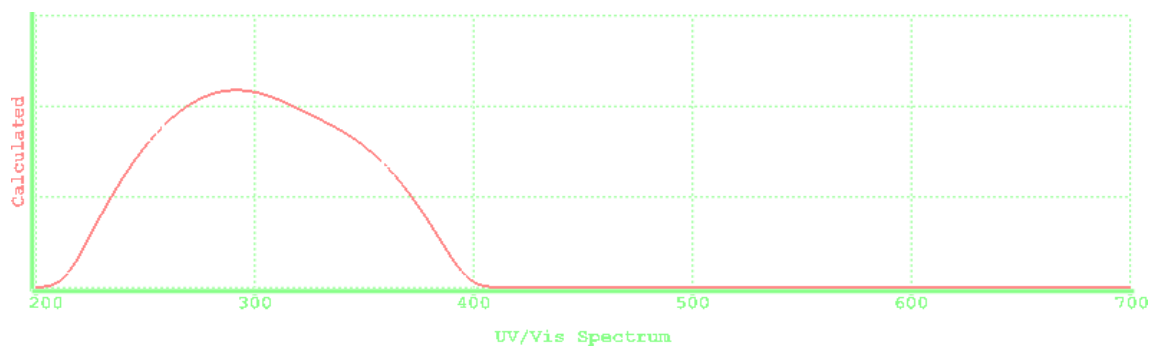


**Figure 4.23:** UV-visible spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-Substituted pyridino-2-methoxycyclohexa-1,3-diene) iron complexes

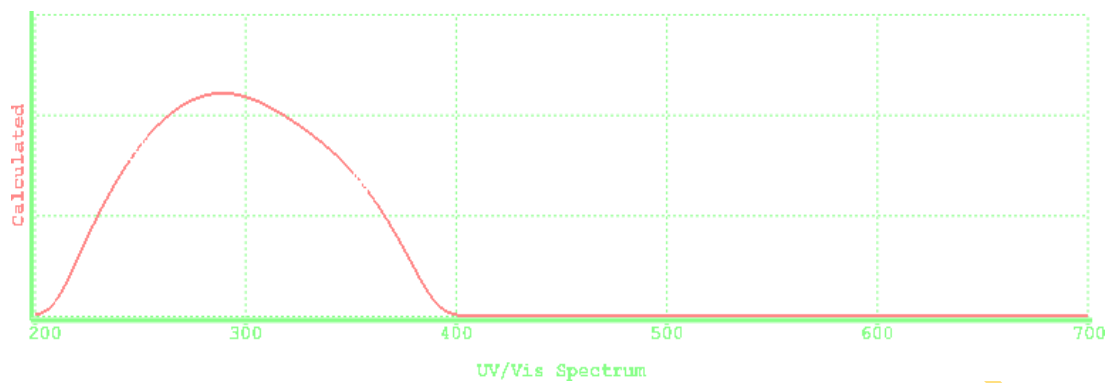




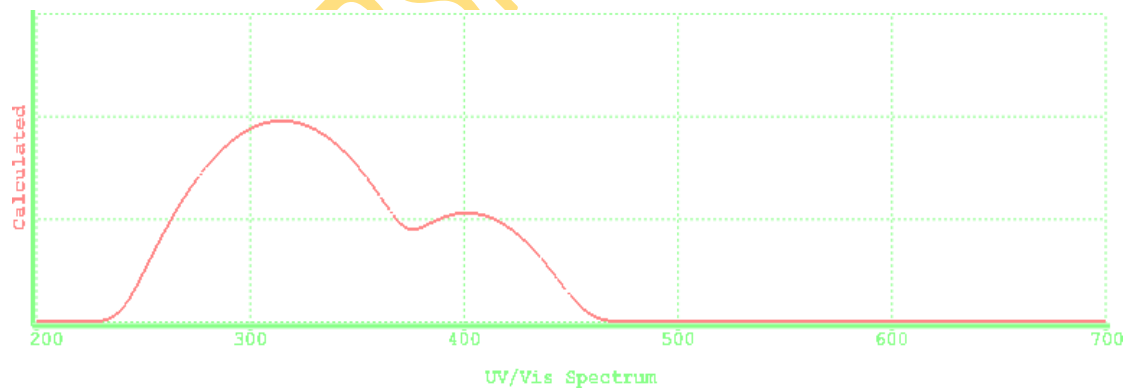
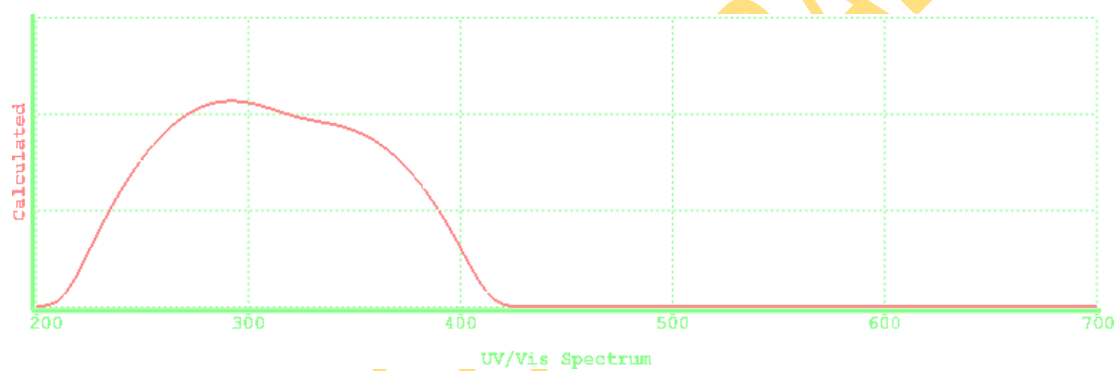
**Figure 4.24:** UV-visible spectra of UV-visible spectrum of Tricarbonyl(1-4- $\eta$ -5-exo-N-X,X-dimethylpyridino-2-methoxycyclohexa-1,3-diene iron complexes

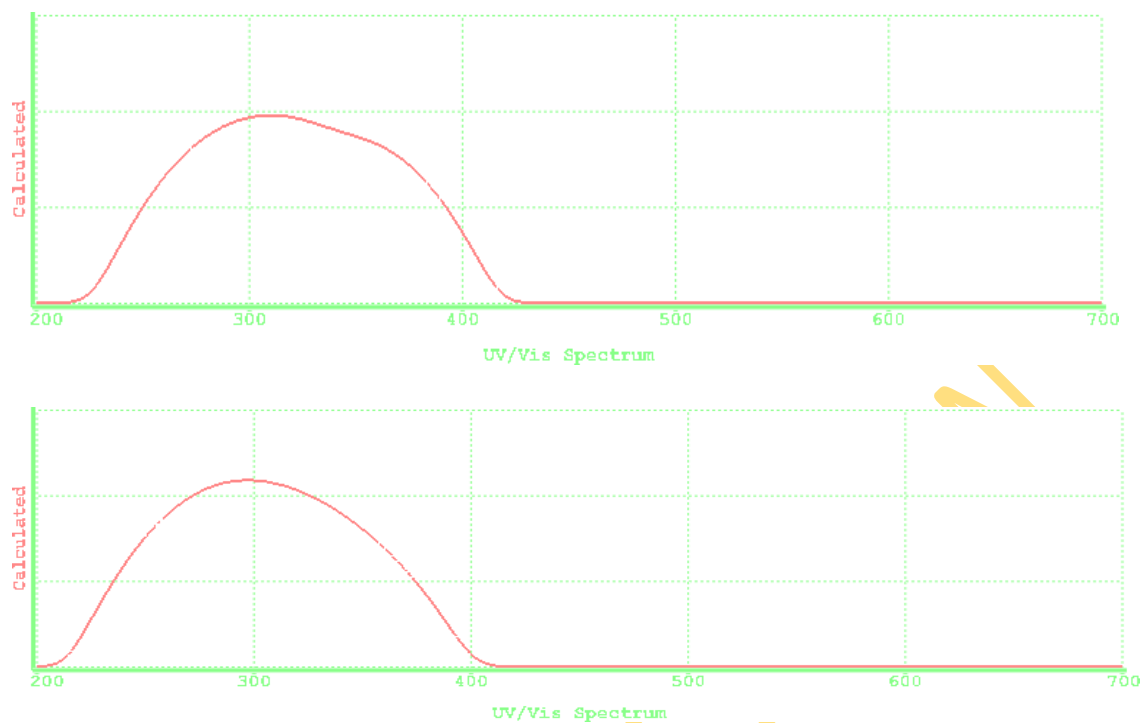


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**Figure 4.25:** UV-visible spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-substituted amino-pyridino-cyclohexa-1,3-diene) iron complexes





**Figure 4.26:** UV-visible spectra of Tricarbonyl (1-4- $\eta$ -5-exo-N-substituted aminopyridino-2-methoxycyclohexa-1,3-diene) iron complexes

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#### PUBLICATIONS

- (i) Odiaka, T.I, **Akinyele, O.F** and Adejoro, I.A, (2011) Synthesis and Characterisation of New pyridino -1-4- $\eta$ - Cyclohexa-1,3-diene) derivatives of Irontricarboxyl Complexes. *E. Journal of Chemistry* 8(3), 960-965
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- derivatives of Iron Tricarbonyl Complexes – A Theoretical Approach. *European Journal. of Science Research* 47, 2:230-240.
- (iii) Adejoro, I.A, Odiaka, T.I and **Akinyele, O.F.** (2011) Semi-Empirical (PM3) Studies of New Pyridino-1-4- $\eta$ -2-methoxycyclohexa-1,3-diene Iron Tricarbonyl Complexes. *Inorganic Chemistry: An Indian Journal, Trade Sci. Inc. Vol. 6(1)*.
- (iv) Adejoro I. A, Odiaka T.I and **Akinyele O.F.** (2012) Molecular Modeling and Computational Studies Of Dimethylpyridino-1,4- $\eta$ -2-methoxycyclohexa-1,3-diene Iron tricarbonyl Complexes. *Asian Journal Chemical Research (AJRC)*, 5, 1: 146-152.
- (v) Odiaka, T.I, Adejoro, I.A and **Akinyele, O.F.** (2012) Semi-Empirical (PM3) Studies of Novel Aminopyridino-1-4- $\eta$ -Cyclohexa-1,3-diene Iron tricarbonyl Complexes. *American Journal of Science and Industrial (AJSIR)* 3, 1: 1-13.
- (vi) Adejoro I. A, Odiaka T.I and **Akinyele O.F.** (2013) Structure and Electronic properties of Aminopyridino-1-4- $\eta$ -2-methoxycyclohexa-1,3-diene Iron tricarbonyl complexes- A Semi Empirical PM3 Approach. *Asian Journal of Research in Chemistry. (AJRC)*, 6, 11: 1034-1039.
- (vii) Adejoro, I.A, Odiaka, T.I and **Akinyele, O.F.** (2014) Density Functional Theory and Reactivity Parameters of Dimethylpyridino-1-4- $\eta$ -cyclohexa-1,3-diene iron tricarbonyl complexes. *Journal of Natural Science Research* 4, 1: 38-45.